

Preparing for Increased Petroleum Prospecting in Tamaulipas, Mexico

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Table of Contents

Chapter 1. Tamaulipas Background and Significance.....	1
1.1 Background.....	1
1.2 Energy Industry in Mexico	1
1.2.1 Overview of Geological Provinces in Tamaulipas	1
1.2.2 Energy Industry in Mexico	4
1.2.3 Five Year Tender Plan for Exploration and Extraction of Hydrocarbons	5
1.3 Obtaining Approval to Drill.....	7
1.3.1 General Process.....	7
1.4 Regulatory Agencies.....	8
1.4.1 SENER (Ministry of Energy).....	8
1.4.2 CNH (National Hydrocarbon Commission)	8
1.4.3 CONAGUA (National Water Commission)	8
1.4.4 CRE (Energy Regulatory Commission).....	9
1.4.5 CNIE (Foreign Investments National Commission).....	9
1.4.6 Secretaría del Trabajo y Previsión Social (Employment Ministry)	9
1.4.7 SEMARNAT (Ministry of Environment and Natural Resources).....	10
1.4.8 Local Government: Municipalities	10
1.5 Existing Permits and Wells.....	10
1.6 Infrastructure Support.....	12
1.6.1 Pipelines.....	12
1.6.2 Water Transport Infrastructure	13
1.7 Fresh Water Sources	15
1.7.1 Climate.....	15
1.7.2 Water Availability.....	16
1.8 Transportation and Infrastructure	21
1.8.1 Roadway Conditions.....	21
Chapter 2. Forecasting Locations and Pavement Loading Due to Development	
Activities.....	3
2.1 Current Practice	4
2.2 Early Studies: Characterizing Pavement Failure	4
2.2.1 Previous studies: Methods for Forecasting of Pavement Failure.....	4
2.3 Previous Studies: Characterizing Oil and Gas Industry Related Truck Trips	5
2.4 Methodology.....	6
2.5 Urban Growth and Land Use Changes	6
2.6 Oil and Gas Industry Growth.....	9
2.7 Oil and Gas Model Truck Trip Generation Tables.....	10
Chapter 3. Texas Case Study with Stepwise Instructions.....	15
3.1 GIS Mapping Tool.....	15
3.2 Oil and Gas Growth Model Case Study.....	15
3.2.1 Austin District Oil and Gas Growth GIS Model Building.....	15
3.2.2 GIS Data Sources.....	16
3.2.3 Austin District Oil and Gas ArcGIS Implementation	16
3.2.4 Connecting GIS Data to ArcMAP	16
3.2.5 Aligning Coordinate System.....	17

3.2.6 Importing Excel Data to Build Shapefile.....	17
3.2.7 Activate Network Analyst Extension.....	18
3.2.8 Create/build a Network Dataset.....	19
3.2.9 Adding Sources and Clipping Features of Interest.....	20
3.2.10 Finding Shortest Distance Paths between Facilities and Incidents.....	21
3.2.11 Adding ESAL Values to Each Route Type.....	22
3.2.12 Interpreting Loading on Segments by Segments Type.....	22
3.2.13 Interpreting Loading Density on Segments.....	25
3.2.14 Interpreting Total Loading Ranges on Segments.....	28
3.3 Discussion.....	32
3.4 Early Warning System (ESW): The Austin District Model.....	32
3.5 Early Warning System (EWS) Framework.....	33
3.6 Truck Trip Generation.....	34
3.7 Comparison of Horizontal Fracking and Vertical Drilling Traffic.....	34
3.8 Predicting Truck Paths.....	35
3.9 GIS Mapping Tool.....	36
3.10 The Model.....	37
Chapter 4. Implementing Network Monitoring in Tamaulipas	40
4.1 Improving Roads and Road Safety.....	40
4.2 Background.....	40
4.3 Pavement Standards.....	41
4.3.1 Empty Truck Trips.....	44
4.3.2 Available Road Network.....	45
4.3.3 Changing Infrastructure for Tamaulipas.....	47
4.4 Shale Oil and Gas Formations.....	47
4.5 Texas Study Comparison.....	50
4.5.1 Pavement Maintenance.....	51
4.5.2 Studying Pavement Failure.....	52
4.5.3 Characterizing Truck Trips.....	53
4.5.4 Obtaining Water Resources.....	53
4.5.5 Process of Hydraulic Fracturing.....	55
4.5.6 Solutions for Storage.....	57
4.6 Tamaulipas ArcGIS Implementation.....	57
4.6.1 Acquiring Editable Data.....	58
4.7 Setting up the Model.....	58
4.7.2 Closest Facility, Shortest Paths.....	61
4.7.3 Critical Areas of Impact.....	63
4.7.4 ESAL Loading on Routes.....	65
4.7.5 Pavement Impact in Tamaulipas.....	66
4.8 Automated Approach.....	68
4.9 Conclusion.....	69
Chapter 5. Moving Forward on Fracking Initiatives in Mexico: Summary and Recommendations	71
5.1 Texas Study and Experience.....	71
5.2 GIS Implementation for Tamaulipas.....	71
5.2.1 Analysis in ArcMAP.....	71

5.2.2 Existing Road Network.....	71
5.3 Ensuring Pavement Life, Quick Fixes	72
APPENDIX A	73
APPENDIX B	77
References	82

List of Figures

Figure 1.1 Geological Provinces in Mexico	2
Figure 1.2 Onshore Shale Gas and Shale Oil Prospects in Eastern Mexico, 2013	4
Figure 1.3 Cross-Section of Shale Targets in Eastern Mexico, 2012	5
Figure 1.4 Areas of Unconventional Resource Exploration	6
Figure 1.5 Approval Process for Fracking Activities	7
Figure 1.6 Drilled Wells South of Tamaulipas, 2014	11
Figure 1.7 Drilled Wells in near Mexican-American border, 2014	12
Figure 1.8 Pipelines in Mexico: Crude Oil, Natural Gas, and Products Pipelines	13
Figure 1.9 The proposed Monterrey VI Aqueduct.....	14
Figure 1.10 Completed Guadalupe Victoria Aqueduct, Tamaulipas	14
Figure 1.11 Climate of Mexico	15
Figure 1.12 Pressure on Water Resources Per Hydrologic-administrative Region, 2007	16
Figure 1.13 Demand on Water Resources per Hydrologic-administrative Region, 2007	17
Figure 1.14 Water Features and Tourist Destinations in South Tamaulipas	18
Figure 1.15 Irrigated Areas, 1970	19
Figure 1.16 Distribution of Major Dams in Mexico, 2007	19
Figure 1.17 Water Reservoirs in North Tamaulipas	20
Figure 2.1 ESAL Ratios During a Week	7
Figure 2.2 Truck % of Traffic Over 24 Hour Period	8
Figure 2.3 3-S2 Truck Configuration and ESAL Loading	9
Figure 2.4 Active Oil and Gas Wells in Texas	10
Figure 2.5 Well Production Truck Traffic	12
Figure 3.1 Eagle Ford Shale Counties	15
Figure 3.2 Quarry to Oil Wells Only (Period 1)	18
Figure 3.3 All Model Inputs.....	20
Figure 3.4 Network Analyst Quarry to Oil Wells Output.....	21
Figure 3.5 All Shortest Path Routes Between Incidents and Facilities.....	23
Figure 3.6 Quarry Routes with Two or More Trips Involved.....	24
Figure 3.7 All Routes with Two or More Trips Overlapping on the Same Route.....	24
Figure 3.8 Density Line Tool.....	26
Figure 3.9 Quarry to Oil Routes: ESAL Density.....	27
Figure 3.10 All Routes ESAL Density	28
Figure 3.11 Join Data Window Inputs	30
Figure 3.12 Field Calculator Window Inputs	31
Figure 3.13 Final output showing exact ESAL numbers on each route	31
Figure 3.14 Texas Eagle Ford Shale Drilling Permits Issued.....	33
Figure 3.15 EWS Framework	34

Figure 3.16 Model with All Routes by Activity	37
Figure 3.17 Model with Routes Containing 2 or More Trips	38
Figure 4.1 3-S2 Truck Configuration and ESAL Loading, Proposed Maximum	42
Figure 4.2 Tamaulipas Road Network	47
Figure 4.3 Map showing extraction lease blocks and seismic information.	48
Figure 4.4 Tectonic Elements of the Burgos Basin in the NW Margin of the Gulf of Mexico	49
Figure 4.5 Oil and Gas Shales in Mexico	50
Figure 4.6 Burgos Basin Municipalities	52
Figure 4.7 Locations and Water Production Rates (lps) for Water Treatment Plants	55
Figure 4.8 Hydraulic Fracturing Water Cycle	56
Figure 4.9 Road Network of Mexico	60
Figure 4.10 Closest Facility, Shortest Paths	62
Figure 4.11 ESAL Critical Segments.....	64
Figure 4.12 ESAL Density of Routes	66
Figure 4.13 Total ESAL, Pavement Impact.....	68

List of Tables

Table 1.1: Table Dictionary of Terms and Definitions.....	22
Table 2.1: ESAL Number Calculation for Different Weights	9
Table 2.2: Horizontal Fracking Wells Data	11
Table 2.3: Oil Well Construction Truck Trip Generation.....	13
Table 2.4: Oil Well Production Truck Trip Generation.....	13
Table 3.1: ESALs for Truck Trip Generation.....	35
Table 4.1: ESAL Number Calculation for Different Weights in Tamaulipas	43
Table 4.2: Oil Well Construction Truck Trip Generation for Tamaulipas	43
Table 4.3: Oil Well Production Truck Trip Generation for Tamaulipas	44
Table 4.4: Truck Trip Generation for 3TS2 Empty Trucks	45
Table 4.5: Revised Oil Well Construction Truck Trip Generation.....	45
Table 4.6: Locations of Waste Water Treatment Plants	54

Appendix List of Tables

AASHTO Pavement Design Factors for Single Axle Loading	73
AASHTO Pavement Design Factors for Tandem Axle Loading.....	74
ArcGIS Tools for Processing.....	77
ArcGIS Tools for Selecting Features.....	77
ArcGIS Toolbars for Analysis	77
ArcGIS Tools for Creating the Model	78
ArcGIS Interpreting Total Loading Ranges on Segments Step-by-step Tool Guide.....	78
Measurement Scales for Types of Data	79

Chapter 1. Tamaulipas Background and Significance

1.1 Background

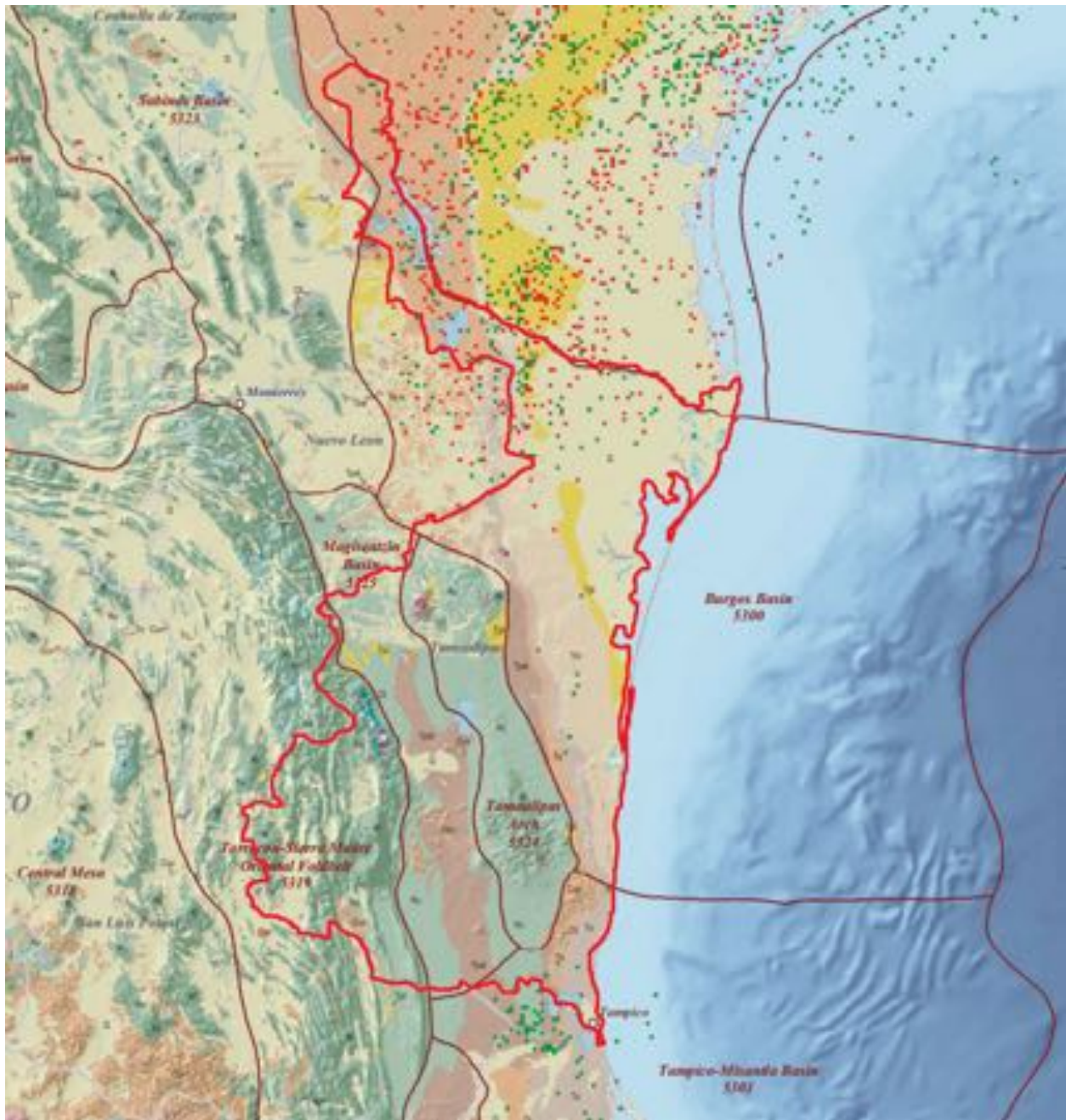
The state of Tamaulipas is the top oil producing state in Mexico and officials are anticipating a surge in fracking activity in the future. Still in the early stages of unconventional or shale oil and gas production, opportunities can be found in developing the area. It is likely that Mexico will seek partnerships with independent oil companies for expanded fracking activity. To this end, the approval and management processes are being streamlined, while efforts are being made to increase government transparency. The Federal Government is working to decentralize some functions, improve infrastructure, and is taking steps to increase safety for those working in the Mexican interior. With no other country besides the United States having seen such a significant increase in shale gas production over the last decade, Mexico has a chance to open up their horizons and access major shale formations in their country.

Fracking in Tamaulipas is likely to be focused in Burgos Basin, which is Mexico's most promising shale gas resource (EIA, 2015a; EIA, 2015b). Developing fracking in this area will require operators to solve logistical challenges. Tampico-Misantla Basin, located in the southern part of Tamaulipas, also has reserves set aside for drilling. These two formations will require much infrastructure development. For example, the transportation infrastructure contains a network of paved roads but there are many unpaved roads that will experience substantial traffic and subsequent damage. Moreover, appropriate water sources needed for fracking are limited throughout the area of Tamaulipas. Yet, reservoirs can be found toward the north, south, and middle of the state to conserve water. West of the Sierra Madre Occidental, Tamaulipas experiences moderate amounts of rainfall yearly with milder winters. In addition, fracking water sources that would compete with the needs of the population and/or agriculture are not feasible options. As a result, locations for safe disposal of water used during fracking must be determined, and transporting water across roadways is another factor that will affect road usage during fracking activities.

1.2 Energy Industry in Mexico

1.2.1 Overview of Geological Provinces in Tamaulipas

The State of Tamaulipas contains four basins within its boundaries: the Burgos Basin, the Sabinas Basin, the Tampico-Misantla Basin, and the Magiscatzin Basin (Figure 1.1). The Sabinas Basin only touches a relatively small area of Tamaulipas and does not have any oil and gas fields within the boundaries of the State. On the other hand, there is not very much information quantitatively assessing the Magiscatzin Basin's resource potential. Thus, it has not been identified as a top target for oil and gas recovery. Mainly two basins, the Burgos and the Tampico-Misantla, are considered the top potential prospects for hydraulic fracturing (see Figure 1.2). These basins contain various shale formations that have the potential to produce oil and gas. Overall, the two principal formations present within the Burgos and Tampico-Misantla basins are the Eagle Ford-Agua Nueva Formation and the La Casita-Pimienta Formation (Galicia-Barrios, 2013).



*Figure 1.1 Geological Provinces in Mexico
(Source: USGS, 2014)*

Burgos Basin

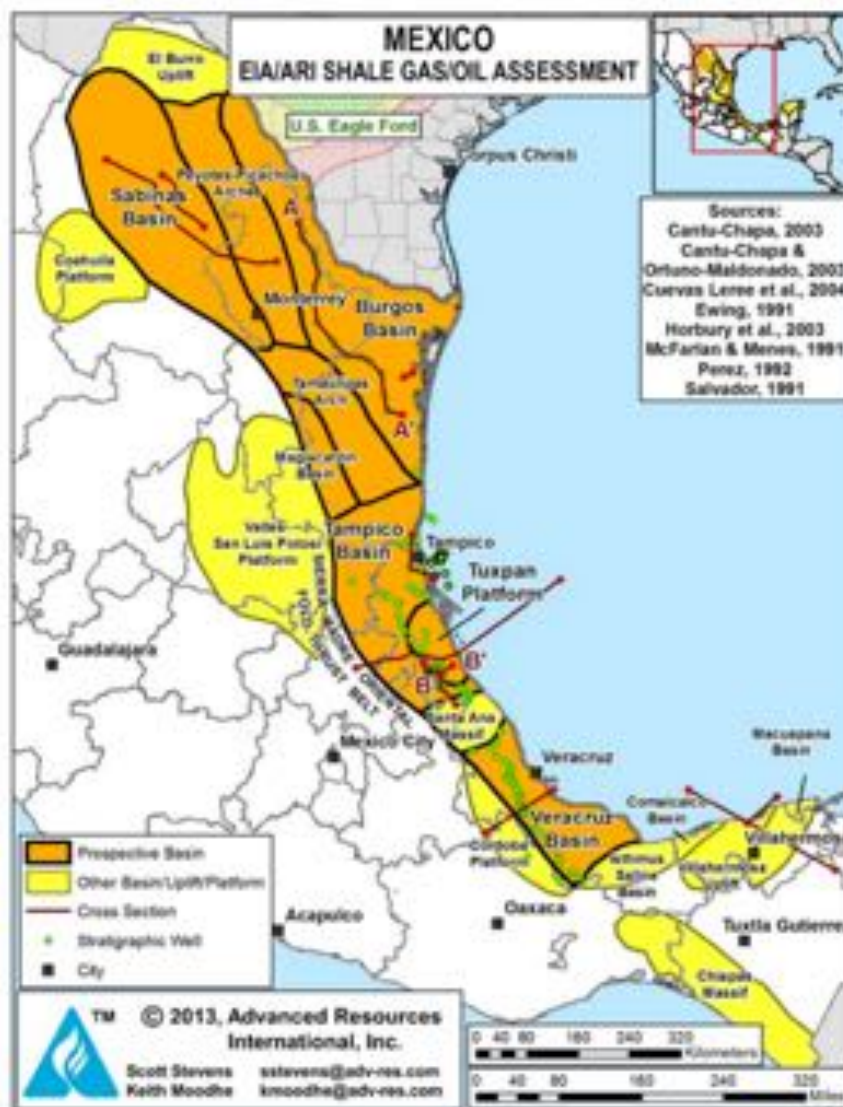
The Burgos Basin is a resource rich area with high prospects for drilling. Under Bid Rounds 2 through 4, CNH will put out to tender a total of 14,406 km² of license block area in the Burgos. The main target formation in the north is the Eagle Ford Shale. In the south part of the basin, the main target formations are the Pimienta and La Casita Formations (Stephens & Moodhe, 2015; EIA, 2015b). Geological assessments have revealed the presence of oil reservoirs in the Eagle

Ford and the presence of gas reservoirs in the Eagle Ford, Pimienta, and La Casita Formations. This basin has the potential to recover shale gas and oil resources estimated at 343 Tcf¹ and 6.3 billion barrels. Exploratory shale wells have been drilled in the Burgos Basin to gather data about the accessibility of these resources.

Tampico-Misantla Basin

Also located in Tamaulipas, the Tampico-Misantla Basin begins at the southern tip of the state. The Tampico-Misantla Basin will be the main contender of the Round 1 bids (Oil & Gas Journal, 2016). Moreover, CNH plans to put out to tender 17,625 km² of license block area in this basin (Stephens & Moodhe, 2015). While significant unconventional drilling has not yet occurred (ARI, 2013), the Tampico-Misantla Basin has a series of conventional oil fields having produced around 23 Tcf and 5.5 billion barrels of oil from over 20,000 wells since the year 1904 (Stephens & Moodhe, 2015). This particular basin lies between the Sierra Madre Oriental on the east and the Tuxpan Platform toward the west where it is known to produce from some of the oldest reserves. The Pimienta Formation has been identified as the main target for hydraulic fracturing with both oil and gas prone widows (EIA, 2015b).

¹ Basins are measured in terms of trillions per cubic feet (Tcf).



*Figure 1.2 Onshore Shale Gas and Shale Oil Prospects in Eastern Mexico, 2013
(Source: ARI, 2013)*

1.2.2 Energy Industry in Mexico

Information about Mexico's burgeoning energy industry is available, including the locations of basins, main water infrastructure, and major pipelines. In the past, research faculty and graduate students have traveled to the interior of Mexico collecting literature about the history, geography, and Mexico's transportation systems. In the recent years, there has been a reduction in travel into the interior. Most research is carried out using accessible data and general information from reputable public sources. Available charts and graphs show how the geological structure of Mexico is quite complex throughout the country. Mexico has coastal shales of narrow composition that are less continuous or more disrupted in structure when compared to the shale formations in Texas and Louisiana.

Figure 1.3 illustrates a cross-section of onshore shale targets in the Sabinas, Burro-Picachos, Burgos, and Tampico-Misantla Basins in Eastern Mexico. The shale formations are

shaded in black and the stars indicate potential targets for oil and/or gas products. The United States Geological Survey (USGS) was able to assess the potential for unconventional resources of shale-gas or shale-oil reservoirs by noting the high levels of organic carbon and thermal maturity for oil and gas production (2014). These unconventional resources have been explored for drilling, and the prospective resources have been found to show a number of areas under consideration.

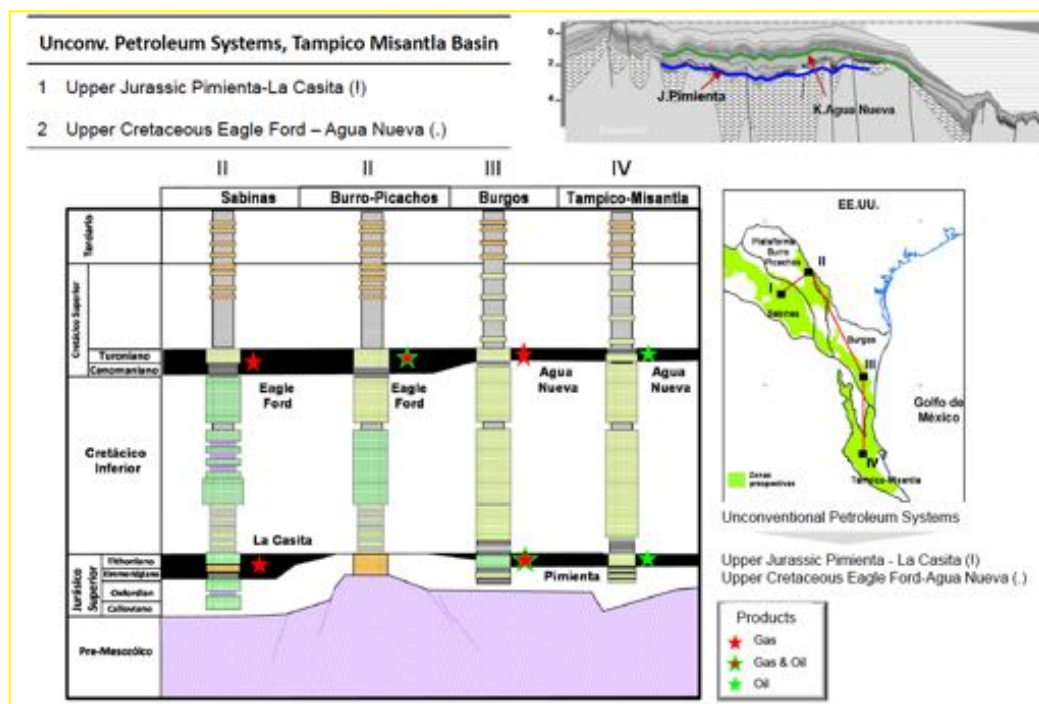


Figure 1.3 Cross-Section of Shale Targets in Eastern Mexico, 2012
(Source: Escalera Alcocer, 2012)

Opportunities for extraction and exploration of unconventional resources are still in its early stages. Within Tamaulipas, the prospective resources to be put out to tender are focused near the panhandle, middle, and southern portion of the state. As conventional resource exploration approaches, areas for drilling are continuing to be put out to tender by SENER.

1.2.3 Five Year Tender Plan for Exploration and Extraction of Hydrocarbons

Developed from CNH's proposal, Mexico's Five Year Plan was published by SENER and considered policy elements necessary for tender viability. The plan contains 96 exploration areas and 237 extraction areas, spanning a 235,070 km² area. The fields up for tender are divided into groups that will be offered to bidders in various rounds. SENER plans to make additions or modifications to promote a comprehensive policy in design for the oil sector.

Round Zero allocated Pemex prospective resources as well as proven and probable hydrocarbon reserves (2P) in conventional, deepwater, and non-conventional formations. The resolution allowed Pemex to keep 100% of its requested 2P reserves (83% of Mexico's 2P

reserves) and only 67% of its requested prospective resources (PEMEX, 2014). Most of PEMEX's awarded resources lie in conventional basins.

The Five Year plan divides the fields up for tender to private, international companies into four different rounds, and each round is divided into a number of phases. Round One is divided up into four phases tendering offshore exploration, offshore extraction, deepwater exploration, and onshore extraction lease blocks. Round 2 will offer the same categories of opportunities as Round 1 with the addition of onshore/offshore exploration lease blocks. Round 3 and Round 4 will be the only rounds not offering heavy crude lease blocks. Unconventional exploration blocks will be offered each round in Tamaulipas (Figure 1.4). The orange, yellow, green, and blue indicate unconventional lease blocks up for tender during Round 1, 2, 3, and 4, respectively. With the exception of Round 3, most lease blocks offered for unconventional exploration are located in Tamaulipas.

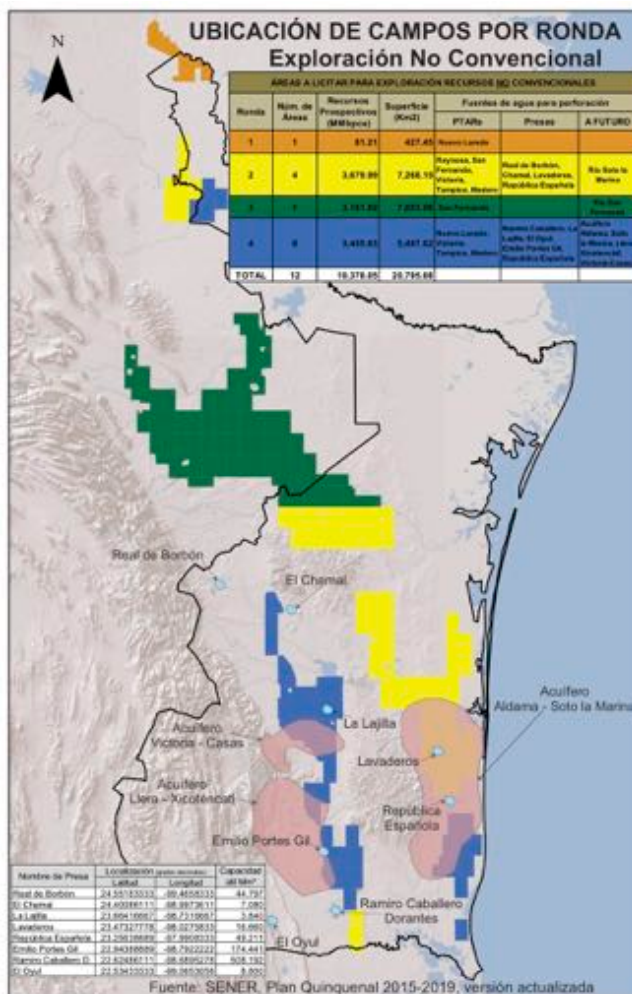


Figure 1.4 Areas of Unconventional Resource Exploration
(Source: Tamaulipas 2016)

1.3 Obtaining Approval to Drill

1.3.1 General Process

Mexico's complex approval and management process involves at least eight Federal Agencies. A summary of the regulatory agencies is in Section 1.4. Mexico is currently in the process of streamlining its processes. Figure 1.5 shows an overview of the process. Generally, the process begins with a visit with PEMEX to hiring approval. Then, project is submitted to CNH, the Energy Regulatory Commission, for approval. Finally, SENER executes contracts and handles financial reporting.

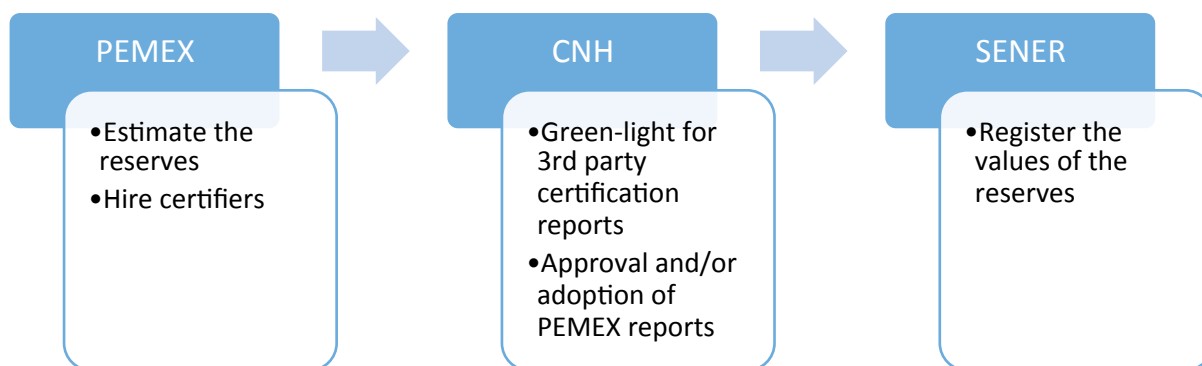


Figure 1.5 Approval Process for Fracking Activities
3rd Transitory Law for Hydrocarbons Translated from Spanish. Original language available at www.rondal.gob.mx.

Currently, CNH is operating under Round 1 and the information about the bidding process, the timeline, and bidding documents can be found online. The website's main page, <http://www.rondal.gob.mx>, shows the links to that lead the user to each phase's section. After clicking on a phase, the website navigates the user to the phase's main page. The top bar has a menu called "Proceso de Licitacion" (in English, Tender Process), which will navigate to the user to an overview of the bidding process specific to the phase, the timeline specific to the phase, and bidding documents.

At the end of each Round, exploration and production rights are granted to the winning Operator, however, it may be necessary for the Operator to seek additional permits. In the case of an exploration lease block award, the rights to explore do not grant the right to drill. In order to drill, there are other permits that an Operator will need to acquire. CNH is the agency that will grant drilling permits (Arangua, Valadez, & Ortiz, 1998-2016).

Mexico is currently undergoing many changes during its Energy Reform, and as a result specific fracking regulations have not been released. Mexico will continue to award license blocks for unconventional exploration and extraction. As of May 2016, regulations, special licenses, and/or environmental permits specific shale gas exploitation have not been developed (Arangua, Valadez, & Ortiz, 1998-2016). Many laws have been updated and regulations are changing, so there is always a possibility that new legislation may be added in the future.

1.4 Regulatory Agencies

The following summary information for the various regulatory agencies involved in the permitting process for fracking is derived from information authored by (Ruffo, Torres, Miranda, & Simon, 2015).

1.4.1 SENER (Ministry of Energy)

SENER is Mexico's Ministry/Secretary of Energy. They delegate the following:

- Granting exclusive exploration and production agreements for Pemex.
- Selection of the blocks for public bidding.
- Technical design of contracts through which Mexico grants new exploration and production contracts. They design contracts for both Pemex and private parties.
- Technical requirements to be followed in the public bid for exploration and production contracts. CNH is responsible for the bidding process.
- SENER has official standards for dealing with environmental health and safety in transportation and distribution pipelines projects. The Employment Ministry and CNH also have health and safety standards.

1.4.2 CNH (National Hydrocarbon Commission)

The Comisión Nacional de Hidrocarburos (CNH) is Mexico's energy regulatory agency. They regulate and supervise the exploration and extraction of hydrocarbons, as well as processing, transporting, and storing Pemex's hydrocarbons. Right now, most of CNH's work is for Pemex, but it is possible that the developing new energy reforms will broaden their scope to include environmental protection, safety, and reserve maintenance. They are responsible for:

- Providing technical advice to SENER.
- Collecting geological and operational information associated with exploration and production activities.
- Authorizing recognition and superficial exploration services.
- Carrying out public bids for the award of exploration and production contracts.
- Defining the winners of bids and executing agreements with the bidder.
- Managing exploration and production contracts.
- Supervising the extraction programs.
- Issuing regulation associated with the exploration and production of hydrocarbons.
- Grants, subject to the fulfillment of the required procedures, licenses, exploration and production contracts and geophysical and geological exploration permits, as well as drilling permits (Arangua, Valadez, & Ortiz, 1998-2016)
- Ensuring health and safety in oil and gas exploration. The Employment Ministry is also involved with health and safety regulation.

1.4.3 CONAGUA (National Water Commission)

CONAGUA is the National Water Commission in Mexico which respectively preserves the national water to sustain use. They are in charge of the following:

- Regulating the use, extraction, and dumping of water

- Publishing yearly volume available for extraction from each watershed
- Granting yearly volume concession from CONAGUA required in order to extract water
- Issuing the required federal permit for the use, extraction, and dumping of water
 - Depending on the amount of water, may also need an additional environmental permit from SEMARNAT (Section 1.4.7)

1.4.4 CRE (Energy Regulatory Commission)

The CRE regulates natural gas and electricity downstream activities. It also grants permits for the storage, transport, and distribution of crude oil, oil derivatives, and petrochemicals through pipelines.

As of 2014, there is no access for third parties to upstream and midstream oil and gas pipelines and downstream pipelines because Pemex is the only producer and thus the only one who uses the pipelines.

Pemex has included obligations for third-party contractors in their production services contracts. New contractors are required to share infrastructure and pipelines with Pemex and other contractors. The contractor must allow access to pipelines and other associated infrastructure in their contractual area if Pemex or another third party requires access to the infrastructure. In general, distribution and transportation permit holders must provide open access to their pipelines and networks. Open access may be executed through a service agreement.

Pemex

Pemex participated in the 2015 Ronda 1 / Round 1 bidding process independently and in association with other companies. Throughout 2015, Pemex planned to establish 10 partnerships to develop 14 fields under a joint venture scheme (SENER, 2014). Pemex has its own internal policies and procedures for self-regulation, in addition to CNH's guidelines and policies.

1.4.5 CNIE (Foreign Investments National Commission)

The Comisión Nacional de Inversiones Extranjeras (CNIE) is the Foreign Investments National Commission. Responsibilities include:

- Dictate policy guidelines on foreign investment and design mechanisms to promote investment in Mexico
- Resolve, through the Ministry of Economy, on the source and where appropriate, on terms and conditions for the participation of foreign investment
- Being an organ of obligatory consultation on foreign investment
- Set criteria for the application of legal provisions for agencies of the Federal Public Administration

1.4.6 Secretaría del Trabajo y Previsión Social (Employment Ministry)

The Employment Ministry has in place several environmental and safety guidelines and official standards that all Mexican companies must comply with.

- Ensuring health and safety in oil and gas practices

1.4.7 SEMARNAT (Ministry of Environment and Natural Resources)

SEMARNAT is Mexico's Ministry of Environment and Natural Resources. They evaluate the environmental impact of fracking activities and issue additional environmental permits as needed. They also oversee the handling of hazardous waste. Once a project is submitted to SEMARNAT for evaluation and approval, a summary of the project is published in the Federal Ecological Gazette and in a widely distributed newspaper. SEMARNAT has two pieces of legislation: LGEEPA and LGPIGIR, which are described below.

LGEEPA (General Law of Ecological Balance and Environmental Protection)

- Provisions for Single Environmental License for air emissions generated from fixed sources

LGPIGIR (General Law for the Prevention and Integral Managing of Waste)

- Describes procedures for environmental remediation at sites damaged or contaminated with hazardous waste or substances.
- Guidelines for identifying hazardous waste.

1.4.8 Local Government: Municipalities

A municipality (*municipio*) is a basic unit of government within Mexico. Municipal governments headed by a municipal president (*regente*) hold responsibilities similar to counties in the U.S. These governments are responsible for a variety of services to include:

- Managing public safety on local streets and roads
- Maintaining public services such as water and sewage, etc.
- Assist in caring for parks, gardens, and cemeteries
- Emitting construction permits within their territorial limits
- Allowing or forbidding fracking within their territorial limits

1.5 Existing Permits and Wells

Contractors of Pemex and investors have been exploring, extracting, and participating in farm-outs within Mexico. At this time, contracts are being permitted out to those seeking interest in drilling south in Tamaulipas. The major unconventional petroleum systems within Tamaulipas are located in the Tampico-Misantla and Burgos Basins. Up until recently, fracking in Tamaulipas had only occurred in a select few exploratory wells. In February 2016, CNH granted Pemex the first permits allowing the petroleum company to frack in Tamaulipas as well as in Veracruz (Regeneración, 2016). Figure 1.6 shows the previously existing conventional wells owned by Pemex and investors as well as the existing pipelines.

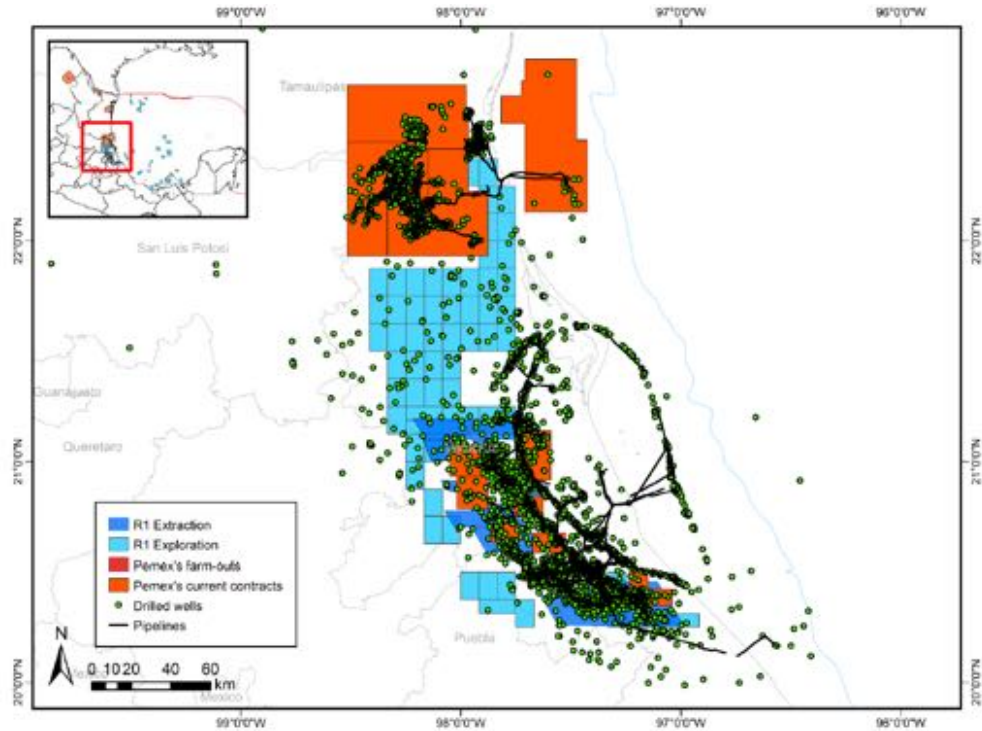
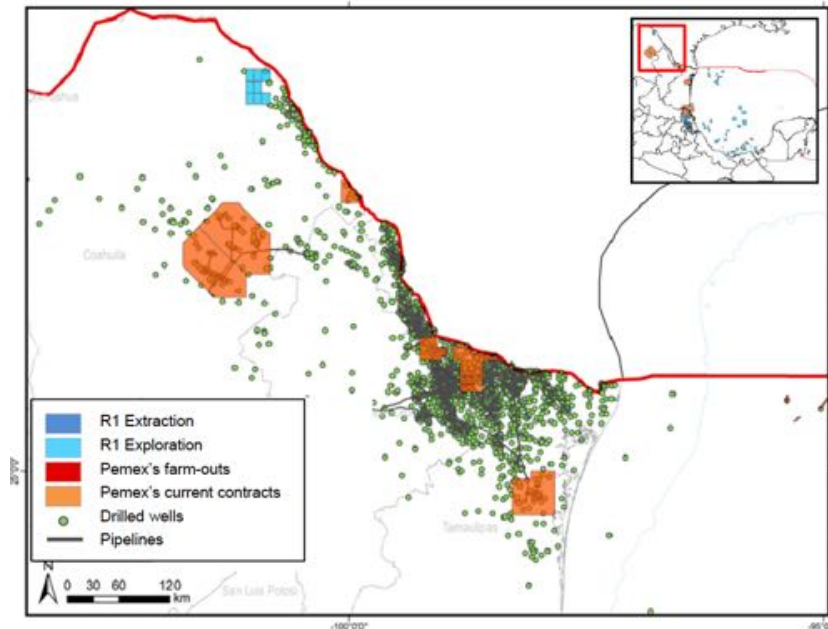


Figure 1.6 Drilled Wells South of Tamaulipas, 2014
(Source: SENER, 2014)

The light blue shows the exploration blocks up for tender in Round 1, and as such the potential area where new wells will be located. Moreover, the dark blue shows the extraction blocks offered in Round 1. There are some current Pemex contracts in the very southern portion of Tamaulipas, but these already existing wells are not connected to any pipelines within the state.

Near the Mexican-American border, there is a considerable amount of conventional wells already drilled, a few areas with current Pemex contracts, and a very small block up for tender (Figure 1.7).



*Figure 1.7 Drilled Wells in near Mexican-American border, 2014
(Source: SENER 2014)*

Where the existing wells are heavily concentrated, pipelines already exist. The existing drilled wells in Coahuila are very spaced out, but not few in number. The light blue area has been classified as an exploration area for Round 1 with the potential for reserves, indicating that the probability that new wells may be drilled in that location in the future.

1.6 Infrastructure Support

1.6.1 Pipelines

The construction of pipelines allows for natural gas to be supplied in the center and toward the west within the country. The demand for electricity and natural gas production is supported, and as well as natural gas exports across the world. Figure 1.8 shows the path of three major pipelines in Tamaulipas. The pipelines connect and distribute as follows:

- Intra-country oil pipeline from Tampico at the very southern tip of Tamaulipas to Monterrey, just west of Tamaulipas in the mountains of Nuevo Leon.
- Intra-country natural gas pipeline from Reynosa in Northern Tamaulipas, just south of McAllen, Texas, to Merida on the Yucatan peninsula.
- Intra-country natural gas pipeline from Reynosa to Monterrey
- Intra- country natural gas pipeline from Reynosa to Nueva Rosita in Coahuila (pipeline runs parallel to the border with Texas and passes through the states of Tamaulipas, Nuevo Leon, and Coahuila. Nueva Rosita is about 150 miles as-the-crow-flies from Big Bend).
- Intra-country products pipeline from Monterrey to Ciudad Madero, just north of Tampico.

- Cross-border natural gas pipeline (the only cross-border pipeline in Mexico, according to the map) runs from Reynosa, Tamaulipas to El Paso, Texas.



*Figure 1.8 Pipelines in Mexico: Crude Oil, Natural Gas, and Products Pipelines
(Source: Teadora, 2008)*

The end of Pemex's monopoly on drilling activities creates a critical opportunity to modernize Mexico's oil and gas industry. Particularly, pipelines drawn throughout the country could help unify the oil and gas industry between Mexico and the United States. Energy needs could be consolidated in the future. One result of new infrastructure development could be that Tamaulipas would be able to export resources.

1.6.2 Water Transport Infrastructure

This section briefly summarizes water transport infrastructure. Mexico has several water infrastructure projects underway to build dams, aqueducts, sanitation systems, and desalinization plants. There are two desalinization plants on the west coast in La Paz, in the Baja California Sur. Construction is in the planning stages for three more plants in the region (CONAGUA, 2016; Arcos & Manuel, 2015).

Two aqueducts are being built in Tamaulipas. The proposed Monterrey VI aqueduct is shown below in Figure 1.9. The aqueduct passes through Tamaulipas to deliver water to Monterrey in the state of Nuevo Leon. It is uncertain whether cities in Tamaulipas will be able to access the water.



Figure 1.9 The proposed Monterrey VI Aqueduct
(Source: CONAGUA)

Construction on the Guadalupe Victoria Aqueduct began October 2014 (See Figure 1.10). When complete, it will transport water from the Vicente Guerrero Dam to Ciudad Victoria, the capital of Tamaulipas.



Figure 1.10 Completed Guadalupe Victoria Aqueduct, Tamaulipas
(Source: CONAGUA)

1.7 Fresh Water Sources

1.7.1 Climate

Tamaulipas has limited freshwater sources. In most of the state, surface water is limited, groundwater is over-utilized, and water sources are slow to renew due to high temperatures and low rainfall compared to the amount of water lost to evaporation.

The state experiences a varied climate. As shown by Figure 1.11, four climate types coexist in the state of Tamaulipas. Generally, rainfall increases and temperatures decrease in the southern areas of the state. The northwestern part of the state experiences steppe climate with an average annual temperature above 64.4°F, with dry winters. Most of the state experiences a semiarid climate with small to moderate rainfall in all months, dry winters, and increased rainfall in autumn. The southern portion of the state experiences a dry, subhumid climate. The semi-tropical area in the Sierra Madre Occidental, to the southwest, has cooler temperatures and higher rainfall than the rest of the state. Figure 1.12 illustrates the average rainfall for Matamoros in the northeast of the state, and Tampico, in the southeast of the state. In Matamoros, approximately two months of the year receive more rainfall than is evaporated. Tampico, by contrast, experiences cooler temperatures and more rain, so the soil is able to recharge during four months of the year.



Figure 1.11 Climate of Mexico
(Source: Arbingast et al., 1975)

1.7.2 Water Availability

Mexico does not have deep, extensive lakes; the volume of water stored in lakes and lagoons is small—just over 6.3 km³. Of the water stored in dams and reservoirs, about 80% is discharged into the sea without being consumed and a large amount evaporates into the atmosphere. Of the remaining water available for consumption, agriculture uses 77%, the public uses 14%, and 9% is used for industrial purposes (SEMARNAT 2015; CONAGUA, 2008).

Water availability per capita has decreased over time in Mexico. In 1950, the average availability was 17,742 m³ per capita, which was reduced in 1960 to just less than 11,000 m³. In 1970, it fell below 8,000 m³. In 2007, water availability per inhabitant was 4,312 cubic meters per inhabitant per year. This is below the lower limit of the World Resources Institute’s “low availability” classification. This is less than half the per capita availability of the US (10,270 m³). That year, the National Population Board (CONAPO) estimated that by the year 2010 water availability per capita would fall to 4,210 m³ per capita and in 2030 there will be 3,783 m³ per inhabitant per year (SEMARNAT, 2015).

The availability of water by administrative water region is shown in Figure 1.12. The administrative water regions are roughly delineated along climactic and geographic lines. Tamaulipas is divided into Region VI and Region IX. Region VI has an average 1,124 m³ per inhabitant, which is only 124 m³ above the World Resource’s Institute’s “extremely low availability” classification. When water availability falls below 1,000 m³ per inhabitant per year, food security and economic development are severely compromised. Mean total natural surface runoff for Region VI is 6,857 hm³ and their average aquifer recharge is 5,157 hm³. It is important to note that most of the Burgos Basin lies in the in VI (SEMARNAT, 2015). Region IX has better water security, with an average 5,162 m³ per inhabitant per year. But if Mexico includes surface runoff in the calculations, inhabitants are able to access the stated 5,162 m³ per year. Mean total natural surface runoff is 24,227 hm³ and the aquifer recharge is only 1,274 hm³ (SEMARNAT, 2015).



Figure 1.12 Pressure on Water Resources Per Hydrologic-administrative Region, 2007
(Source: CONAGUA via SEMARNAT)

It is clear that water is in short supply in Tamaulipas. Figure 1.13 shows the demand that water resources experience naturally. There is anywhere from 1,000 to 10,000 m³ of available water. In Region Vi, 76% of available water sources experience demand.



*Figure 1.13 Demand on Water Resources per Hydrologic-administrative Region, 2007
 (Source: CONAGUA via SEMARNAT)*

Most of the water in the portion of Tamaulipas lying in Region IX comes from the aquifers and surface reservoirs in the southwest part of the state where there is no oil. While it would be possible to transport this water to potential fracking sites, this could have negative effects on the low volume roads of the state since water is a heavy commodity. Southwest and South Tamaulipas is at the foothills of the Sierra Madres, with a beautiful mountain backdrop, a lake surrounded by tropical forest, and sunken lagoons embedded in the underlying karst formations otherwise recognized as cenotes (See Figure 1.14). This ecologically rich area with natural pits or sinkholes has attracted tourists for years, benefitting the local economy.



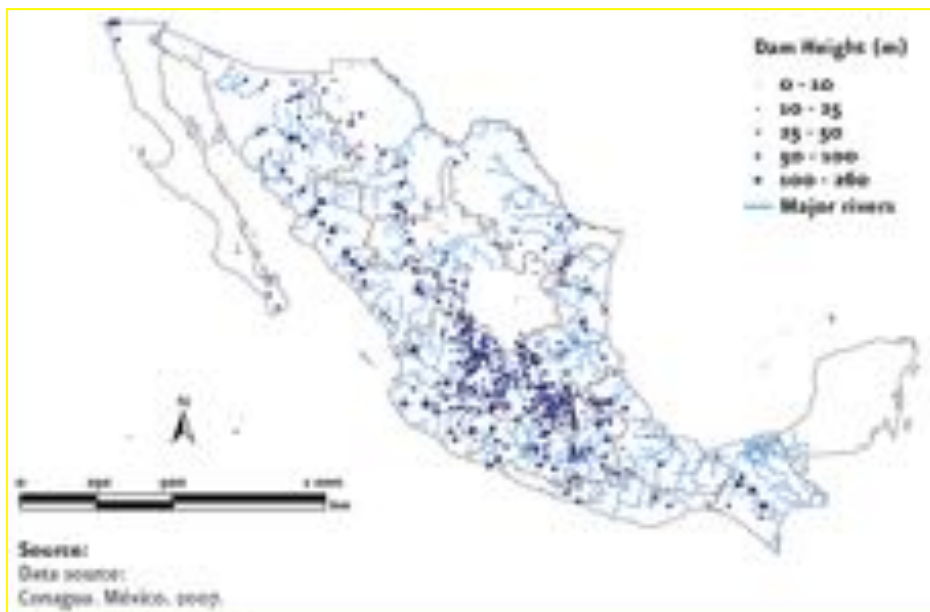
Figure 1.14 Water Features and Tourist Destinations in South Tamaulipas

Sources: http://www.surdetamaulipas.com.mx/aldama_mapa.htm,
 NASA satellite via Cusco Web: <http://www.cuscoweb.com/noticias/detalles.php?d=6939>, and
<http://turismo.mexplora.com/los-cenotes-de-mexico/#prettyPhoto>

A map of irrigated areas, reservoirs, and subterranean water is shown below in Figure 1.15 and Figure 1.16. A string of reservoirs in the northwest has a capacity of more than one billion cubic meters. Further south is a reservoir of between 10 million and 100 million cubic meters and several rivers. In 1970 there was a large reservoir under construction near Ciudad Victoria, the state capital. This reservoir is visible on the map. Since 1970, Mexico has added more dams (see Figure 1.16).



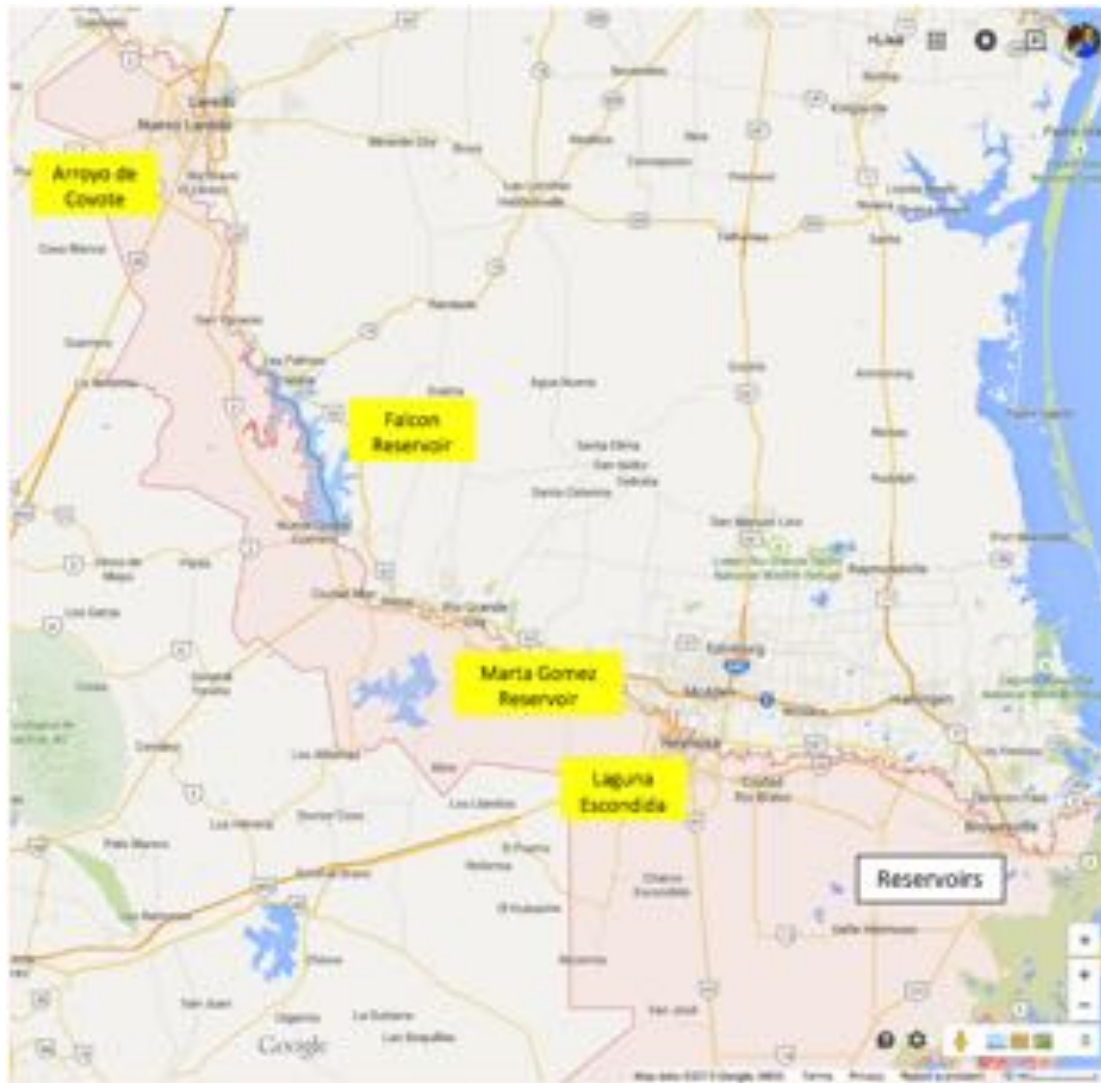
*Figure 1.15 Irrigated Areas, 1970
(Source: Arbingast et al., 1975)*



*Figure 1.16 Distribution of Major Dams in Mexico, 2007
(Source: CONAGUA, 2007 via SEMARNAT)*

There are several reservoirs varying in size along the U.S. border (See Figure 1.17). Falcon International Reservoir is a reservoir on the Rio Grande near Laredo, Texas / Nuevo Laredo, Tamaulipas. It provides water conservation, irrigation, flood control, and

hydroelectricity to both Tamaulipas and Texas. Tamaulipas has rights to 41.4% of the total conservation capacity. As of May 18, 2015, the total conservation storage of the reservoir is 651,799 acre-feet (Water Data for Texas, 2015).



*Figure 1.17 Water Reservoirs in North Tamaulipas
(Source: Google Maps)*

Another likely, formerly considered source for obtaining usable water is the Rio Bravo. However, through recent research of the area, the Rio Bravo (otherwise called the Rio Grande) will not have the means to support the amount of water needed for fracking. The Rio Grande is one of the longest rivers in America providing a boundary between the United States and Mexico. With the climate and allocation of resources, the area of discharge shrinks heading downstream. Around 2002, the reduction of flow from the river had led to blockage of sand to the mouth of the river near the Gulf of Mexico. This challenge resulted in compliance issues among international treaties.

Certainly, the government has addressed improvements for the distribution of water to decide on how to better use the international border. Wastewater treatment plants are to be constructed with the oxidation of lagoons in mind. The Border Environment Cooperation Commission analyzed the design procedures of Wastewater Infrastructure where they justified using reliable and efficient collection systems based on deliverability.

1.8 Transportation and Infrastructure

The conditions of roads in Mexico vary widely depending on the city. Road markings, signage, and overall street appearance differ in quality. Often times, accidents involving heavy trucks occur on roadways where high volumes of traffic exist. Usually isolated roads are to be avoided during late afternoon and early evening hours. Mexico's federal agency, the Secretaría de Comunicaciones y Transportes (SCT), allocates funds for Mexico's building of modernized roads. Of those funds, investments are authorized for the development of communities. Specifically for Tamaulipas, rural development projects have become a sought after solution to problems or obstacles. Areas of potential growth were identified and given supportive funds to be used for equipment and infrastructure. This resource provides potential for resolving issues involving roads and safety. The SCT seeks to develop a sustainable economy by using their resources to fund different needs within the country. Administrative bodies are set-up to regulate the funding spent on modes of transportation.

The road network of Tamaulipas is made up of four distinctive parts: federal, state, rural, and improved roads. Generally the federal roads have the strongest pavement sections so they are best equipped for use by heavy truck loadings, however, the improved roads generally have much weaker pavement structures so they are susceptible to develop pavement damage with large, heavy truck loadings.

Tamaulipas is divided into municipalities, in which each municipality is in charge of its local streets. However, while they are in charge of major road construction on their local streets, the budget of each municipality tend to be small.

1.8.1 Roadway Conditions

The area of Reynosa, Tamaulipas established goals relating to the strengthening of pavement and urban infrastructure improvements. Roadways were paved with several points in mind: nearness to city, ongoing traffic, and influence of transportation patterns. The purpose of choosing these particular roadways was to promote development in the community while improving the quality of life. The Border Environment Cooperation Commission showed how changes could be made to the urban road system to reduce travel times.

Unpaved roadways, no matter urban or rural, tend to be significantly impacted by heavy truck traffic. To examine further, about 40% of the streets in Reynosa are paved, 200 million m². However, 60% of the city roads lack pavement and these produce excess vehicular emissions and dust. In essence, street paving will lessen the exposure of inhabitants to particulate matter caused by traffic on the roadways. Unpaved roads experience significant impacts due to truck traffic and the impact increases with the number of truck trips.

Table 1.1: Table Dictionary of Terms and Definitions

Dictionary of Terms and Definitions
Approval (of contracts, applications, legal documents, etc.) – aprobación or visto bueno
Embalse Internacional Facón – Falcon International Reservoir, a.k.a. Falcon Lake. It is a reservoir on the Rio Grande near Laredo, Texas / Nuevo Laredo, Tamaulipas. It provides water conservation, irrigation, flood control, and hydroelectricity to the area. Tamaulipas has rights to 41.4% of the total conservation capacity (Water Data for Texas, 2015).
Energy Regulatory Commission (ERC) is called (CNH) in Mexico
Environmental Impact Assessment (EIA) is required for fracking activities. The assessment is submitted to SEMARNAT. It is unclear whether SEMARNAT actually assesses the activities independently of the oil company's assessment, but they do seem to process the paperwork and grant authorization. SEMARNAT grants authorization within 60 days. It can be extended another 60 days according to the complexity of the project (Landa Ruffo, 2014).
Extracción de gas no convencional – unconventional extraction of gas. Some Spanish-language sites refer to fracking this way.
Falcon International Reservoir – Embalse Internacional Facón. See entry above for more information.
Federal Ecological Gazette publishes a list of potential fracking endeavors that have been submitted to SEMARNAT for evaluation and approval.
Fractura hidráulica / fracturación hidráulica – hydraulic fracturing, i.e., “fracking.” Fractura hidráulica es metodologías para la evaluación de reservas de hidrocarburos técnica de fracturación hidráulica para la extracción de gas no convencional.
Hidrocarburos – hydrocarbons, e.g., crude oil, methane, petroleum, butane
CRE – Energy Regulation Commission
Comisión Nacional de Hidrocarburos (CNH) is Mexico's Energy Regulatory Commission
Gas no convencional – “unconventional gas.” some Spanish-language sites refer to the products obtained by fracking as “unconventional gas.”
LGEEPA is Mexico's General Law of Ecological Balance and Environmental Protection. It, along with its Regulations on Environmental Impact Matters, provides a list of works and activities related to oil and gas that must be reported to SEMARNAT for assessment (Landa Ruffo, 2014).
LGPGIR is Mexico's General Law for the Prevention and Integral Managing of Waste. It is part of SEMARNAT's regulatory laws.
PEMEX – Short for Petroleos Mexicanos, it is a Mexican state-owned petroleum company. Emilio Lozoya Austin is the current CEO of Pemex.
SEMARNAT is Mexico's Ministry of Environment and Natural Resources. They evaluate the environmental impact of fracking activities. They also oversee the handling of hazardous waste.
SENER – Secretaria de Energia. Mexico's Secretary of Energy.
SHCP – Secretaria de Hacienda y Credito Publico. Mexico's Secretary of Ranches and Public Credit.
Visto Bueno – “green light” to proceed and/or approval (of contracts, applications, legal documents, etc.)

Chapter 2. Forecasting Locations and Pavement Loading Due to Development Activities

Pavement maintenance is for most departments of transportation (DOT's) one of the largest single cost items. Historically over half of most DOT budgets have been spent on Operations and Maintenance. Unusually heavy traffic loading caused by land development, re-development, or mining can distort expected pavement deterioration patterns, which sometimes cause unexpected pavement destruction. Costs to reconstruct a destroyed pavement can be ten times greater than the cost of strengthening the pavement adequately to handle the heavy loading. Forecasting future at-risk pavement locations can help to prevent costs associated with completely rebuilding destroyed pavement. In order to save money and cut down on maintenance costs, pavements that are likely to experience unusually severe traffic loading should be identified. Anticipating activities that cause increased truck loading can help prescribe preventative measures to reinforce pavement structures before they fail.

The rate of pavement deterioration is dependent upon weather conditions, type of pavement and traffic loading. Land development activities, sometimes called land use change activities including mining (petroleum resource recovery), frequently cause large concentrations of truck traffic loading on minor roads that have weak pavement structures. The result is accelerated pavement deterioration resulting in pavement destruction before maintenance authorities realize what is happening. Savings of up to 90% of the cost of total pavement reconstruction can be achieved if sufficient strengthening to the existing pavement is made before it is destroyed.

High truck flow rates typically generate heavy pavement loadings. Heavy loadings are generally less damaging to major highways (i.e., in Texas - Interstate, US, or State numbered routes) because such highways are designed to carry high volumes of heavily loaded trucks. However, if unanticipated heavy loadings occur on rural/local streets or low volume highways (i.e., in Texas - Farm to Market or Ranch to Market highways) very accelerated pavement deterioration occurs. Local streets are usually designed mainly to carry passenger cars and occasional truck traffic. County highways were designed originally for farmers to transport agricultural products to markets in towns and cities. Unexpected large volumes of heavy truck traffic with heavy loadings can pose severe effects to the pavement or even cause destruction to the surface of a low volume road.

Current state of practice for maintenance differs from one state to another. Texas Department of Transportation (TxDOT) divides the present state of practice for maintenance into three categories: preventative maintenance, routine maintenance, and major maintenance. TxDOT defines preventative maintenance as any maintenance performed to "prevent major deterioration of the pavement." Examples of such maintenance include overlays or seal coats. Also, major maintenance can be done to restore destroyed pavement, including replacing the base as well as the wearing surface. Preventative maintenance delays the need for major maintenance. The current planning process in each TxDOT district consists of each individual district developing an annual plan for maintenance projects by "analyzing historical quantities of work performed and the resulting level of service" (Holland, 2014). An Early Warning System can help capture those changes in land use and forecast their effects on pavement. This tool can be used to help prioritize pavement maintenance for more effective use of tax dollars.

2.1 Current Practice

The current practice of maintaining pavement depends on annual reports of pavement condition and historical data that does not take into account unexpected traffic changes. Unexpected high truck traffic flow will not only damage pavements, but will produce significant economic impacts. Predicting truck travel patterns can serve as a potential solution to saving money on maintenance costs.

Preventive maintenance is usually a cost-effective procedure that is done to extend the pavement's life. According to Galehouse (2003), "preventive maintenance can extend pavement life an average of 5 to 10 years." However, preventive maintenance should be applied on the pavement at a specific time frame before failure in order to maximize effectiveness. The current state of practice for maintenance planning does not include provision for sudden, unexpected changes in typical traffic patterns. This is due to the deficiency of truck data, which plays a major role in damaging pavements. Truck trip characteristics are usually related to the associated industry. Studying industries related to the growth of truck traffic in an area can help provide truck movement data.

2.2 Early Studies: Characterizing Pavement Failure

The American Association of State Highway Officials (AASHO) conducted the early studies quantifying the effect of traffic on pavement. AASHO saw the need to develop standards to help build better roads. The lack of data for pavement design and the need to save money by optimizing design methods was the motivation behind this study. The AASHO pavement design method quantified pavement failure for the first time and introduced the equivalent axle concept. The equivalent axle concept provides an expression of the pavement damage caused by one pass of any axle weight compared to the damage caused by one pass of a chosen standard weight axle. An 18,000 pound (~8.16 metric tons) single axle is often chosen as the standard (Kawa, Zhanmin, & Hudson, 1998). With the tools from AASHO, we can use equivalent single axles (ESALs) to describe truck impacts on pavement and by that also classify road segments in terms of priority for future pavement maintenance projects.

2.2.1 Previous studies: Methods for Forecasting of Pavement Failure

Previous research has used surveys to gather data about truck movements to build statistics-based forecasts. This pavement failure forecasting technique is effective if data is abundant and easy to gather but issues arise with these types of models if data is scarce. Spatially transferred data from similar locations can be used, but it is not sufficiently accurate for pavement failure forecasting. Surveys can be costly and time consuming, and updating survey data can be complicated.

Truck trip modeling can help predict the trips made by trucks and pavement impact. Transportation Research Board Special Report 288 (TRB SR288, 2007) discussed the need for improving travel-forecasting models because of the lack of treatment of commercial and freight data. Because of the lack of truck vehicle data, researchers have designed different methods to predict future truck movements.

Truck traffic production and attraction data along with a Geographic Information System (GIS) can be used as an alternative to develop truck flow prediction models. Tirado, et al. (2006) used a finite element model to calculate pavement distress and then developed a graphical output using Visual Basic to be used on ArcView (GIS Software) to show damages caused by super

heavy loads on a specific route. Tirado et al. (2006) introduced the use of GIS software to develop models that can help visualize locations of distress and rutting. However, ArcGIS has the power to be used not only as a visual tool to show outputs of other software, but also as an analysis tool. Another study that used GIS based data for pavement research, Osegueda, et al. (1997), used overweight/oversize permit data in relationship with available GIS base maps from the TxDOT database to generate shortest paths between origins and destinations in Houston. The tool is easy to update with new data, TransCAD GIS software shows the maps, and the Dijkstra algorithm finds the shortest paths between origins and destinations. Osegueda, et al. (1997) considered the issued overweight/oversize permits and investigated conditions of bridges (using the BRINSAP database) along the route of the permit. However, this study only considered the damage on specific bridge locations and did not consider predicting where the most damage will occur along routes. We used truck trip generation tables, as provided by the NCHRP Synthesis of Highway Practice, and GIS data to characterize pavement damage in terms of ESAL loadings along routes. This alternative approach can save money and time in comparison to traditional surveying techniques. ESAL data is easily accessible and updated frequently, therefore a model can be built for recurrent use.

Most planning methods for smaller urban areas and rural areas assume that trucks are only a small fraction of the traffic, but studies show that trucks make up a significant portion and should not be ignored. Cambridge Systematics (2004) used the Freight Analysis Framework data to analyze congestion in urban and rural areas, with and without truck data, and found that excluding truck data would only show congestion in urban areas and not any of the congestion present in rural areas. Trucks have a direct impact on congestion in rural areas.

2.3 Previous Studies: Characterizing Oil and Gas Industry Related Truck Trips

The oil and gas industry plays a vital role in the economy of Texas. The effects of truck traffic greatly impact the Texas road network. In 2014, there were more than 1 million active wells in the United States; more than $\frac{1}{4}$ of those wells are located in Texas (Kelso, 2014). The Texas transportation network transports oil for most of the surrounding states and its own growing population.

The effect of unexpected loading has been extensively studied in the area of bridge and road structures, but recently this concept has received more attention for pavement management. The Utah Department of Transportation (UDOT) developed a pavement management manual to provide a cost effective solution for pavement rehabilitation design (2008). Their approach is to evaluate pavement prior to rehabilitation. Strategies between scheduling, organizing, and designing pavements were studied. A cost effective solution for each pavement condition was proposed. Another recent study conducted by Sianipar & Dowaki, 2014 examined the influence of traffic growth and overload on the road life cycle. They found that the biggest cause of life-performance decrease is overload, and that the effects are non-linear. The rate of damage can be faster than the rate of overloading.

Several studies evaluated the expected damage and cost caused by oil and gas development activities. TxDOT has begun to evaluate short-term and long-term impacts of energy related activities on the state's transportation infrastructure. Quiroga, Fernando, & Oh (2012) provided a comprehensive document on the impacts, needs, and strategies for TxDOT, including the effects of horizontal well activity. They estimated a typical rural road would lose 39% of its life after one year of 100 horizontal gas wells. Considering re-fracking the wells every

five years, they estimated the pavement would reach the end of its life before ten years. Abramzon, et al. (2014) provided a similar technical report for the Pennsylvania Department of Transportation (PennDOT). The report revealed the per-well cost depends linearly on the number of truck trips and the length of the truck trip. If the average one-way trip decreases by half, the per-well fee could be reduced by 50%. They recommend a comprehensive design policy that motivates companies to minimize activities that damage the roads. Reimer and Regehr (2014) developed a framework for the oil and gas industry to optimize their transportation activities. This study develops an integrated framework for the state's Department of Transportation to prioritize road segments for maintenance. However, none of these studies have recommended a way to determine exact location for these types of damages.

Different studies addressed the problem of hydraulic fracturing (fracking) in relation to various variables. Prozzi, et al. (2011) addressed the fracking problem and its impact on transportation infrastructure by using GIS-based data to find the shortest route that trucks would take. According to Prozzi, that there is an increasing demand on the Texas infrastructure, especially rural roads that are used for moving equipment into sites, as 30% of the US natural gas and 19% of the US oil is produced in Texas. This study located active gas wells and assumed the closest disposal well was the one in service. The routes between the truck's origins and destinations were generated through Google Maps. Vehicles Miles of Travel (VMT) was the variable used to emphasize the percentage of truck trips that traveled on rural roads. The research determined that 30% of truck trips moving water to disposal wells were using local streets.

2.4 Methodology

The methodology was built in three steps, beginning with generating Truck Trip Generation Tables based on analyzing previous studies. Gathering GIS locations of trips associated with land use changes that generate unexpected truck trips are added to the network. The last step links TTGTs to a GIS database on ArcGIS to forecast these trips shortest routes with their loadings. The output of the model is a map that shows locations of total loading values on each segments of the network.

2.5 Urban Growth and Land Use Changes

Urban growth usually generates rapid land use changes. These changes affect transportation networks as they cause more traffic to use existing infrastructure. Capturing those land use changes improves planning for increased pavement service life. Building a brick plant in a rural area generates heavy truck traffic with high loadings, causing the pavement to fail before the end of its expected service life. DOT districts end up paying millions of dollars to reconstruct failed pavements. These land use changes can be tracked and their effects on the pavement can be predicted. If one knows where the brick plant is going to be built, the size of the plant, the origins and destinations for the associated truck traffic, one could predict future damage on the routes. The method described uses GIS locations of future land use, type of establishments, and establishment area sizes.

Based on previous studies, NCHRP 298 reported that the general practice to predict trucks generated by a certain land use is to use independent variables like square feet of land used or employment numbers to generate establishment based truck trips. Jaller, et al. (2014) studied 1890 models of trip rates and regression models for production and attraction and found 41.59% of these models used area, 29.89% used employment, and 14.71% used establishment type. While establishment type can serve as a predictor, it could lead to errors because it over

generalizes the number of trips. For example, an establishment-based trip model will give the same number of trucks for a grocery store regardless of the size.

Reliable truck trip forecasting uses an equation limited not only to area, employment, or establishment type but also includes the number of days that the establishment experiences truck traffic.

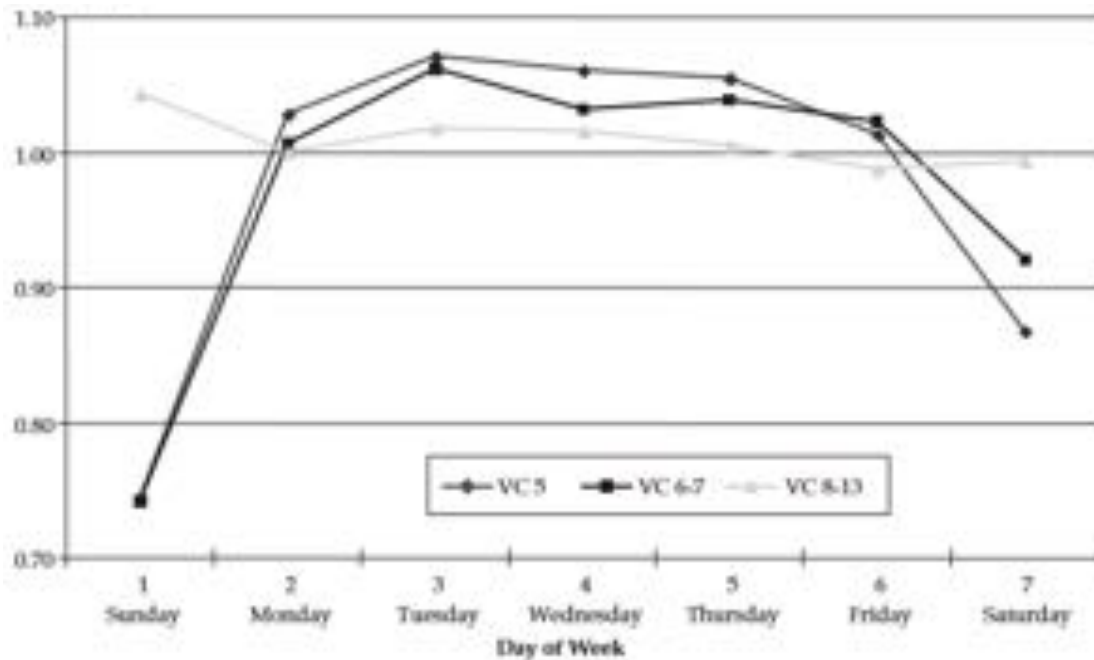
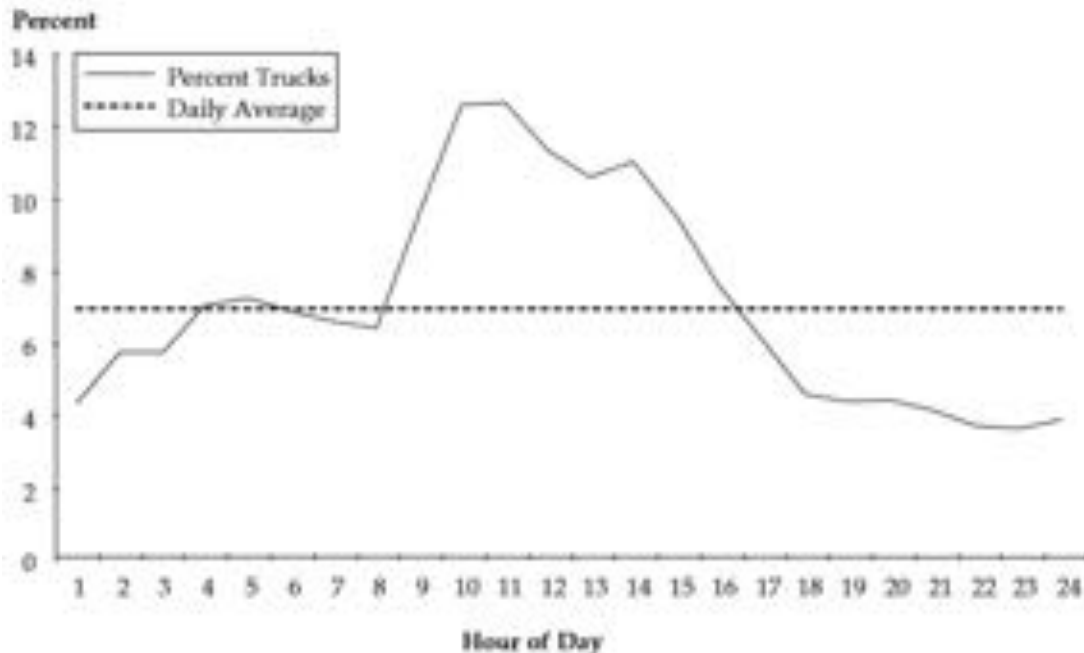


Figure 2.1 ESAL Ratios During a Week
(Source: Cambridge Systematics 2005)

Figure 2.1 shows higher daily ESAL ratios from Monday to Friday, which implies that days of the week will generate different truck traffic rates. Tadi and Balbach (1994) built their truck trip rates on the fact that weekdays truck traffic rates are different than weekend rates. They studied truck traffic rates on a weekday and weekend basis, and included peak hour rates for AM and PM for weekdays.



*Figure 2.2 Truck % of Traffic Over 24 Hour Period
(Source: Cambridge Systematics 2005)*

Time of day plays another major role in determining traffic trips rates. Figure 2.2 shows the percentage of trucks over a 24 hour period. Most of the truck traffic travels during business hours (9:00-14:00). This may add another variable to the list of variables affecting truck trip generation tables.

The last factor considered is truck type. The term truck might include any type of vehicle that is used to transfer products and goods. A better understanding of the degree of damage can be achieved by answering the question: what *type* of truck can be most damaging? According to FHWA (2000), more than 42% of the trucks in the US are 3-S2 trucks. This makes it the most common truck types in the United States. The 3-S2 truck configuration is shown in Figure 2.3. An SU2 truck is the second most common type making up more than 35% of the trucks on the road. An SU2 truck is a single unit with 2 axles. The rest of the configuration types are divided among more than nine different types and can be considered negligible due to their rare existence. The variables used for establishment-based Truck Trip Generation Tables are the following:

- Size of Establishment (acres, square footage),
- Employment (number of employees in each establishment),
- Type of Establishment (rates can be generated on type of establishment only),
- Days of activity (days that the establishment will be receiving/sending trucks),
- Hours of activity (hours of day that that the establishment will be receiving/sending trucks), and
- Truck Configuration (type of trucks).

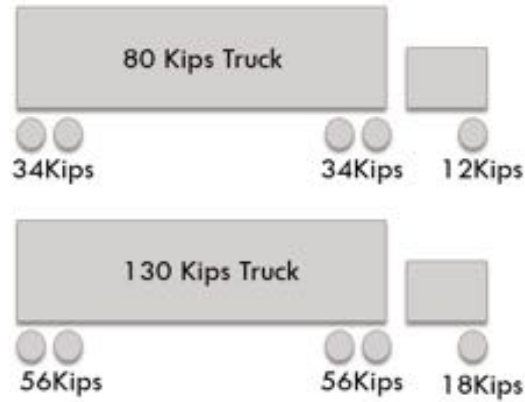


Figure 2.3 3-S2 Truck Configuration and ESAL Loading

ESALs can provide a better estimate for the damage a single pass of a truck on specific pavement can cause. As discussed earlier, ESALs describe the damage a specific axle load causes to the pavement, compared to a single axle load of 18Kip. According to FHWA standards for commercial vehicle weight, the maximum gross vehicle weight is 80Kips (FHWA, 2013). Figure 2.3 shows a likely breakdown of 80Kip and 130Kip gross weights on the axles. Assuming rigid pavement and structural number of two based on AASHTO design methods, Table 2.1 shows ESAL value example calculations based on the truck weight.

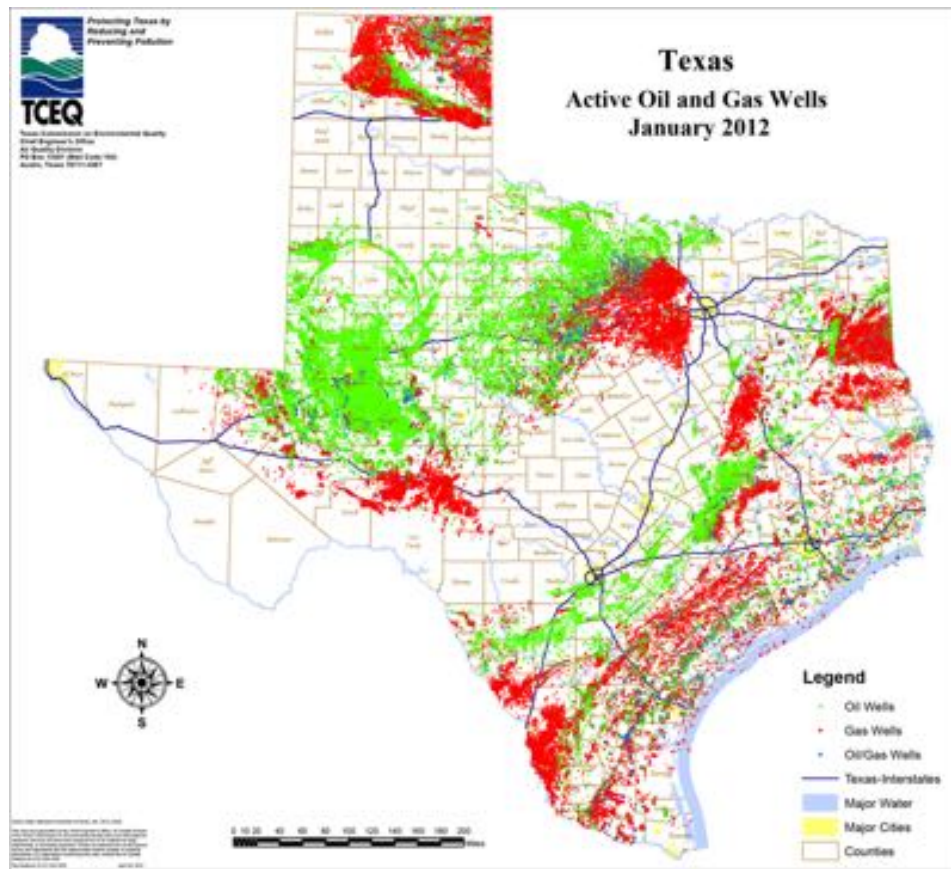
Table 2.1: ESAL Number Calculation for Different Weights

ESAL Number Configuration						
Purpose	Full Weights	Single Axle (Kips)		2 Tandem Axles (Kips)		ESAL (Value)
Proposed, Max. Legal Limit	80,000	12	0.229	34	2.22	2.449
Heavy Trucks	130,000	18	1.00	56	16.2	17.2

In general, models are constructed with specific variables in mind and exclude negligible variables. This model was mainly focused on trucks that may cause significant pavement damage. In this model, these trucks travel at any time of the day, causing congestion and pavement related issues.

2.6 Oil and Gas Industry Growth

The rest of the chapter summarizes what has been learned about Oil and Gas Industry Growth in Texas so that the lessons learned can be applied to Tamaulipas. In Texas, oil and gas well locations are typically in West Texas or in the Gulf of Mexico, approximately 200 miles away from shore. Figure 2.4 shows the locations of active oil and gas wells. Most of them are not close to a major highway.



*Figure 2.4 Active Oil and Gas Wells in Texas
(Source: TCEQ 2014)*

Constructing and operating these wells requires transportation of equipment, water, and other products. The recent boom in oil and gas unconventional shale plays requires that these products be transported by a large number of heavy trucks, which were likely not expected during pavement design. Widespread use of hydraulic fracturing, or fracking, has generated more heavy trucks in comparison to traditional drilling activities. This is due to the high need for water related to each well construction and production activity. According to the US Department of Energy (2013), up to 95% of new wells being drilled are hydraulically fractured. These wells make up 43% oil and 57% natural gas production on average. The repetitive trips and loadings associated with servicing the wells are concentrated on low volume roads that have thin pavement structures. Such loadings can damage or destroy the pavement. These trips provide equipment, supplying water, disposing of water, and carrying aggregates and chemicals to the site of each oil well.

2.7 Oil and Gas Model Truck Trip Generation Tables

The main element transported to and from fracked wells during construction and production is water. The water volumes moved per well were determined from information obtained from fracfocus.org, a voluntary disclosure database that gives details of hydraulic fracturing in the United States. This site provides information about water volume, date of well drilling, and the percentage by weight of fracking fluids, which varies when involving water, sand, and standard

chemicals. For this study, a sample of data from three counties in Texas, Caldwell, Bastrop, and Lee, was selected and extracted. Locations and approval dates of oil drilling permits and active saltwater disposal well information was gathered from the Railroad Commission of Texas (RRC).

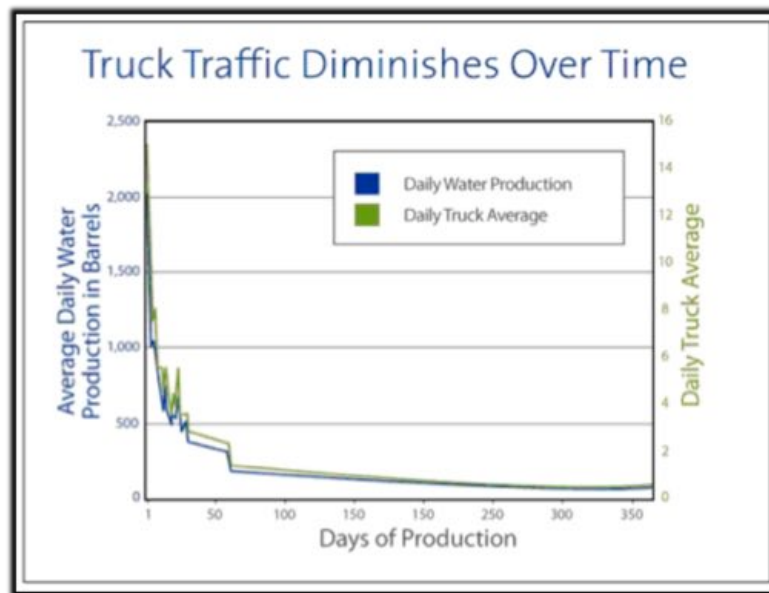
The sample data extracted from RRC included information on 21 oil wells. The dates for which the permit was submitted and approved were obtained. The start date for drilling was also noted. The average expected time upon approval to start of drilling is around 65 days, while it only takes 13 days on average to construct an oil well. With respect to only horizontal fracking wells, the largest well may require over 9,232,230 gallons of water during its life. More water is required for horizontal fracking at 5,943,105 gallons per well, whereas vertical drilling requires about 1,389,803 gallons of water. In Texas, vertical drilling is not as common as fracking. For this study, the truck traffic associated with conventional vertical drilling methods is excluded.

The quantity of sand used in fracking for each oil well must also be considered. According to the sample given by the RRC, only 13.18% of the total injected fluid mass is sand. Given the amount an average truck hauls, the amounts of water and sand supported during transport could be determined. Average hauling trucks can hold 20 cubic yards of sand. Therefore, 13.18% of 5,943,105 gallons is 3,878 cubic yards. Dividing that by 20 gives an estimated 194 trucks transporting sand in and out of wells. After finding the weight of the materials being carried, the number of trucks necessary for the process of water supply and disposal can be calculated. This number is estimated for the entire oil well completion. Quantities of water and sand are given in Table 2.2, which shows the average water volume utilized for horizontal drilling. Given the fact that most water hauling trucks have a 4000 gallons' capacity, more than 1,486 trucks are needed to transport water for a horizontal well.

Table 2.2: Horizontal Fracking Wells Data

Horizontal Fracking Wells								
API No.	Water Vol (gal)	Sand %	Water %	Approved	Drill Start	Drill Finish	Wait	Constr
28732619	7,820,526	13.58	82.58	12/4/2013	1/22/2014	1/29/2014	49	7
28732615	6,503,834	11.35	83.72	11/8/2013	2/18/2014	2/25/2014	102	7
28732621	6,020,994	12.78	83.05	12/13/2013	3/6/2014	3/13/2014	83	7
28732620	5,841,912	14.18	82.77	12/13/2013	3/6/2014	3/13/2014	83	7
28732617	7,264,716	13.27	86.71	2/26/2014	3/30/2014	4/5/2014	32	6
28732623	8,160,396	17.27	81.21	2/27/2014	4/5/2014	4/11/2014	37	6
28732628	7,243,404	13.93	76.96	3/6/2014	4/11/2014	7/16/2014	36	96
28732630	4,247,444	11.18	87.83	3/11/2014	4/16/2014	4/19/2014	36	3
28732635	5,382,036	11.22	87.69	4/9/2014	5/14/2014	5/17/2014	35	3
28732636	6,162,986	11.96	86.28	4/16/2014	5/27/2014	6/8/2014	41	12
28732626	4,544,240	11.64	86.62	2/28/2014	5/27/2014	6/8/2014	88	12
28732629	2,852,620	17.16	81.96	3/8/2014	6/10/2014	7/18/2014	94	38
28732616	4,210,796	14.41	83.51	4/4/2014	6/18/2014	6/25/2014	75	7
28732634	6,780,786	14.30	83.62	4/4/2014	6/18/2014	6/25/2014	75	7
28732641	7,037,294	12.12	84.97	5/6/2014	7/7/2014	7/14/2014	62	7
28732640	5,300,068	12.87	85.29	5/6/2014	7/7/2014	7/14/2014	62	7
28732632	9,232,230	11.65	89.44	3/15/2014	7/15/2014	7/21/2014	122	6
28732643	2,197,202	14.31	80.19	5/29/2014	7/17/2014	7/18/2014	49	1
28732646	5,286,204	13.21	83.83	6/9/2014	8/4/2014	8/8/2014	56	4
28732647	5,293,196	14.85	83.35	6/9/2014	8/15/2014	8/20/2014	67	5
28732646	7,140,462	11.29	84.94	6/9/2014	8/24/2014	9/10/2014	76	17
28732649	6,224,960	11.44	84.83	6/9/2014	8/24/2014	9/10/2014	76	17
Average=	5,943,105	13.18	84.15		Average Days		65	13

Generally, flow back of disposed water is about 20-50 percent of the total volume used in the fracking injection process. For the purpose of this study, a near worst case assumption of a 40 percent flow back volume during the construction phase was used. After the initial oil well completion, quantities of saltwater backflows contain oil or gas being fracked. This saltwater is mostly disposed of after the rate of flow back decreases. At that point, the saltwater is transported off site by use of trucks. Figure 2.5 illustrates how the average daily truck traffic decreases after the initial two weeks of drilling.



*Figure 2.5 Well Production Truck Traffic
(Source: Prozzi 2011)*

The highest daily truck traffic experienced after 20 days of drilling would be up to 2-3 trips. Transporting gravel is another factor. Gravel is used to building access roads where rural roads are not found, such as long stretches of field. According to Kubars and Vachal (2014) study in North Dakota roads, approximately 80 trips are required to provide enough gravel to build access road. The last bit of heavy traffic generated due to the construction of new oil wells is traffic caused by transporting equipment. New York State Department of Environmental Conservation (2009) estimated the equipment truck traffic associate with the construction of a single gas well to be 200 truck trips. Given the low volume of equipment trips and lack of data on the companies providing the equipment, one could neglect this factor from the total count of truck traffic.

Table 2.3: Oil Well Construction Truck Trip Generation

Construction Activity per Well			
Purpose	Number of Trucks	ESAL (Value)	ESALs
Water	1486	2.449	3639
Water Disposal	594	2.449	1455
Bulk Sand	200	2.449	490
Gravel	80	2.449	196
Equipment / Other	200	2.449	490
Total	2560	2.449	6269

Table 2.4: Oil Well Production Truck Trip Generation

Production Activity (Water Disposal)			
Purpose	Number of Trucks	ESAL (Value)	ESALs per well
1st week	476	2.449	1166
2nd week	196	2.449	480
Up to 60 days	90	2.449	220
After 60 days	30	2.449	73
Haul Product	2	2.449	5
Total	794	2.449	1945

Table 2.3 and Table 2.4 summarize the ESAL values generated with each activity related to a single oil well. From this information, truck traffic diminishes during the production phase. During construction, which takes roughly 13 days, calculations show that 6269 ESALs (based on 80 Kip trucks) can be expected per well. On the other hand, calculations show that a much lower 1945 ESALs (based on 80 Kip trucks) can be expected during the production phase, which can last up to a year. Therefore, the construction phase occurs in a shorter period of time but is more damaging. For this study, truck trip generations for the construction phase with its loadings is used in the model and linked with routes of travel to predict pavement damages

Out of those counties, Lee and Bastrop are in the Austin TxDOT District area. According to the US Energy Information Administration's (EIA, 2015c) list of Top 100 US Oil Fields in terms of production, two fields from the Eagle Ford Shale formation are in the first and fifth ranking. It is also noted that the two fields' production estimate sum is nearly half the production of the top 10 production estimates in total. Moreover, the Austin TxDOT District has 11 counties, 3 out of those 11 had new oil well permits in 2014 according to Railroad Commission of Texas database (RRC). The Austin TxDOT district is experiencing significant movement of trucks serving oil wells.

3.2.2 GIS Data Sources

The first step in this model was to determine the data that can be gathered and implemented to characterize the truck travel patterns. The list of essential source locations was as follows:

- Oil wells. RRC is responsible for regulating oil and gas permits in Texas. It is the agency that monitors and issues oil well permits so it is the right source of data for this model. The RRC online website was used to gather information regarding all the permitted oil wells in the Austin TxDOT district area.
- Fresh water wells. Data describing the sources of water supply were obtained from the Texas Commission on Environmental Quality (TCEQ) that takes responsibility for all aspects of planning, permitting, and monitoring.
- Saltwater disposal wells. Saltwater disposal well GIS locations were gathered from the RRC website the same way as the oil wells.
- Quarries. Quarry locations supplying sand and gravel were provided by TxDOT Project Advisor Rhonda Roundy, CST.
- Roadway network. The roadway network is one of the most important aspects to this project, because it geographically describes potential paths for trucks to travel. A shapefile representation of the roadways was used to connect all routes and form a network in the ArcGIS software.
- Texas Counties. This served as the model base map where all analysis was based. It showed the geographical political borders between counties. It also was used to show the study area.

3.2.3 Austin District Oil and Gas ArcGIS Implementation

ArcGIS was used to layer the retrieved information. Each location was exported as a Shapefile into ArcGIS providing an extensive model. The majority of the elements in the model require frequent updates. The RRC oil well permit data, for example, is the main layer used in mapping, and this data must be collected for the time period of analysis desired.

3.2.4 Connecting GIS Data to ArcMAP

The first step in this process is to connect the files that have all the GIS data to ArcMAP.

On the right side of the ArcMAP main bar, click on the **Window** tab and select **Catalog** from the dropdown menu. Under the same **Window** tab, select **Table of Contents** to show the Table of Contents pane containing all the layers and loaded data. Right click on **Folder**

Connection and click on **Connect to Folder**, select the folder containing the GIS data, and click **OK**.

The fracking activity in the Texas Study was analyzed by county. The steps to add in counties are:

> **File > Add Data > Add Data...**

Click on the file containing the county data. Keep in mind that the county data has a specific coordinate system that must be modified to match the GIS data coordinate system.

3.2.5 Aligning Coordinate System

All the files added to the model will need to be projected in order to ensure that all the data is aligned. ArcGIS tools are stored in the **ArcToolbox** window. To get to **Toolboxes**, click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

> **System Toolboxes > Data Management Tools > Projections and Transformations > Project**

A window will pop up with green dots next to the files that must be input. The following was used for the Texas Study,

Input Dataset or Feature Class: *Select the file user wants to align, i.e.,*
StratMap_County_Poly

Output Dataset or Feature Class: *Type desired name for output data, Select where the data should be saved, i.e.,* Counties

Output Coordinate System: *Select*  > **Projected Coordinate Systems > State Plane > NAD 1983 (US Feet) > NAD 1983 StatePlane Texas Central FIPS 4203 (US Feet) > OK > OK**

The output has the counties projected to the Central Texas projection system.

3.2.6 Importing Excel Data to Build Shapefile

The next step is to import the oil shapefiles. The “**Make XY Event Layer**” is a tool that would be used to create a point layer from XY data tables. The following are instructions to use the tool “**Make XY Event Layer**”: To get to **Toolboxes**, click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

> **System Toolboxes > Data Management Tools > Layers and Table View > Make XY Event Layer**


A window will pop up with green dots next to the files that must be input. The following was used for the Texas Study,

XY Table: *Select Excel file saved to 1997-2003 version, i.e.,* OilWells (For oil wells in the 1st period (June-August 2014) OilWells2 is the 2nd period)

X Field: *Select field in the input table that contains the x-coordinate, i.e.* Longitude

Y Field: *Select field in the input table that contains the y-coordinate, i.e.* Latitude

Layer Name or Table View: *Type in name of the output point event layer, i.e.,* OilWells

Spatial Reference: *Select*  > **Projected Coordinate Systems > State Plane > NAD 1983 (US Feet) > NAD 1983 StatePlane Texas Central FIPS 4203 (US Feet) > OK > OK** (this is used because it contains most of counties in the study area: Bastrop and Lee. However, Caldwell is on South Central 4204)

The output for the Texas Study is shown below.

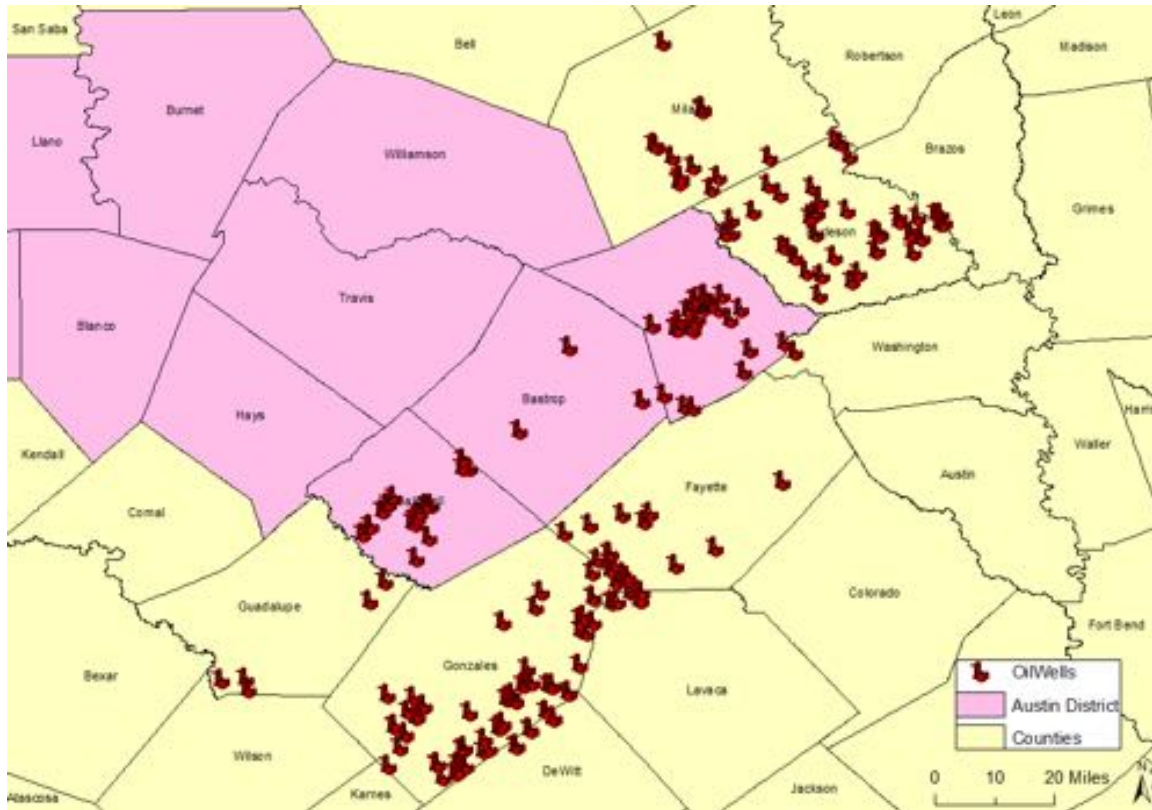


Figure 3.2 Quarry to Oil Wells Only (Period 1)

The output in Figure 3.2 shows locations for oil wells converted from Excel files into ArcGIS shapefile and projected to the “**NAD 1983 StatePlane Texas Central FIPS 4203 Feet**”. The “**Project**” tool that was used to project the counties shapefile can be used for the projection of quarries and fresh water wells.

3.2.7 Activate Network Analyst Extension

After importing source information data into ArcGIS, the next step is to create a road network. Having a road network, one can implement network analyst’s closest facility tool to find the route assignment.

The first step is to activate the network analyst extension:

- In main window top bar, click
- > **Customize > Extensions...** > Click on **Network Analyst** to activate it.

Adding Network analyst toolbar as follows:

- In main window top bar, click
- > **Customize > Toolbars** > Click on **Network Analyst** to add it.

3.2.8 Create/build a Network Dataset

The second step in creating the EWS model is to create/build a network dataset. This step is crucial to the entire system because it is the foundation of the model, and therefore the entire analysis.

On the right side of the ArcMAP main bar, click on the **Window** tab and select **Catalog** from the dropdown menu. Locate the folder containing the roads that will be used in the model.

Right click on the road file:

- > Select **“New Network Dataset...”** This will open a window to help build a network dataset.

Window will read: *Enter a name for your network dataset.* Type in the name of the file containing the roads, i.e. “TexasRoads”

Window will read: *Do you want to model turns in this network?* Select **YES**.

- > Select **Connectivity policy is end points**

Window will read: *How would you like to model the elevation of your network features?*

- > Select **NONE**.

- > Select proper units, i.e. **“Miles”**.

- > Click **Next**.

Window will read: *Do you want to establish driving direction settings for this network dataset?* This depends on the user output needs, however, for this model we chose **YES**.

- > Select **Finish**.

The output has two parts: the edges, which are the street lines and the junctions, which represent all the junctions in the network. In this model, only the street lines are needed. Figure 3.3 shows the data inputs in this model.

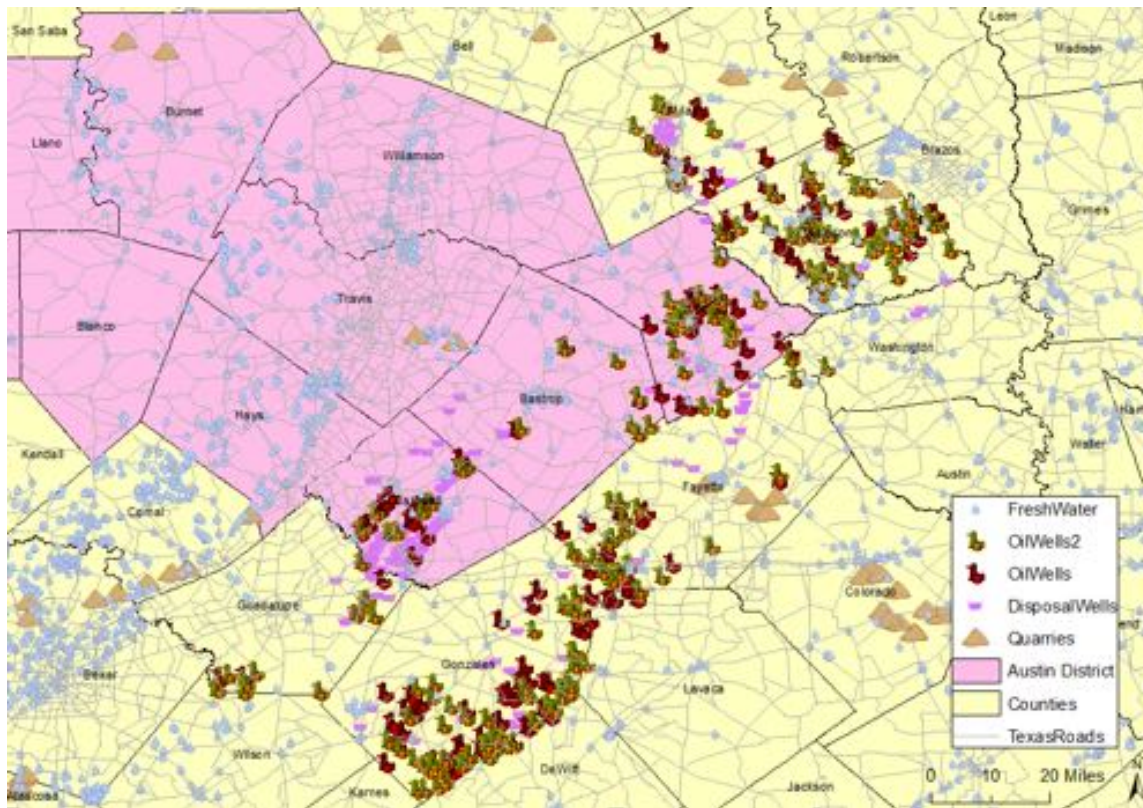


Figure 3.3 All Model Inputs

3.2.9 Adding Sources and Clipping Features of Interest

In this third step, the user will be able to introduce all the destinations that trucks will be traveling to and from to service the needs of the wells in question. The last part of this section will show the user how to clip specific sections of interest on a giving roadway. Oil wells, disposal wells, and quarries are locations in the study area parameters. A guided illustration and breakdown of the steps needed to set up the model is as follows:

To get to **Toolboxes**, click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

> **System Toolboxes** > **Analysis Tools** > **Extract** > **Clip**

A window will pop up with green dots next to the files that must be input. The following was used for the Texas Study,

Input Features: *Select features to be clipped*, i.e., FreshWater

Clip Features: *Select featured use to clip the input features*, i.e., SurroundingCounties


Output Coordinate Class: *Select the feature class to be created*, i.e., FreshWater1

> **SAVE** > **OK**

Refer to Table 1 in Appendix B for a summary the tools used to align coordinate systems between data files, make a shapefile from an Excel file, create a network data set, and clip areas of interest.

3.2.10 Finding Shortest Distance Paths between Facilities and Incidents

The next step is to find the shortest path between the incidents (wells) and facilities (water sources, quarries, disposal sites, etc.). The definition of incidents in ArcGIS is the location that requires service. Facilities, on the other hand, serve the incidents. Running the tool will generate the route assignments that will have specific ESAL values added to identify the locations of heavy loadings. A guide on how to use the **Closest Facility** function for quarries linked to oil wells for the first period follows.


On the **Network Analyst** Toolbar,  (See section 3.2.7 where the toolbar was activated.) click on the black arrow near **Network Analyst** and choose **New Closest Facility**. In the **Network Analyst Window**,

> Right click on **Facilities** > **Load locations...** > **Load from:** *Select the file containing facilities of interest, i.e., Quarries*).

Make sure **Location Position** is set to “**Use Geometry**”. Define the radius tolerance for the facility search. In this case, the radius was set to 10 miles, to connect the facility to the nearest network lines within a 10 mile radius.

> Click **OK** to load facilities.

The same method can be followed to load the OilWells as incidents. Now the facilities and incidents locations are loaded.

Click on the **Solve** button  in the **Network Analyst** Toolbar to find the shortest paths. Figure 3.4 displays the output of the **Network Analyst Tool** with the shortest routes between quarries and oil wells (1st period only).

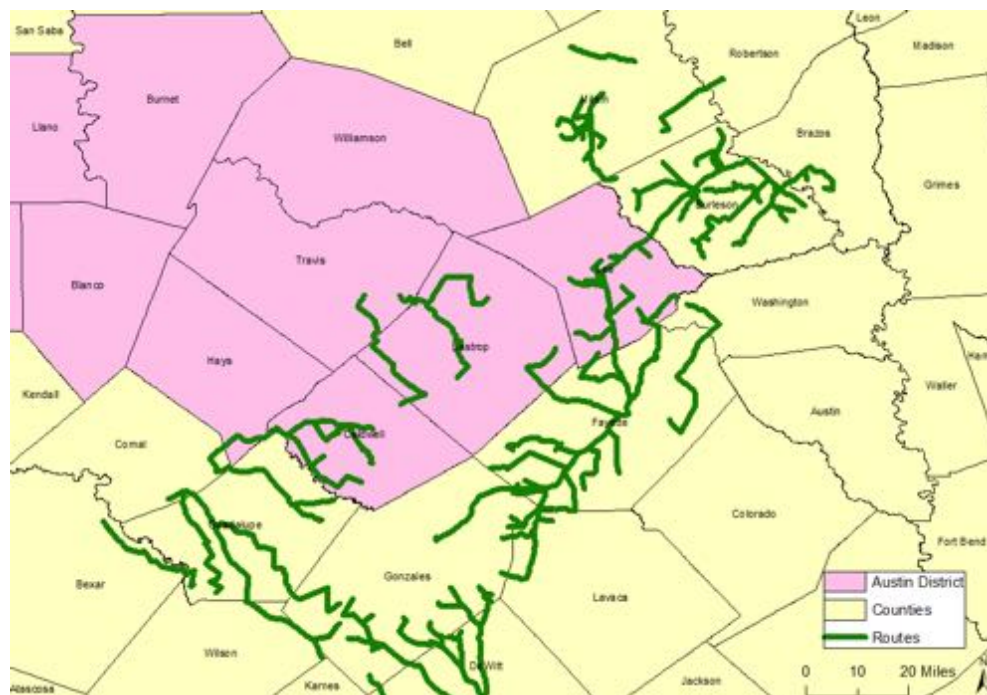


Figure 3.4 Network Analyst Quarry to Oil Wells Output

The previous steps will need to be repeated for each individual route type, i.e. Quarry to Oil Wells, Disposal to Oil Wells, Water source to Oil Wells. The Texas Study used six different routes for the analysis. The routes were specific to the data available and the time period in question.

3.2.11 Adding ESAL Values to Each Route Type

Each route has different ESAL values. Adding an ESAL value field in each route's attribute table is crucial to quantifying the damage and for further analysis. The instructions below show how to add ESAL values for each route. This step must precede the steps in sections 3.2.12, 3.2.13, and 3.2.14.

In the main toolbar under the **Window** tab, select **Table of Contents**.

> Right click on **Routes** > **Data** > **Export Data...** > and name each route accordingly.

Repeat for each route type, i.e., Quarry_to_Oil_Wells.

> Right click on the file name created, i.e. Quarry_to_Oil_Wells

> Open **Attribute Table** > Click **Table Options** dropdown arrow  > **Add field...** > *Name field* **ESAL** > Under **Type** select **Double** (Double is used for numerical values) > **OK**

> Right click on the **ESAL** column > **Field Calculator** > *Type in calculated ESAL loading value for the route type*, i.e. 686 (for Quarry routes), in the “**ESAL=**” open box.

3.2.12 Interpreting Loading on Segments by Segments Type

Various tools in ArcGIS can be used to classify routes in order of importance to determine where the highest ESAL loading will occur. This tool arranges ESAL loading by route type and represents the damage on each route proportional to width of the line. For example, Water well to Oil well routes have had the highest ESAL loading of 3640 per route. Line size of 8 was used for these routes. The second highest ESAL loading is Disposal well to Oil Well routes that have an ESAL loading of 1456 per route. Lines size 6 was used for this route, leaving Quarry to Oil well route assigned a line size of 4 to represent 686 as the ESAL loading value. Figure 3.5 shows the breakdown of routes layered. Different colors were assigned for each route to make visualization easier. Figure 3.5 shows what the model looks like with this method for identifying shortest paths.

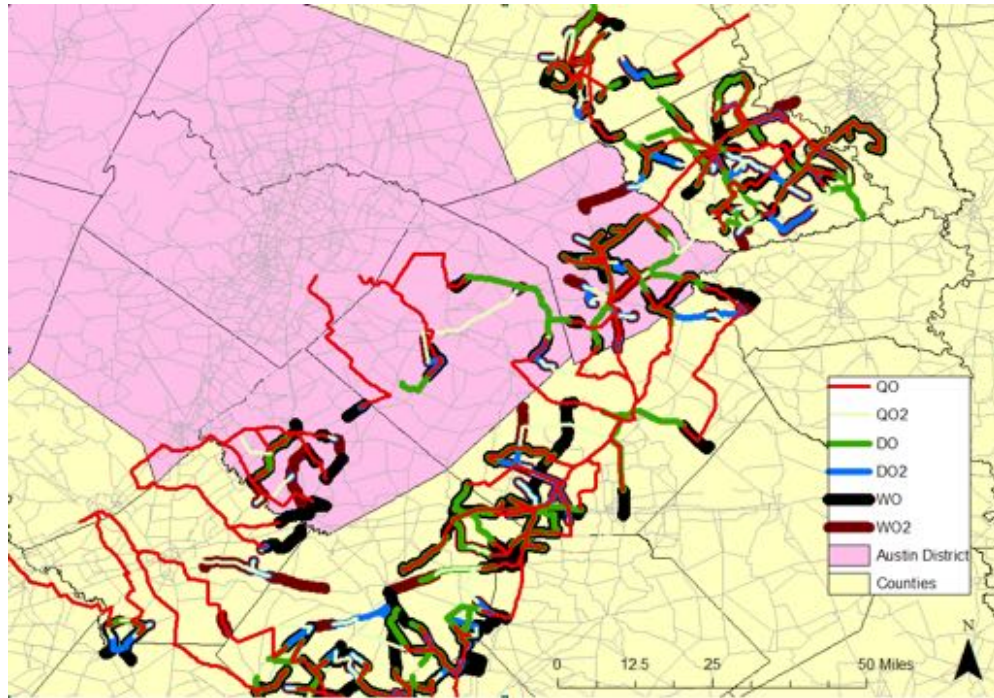


Figure 3.5 All Shortest Path Routes Between Incidents and Facilities

To clarify the results, the first step is to eliminate routes that are not relevant. For example, a tool in ArcGIS allows the user to eliminate routes with one trip only. The “**Intersect**” tool will generate an output that contains intersected trip routes. This means if there is only one trip in a specific route that does not intersect with any other trip route, this trip was eliminated. Running the tool will help to eliminate areas that are not of concern. Before beginning this analysis, refer to the steps in Section 3.2.11.

To get to **Toolboxes**, click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

> **Analysis Tools** > **Overlay** > **Intersect**

A window will pop up with green dots next to the files that must be input. The following was used for the Texas Study,

Input features: *Select the feature layer for intersection computation*

Output: *Select the file and Name location for output feature class*

> **OK**

Figure 3.6 shows the output of running the Intersect tool on QO routes.

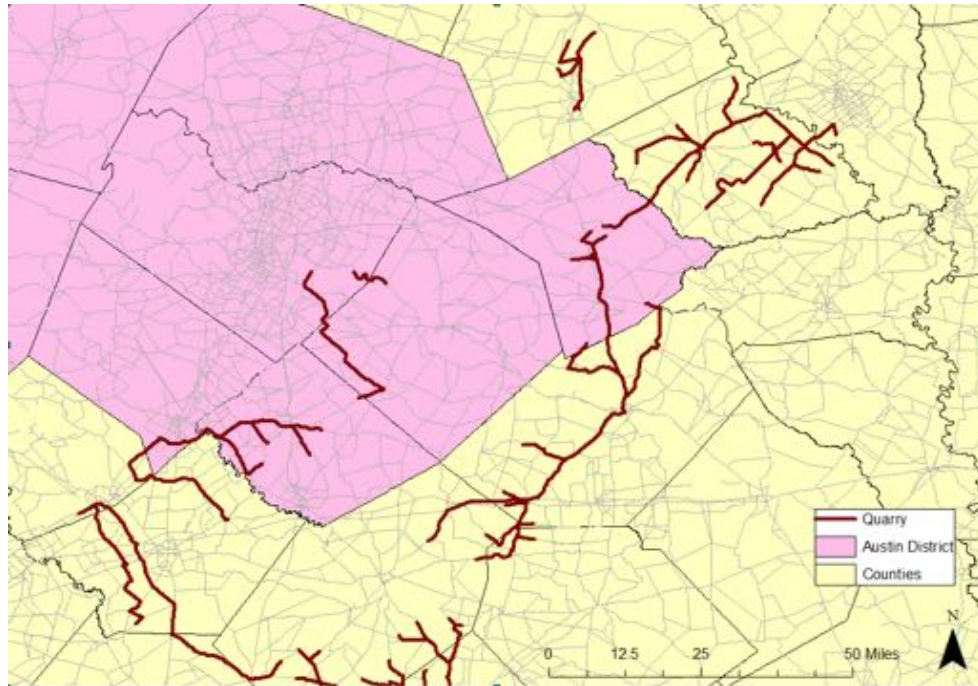


Figure 3.6 Quarry Routes with Two or More Trips Involved

Running the other five routes on the same tool will eliminate all other smaller loading paths. Figure 3.7 shows the final output before manually analyzing each county in the Austin District. The model now contains only routes with two or more trips in each route.

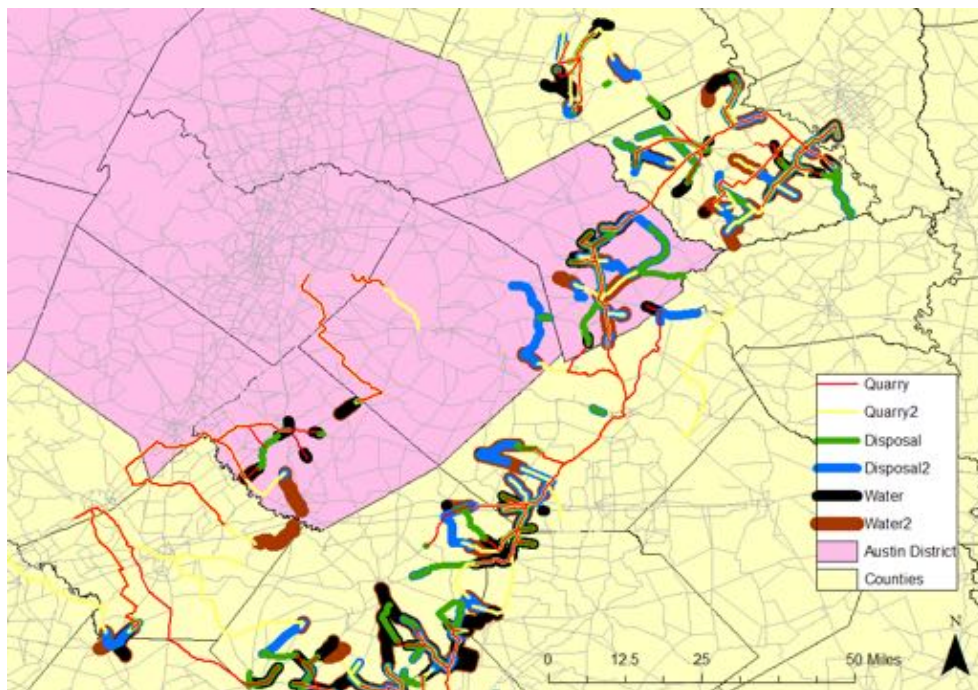


Figure 3.7 All Routes with Two or More Trips Overlapping on the Same Route

Between Figure 3.7 to Figure 3.5, there is a significant reduction in the number of routes shown. This reduction is helpful at this point before analyzing each route since it saves time for model users. Further visual inspection of each county is needed to determine which routes seem important enough to calculate their ESAL values. The first step is to divide the analysis area by county and then follow simple steps to eliminate or examine routes. Rules of thumb for this analysis include the fact that Water to Oil Well routes carry 3640 ESALs which is more damaging than 5 Quarry to Oil Wells trips, given that Quarry to Oil Wells carry 686 ESALs, and 2 Disposal to Oil Well trips will experience 1456 ESALs. Since we already eliminated routes with 1 Water to Oil Well trip and we only included 2 or more Water to Oil Well trips, then any routes with 10 Quarry to Oil Wells trips or 4 Disposal to Oil Well trips are automatically not as important as well.

Each route should be investigated individually by zooming in on the route and selecting the attribute tables for each route type, and manually calculating ESAL loading in the segment by multiplying the ESAL number by the number of trips on the segment. By manually selecting a segment and opening the attribute table, a number of selected features will appear in the lower part of the table indicating the number of trips.

3.2.13 Interpreting Loading Density on Segments

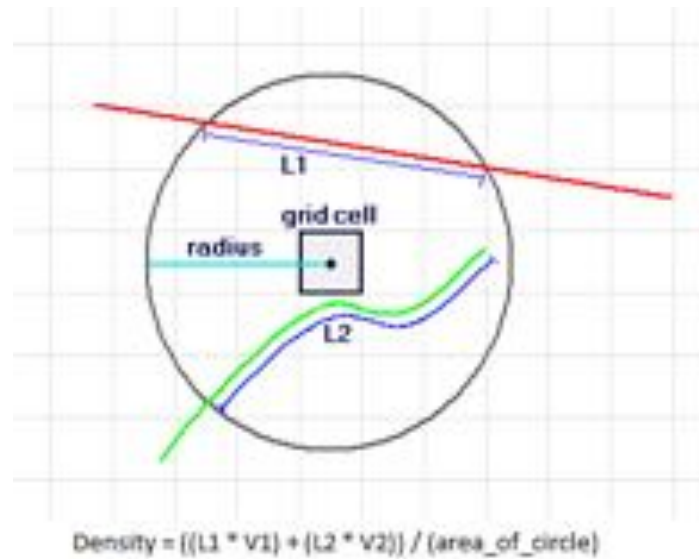
This particular section shows the user how to quickly qualitatively identify critical segments. The output from this method is a graded route indicating high or low loading density. This method does not provide actual total ESAL damage values, but it does interpret the values the user inputs (See Section 3.2.11). This analysis requires the **Extension** called **Spatial Analyst**. The extension, just like the Network Analyst, must be activated before the analysis is made. Before beginning this analysis, refer to the steps in Section 3.2.11.

The first step is to activate the network analyst extension:

In main window top bar, click

> **Customize** > **Extensions...** > Click on **Spatial Analyst** to activate it.

The first step is to find the line density in each of the routes. According to ArcGIS resource center, the line density tool calculates polyline feature density by multiplying the line length by the “Population field” and dividing it by the area of grid cells (Figure 3.8). Usually the grid cells areas are set by default for lines generated by the network analyst outputs. So the variables here are “Population field” and length of line.



*Figure 3.8 Density Line Tool
(Source: ArcGIS Resource Center 2011)*

ESALs is the variable used as the “**Population Field**” to generate how many repetitive ESALs are applied to each segment in comparison to the rest of the route segments. The tool calculates length of the line spatially. An example of running the Density tool for the Quarry to Oil routes is illustrated in Figure 3.9 below.

Spatial Analyst is activated under **Toolboxes**. Click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

System Toolboxes > Spatial Analyst Tools > Density > Line Density

A window will pop up with green dots next to the files that must be input. The following was used for the Texas Study,

Input Polyline features: *Input line features for which to calculate density, i.e. Quarry to Oil well*

Population field: *Select the calculated value, i.e. ESAL*

Output raster: *Type in file name to output line density*

> **OK**

Figure 3.9 gives the variation of the density of ESAL values associated with Quarry to Oil routes.

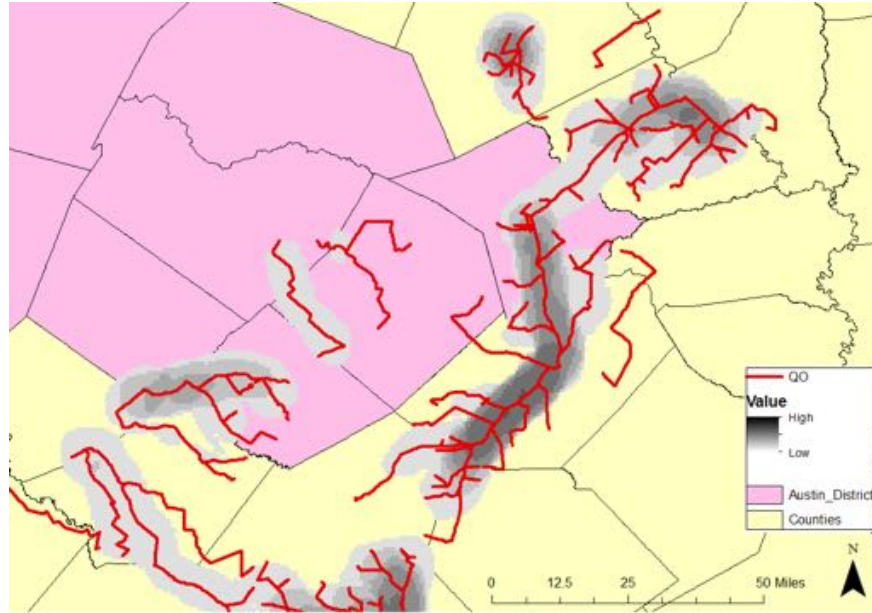


Figure 3.9 Quarry to Oil Routes: ESAL Density

The output shows the ESAL density values as black when it is high, white when it is low. Running the density line tool for the remaining 5 routes will generate 5 separate outputs. At this point we have multiple areas of concern for each route. The next step is to join all the outputs into one single output that shows the general areas of concern.

The **Weighted Sum Tool** joins all the routes into one output by combining multiple raster inputs. The output will show the sum of inputs depending on their ESAL values importance from high to low.

Click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

System Toolboxes > Spatial Analyst Tools > Overlay > Weighted Sum

A window will pop up with green dots next to the files that must be input. The following was used for the Texas Study,

Input Polyline features: *Select all rasters representing previously generated routes*

Output raster: *Name the output raster for the weighted sum*

> **OK**

Figure 3.10 shows the combined loadings as high or low density values through the use of the weighted sum function in Lee County. In the Texas Study, Lee County was where most of the fracking activity was located.

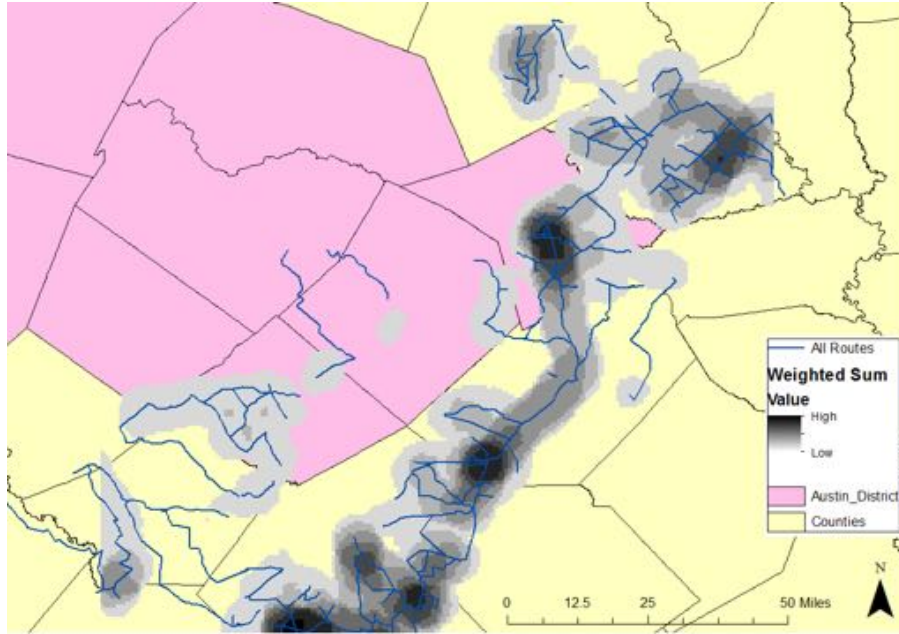


Figure 3.10 All Routes ESAL Density

This output helps locate areas of high damage through quick visual inspection and agrees well with the results from Section 3.2.12, where ESAL damage analysis was first introduced. However, these two methods can work jointly depending on the choice of the user.

3.2.14 Interpreting Total Loading Ranges on Segments

The third technique identifies the damage locations by providing a hierarchy of important segments based on ESAL values. Before beginning this analysis, refer to the steps in Section 3.2.11.

The first step is to merge all the routes into a single file.

To get to the **Merge** tool:

Click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

> **System Toolboxes** > **Data Management Tools** > **General** > **Merge**

Input Datasets: *Input all the routes individually to be merged*

Output Dataset: *Name the dataset where all routes will be merged, i.e. All_Routes*

> **OK**

After merging the routes, the user should create endpoints. This will help identify where routes start and end on the merged network of routes. Naming this output “All_Routes_EndPoints” is recommended, since the model will require more shapefiles.

Click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

> **System Toolboxes** > **Data Management Tools** > **Features** > **Feature Vertices to Points**

Input Features: *Input the merged file, i.e. All_Routes*

Output Feature Class: *Name the feature class, i.e. All_Routes_EndPoints*

> **OK**

In the “All_Routes” merged file, use the “dissolve” tool to create a single base route for the purpose of combining layered routes and determining associated loadings.

Click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

> **System Toolboxes** > **Data Management Tools** > **Generalization** > **Dissolve**

Input Features: *Input the merged file*, i.e. All_Routes

Output Feature Class: *Name the feature class*, i.e. All_Routes_Diss

> **OK**

A tool that splits line based on points is called “**Split line at point**”. The generated file will have spatial segments where the routes are intersecting and contain the single trip routes. These split routes are used to calculate how many trips are using each segment and what ESAL values are associated with each.

Click on the **Window** tab in the main bar and select **Catalog** from the dropdown menu. Click on the + next to **Toolboxes**, then

> **System Toolboxes** > **Data Management Tools** > **Features** > **Split Line at Point**

Input Features: *Input the dissolved route file*, i.e. All_Routes_Diss

Point Features: *Input route start and end points*, i.e. All_Routes_EndPoints

Output Feature Class: *Name the feature class*, i.e. All_Routes_Split

> **OK**

The next step is to spatially join each route to the split route file to calculate the total ESALs in each segment. Within the Texas Study, there were six different routes (water to oil well, quarry to oil well, disposal to oil well, etc.).

In the main toolbar under the **Window** tab, select **Table of Contents**. Right click on the split route file, i.e. All_Routes_Split

> **Join and Relates** > **Join...**

The window will read “*What do you want to join to this layer?*” Select **Join data from another layer based on spatial location**

The window asks to “*Choose the layer to join to this layer, or load spatial data from disk*”: *Select a route to join (eventually all routes will be joined back into the model)*, i.e. Quarry

> Check the **Sum** box under “*How do you want the attributes to be summarized?*”

Figure 3.11 shows the window and what options to choose to spatially join the routes.

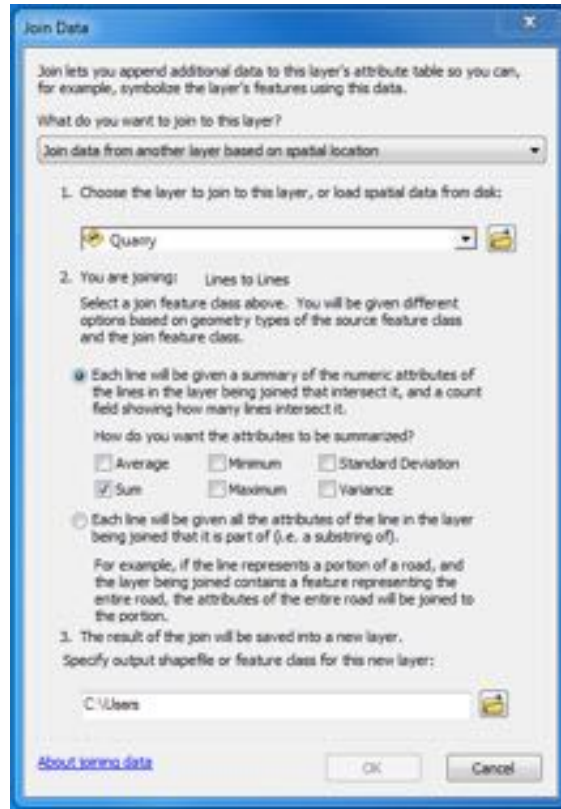


Figure 3.11 Join Data Window Inputs

The output file is automatically named “Join_Output” and does not need to be renamed. The next step is joining every file spatially, which will automatically be output as “Join_Output2”. Repeat until the second to last route file is joined to All_Routes_Split.

For the last file under the question, “Specify output shapefile or feature class for this new layer:” type in Total_ESAL.

The last step is to add a field in the attribute table for the total ESALs, which in this case was named “Total_ESAL”.

> Right click “Total_ESAL” > **Open Attribute Table** > Right Click on the ESAL column > Select **Field Calculator...** > **Yes**

Now refer to Figure 3.12 to complete the calculation.



Figure 3.12 Field Calculator Window Inputs

Figure 3.13 shows the total loading damage for the routes in the Texas Study. Each line thickness corresponds to a range of ESAL damage.

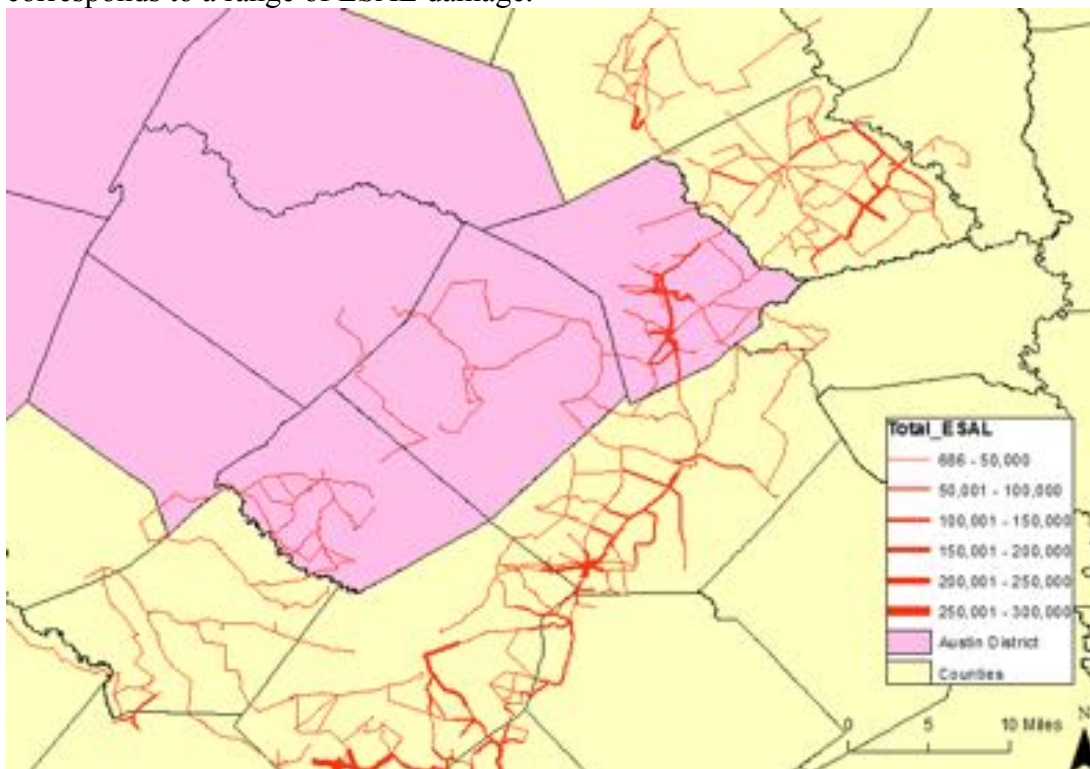


Figure 3.13 Final output showing exact ESAL numbers on each route

3.3 Discussion

This model predicts truck trips and associated ESAL values for specific highways resulting from increased hydraulic fracturing activities. The model output identifies routes that represent the truck travel routes and ESALs. Different trip purposes and trips traveling in different directions are overlapped in the model. The ArcGIS model provides the tools for users to build the desired analysis. Note that before beginning an analysis method, ESAL values must be added to the routes (See Section 3.2.11). A summary of the three model building options follows:

Interpreting Loading on Segments by Segments Type: This method shows all the routes in the model with each route assigned a specific width and color, meaning that each route is associated with a specific ESAL value. This analysis method is basic and does not require extensive knowledge or software skills. The output of this method can be interoperated by manually adding each overlapping route's ESAL value to find the sum for the intersected segment.

Interpreting Loading Density on Segments: This analysis method allows the user to identify "critical" segments on the fly. This is based on spatial analysis of ESALS of overlapping routes that have high total numbers of ESALS. The method is ideal for users with moderate knowledge of the software, and provides an efficient way to manually add fewer segments and only focus on critical zones.

Interpreting Total Loading Ranges on Segments: Although this analysis method can be difficult for the user to implement in the software, it provides exact numbers of ESAL values from the first run. It is the most efficient process. It does not take into account the effects of the variation in ESAL values and it automatically sums overlapping ESALS and single trip route ESALS.

3.4 Early Warning System (ESW): The Austin District Model

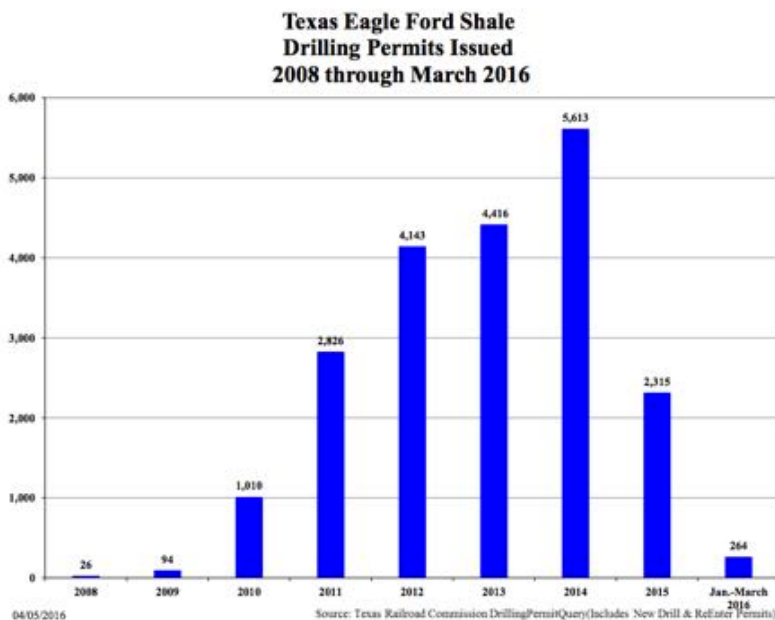
The Early Warning System model was developed to predict unusual truck impacts on low volume pavement as a result of land use changes. Various studies have generated tools and methods to save money on pavement maintenance. Typically, land use changes generate new truck traffic associated with the construction and production activities, such as hydraulic fracturing.

This study describes a system for anticipation of land-use changes related to the Oil and Gas Industry utilizing permit data including oil, natural gas, and water disposal permits. In 2013, the United States has seen a huge increase of newly drilled wells being hydraulically fractured (up to 95%). These wells make up on average 43% of oil and 67% of natural gas production, a significant portion of new well development (DOE, 2013). Information on distribution centers and storage locations are used as additional destinations while equipment and raw material sources, such as water wells and quarries, are utilized as origins for truck travel. Using this information along with a shortest path algorithm, expected system loading can be determined. Data on road characteristics like road functional classification, shoulder status, and pavement conditions can be used to further determine the risk for pavement failure. The tool identifies and prioritizes specific pavement sections in terms of expected pavement loading and uses a Geographic Information System (GIS) to graphically present results.

Identifying pavement sections with expected increases in truck traffic loading can ensure proactive maintenance. Widespread application of hydraulic fracturing by petroleum drillers has generated large numbers of heavy trucks that are often concentrated on low volume roads that

have thin pavement structures. Without key pieces of information about truck origins and destinations, it is hard to prioritize which low volume roads will require timely pavement strengthening.

The developed Early Warning System (EWS) is illustrated through a case study in the Austin TxDOT District area that includes three primary counties, namely, Caldwell, Bastrop, and Lee counties. Heavy activity in the Eagle Ford Shale, which follows nearly the same alignment as Interstate 35 approximately 500 miles to the East of Austin, has led to increased truck traffic on the main interstate and low volume roads important to the population in the district area. Issued drilling permits plummeted in 2015 (Figure 3.14), indicating the plateauing of new drilling activity.



*Figure 3.14 Texas Eagle Ford Shale Drilling Permits Issued
(Source: Texas Railroad Commission, 2016)*

However, many people in the energy industry expect a spike to occur sometime in 2017. The Oil and Gas Industry is cyclical, therefore peaks and troughs in new activity are not unusual.

3.5 Early Warning System (EWS) Framework

The EWS Framework outlines a step-by-step process for creating and maintaining a program for advanced warning pavement maintenance. This system is illustrated in Figure 3.15 and explained in following sections.

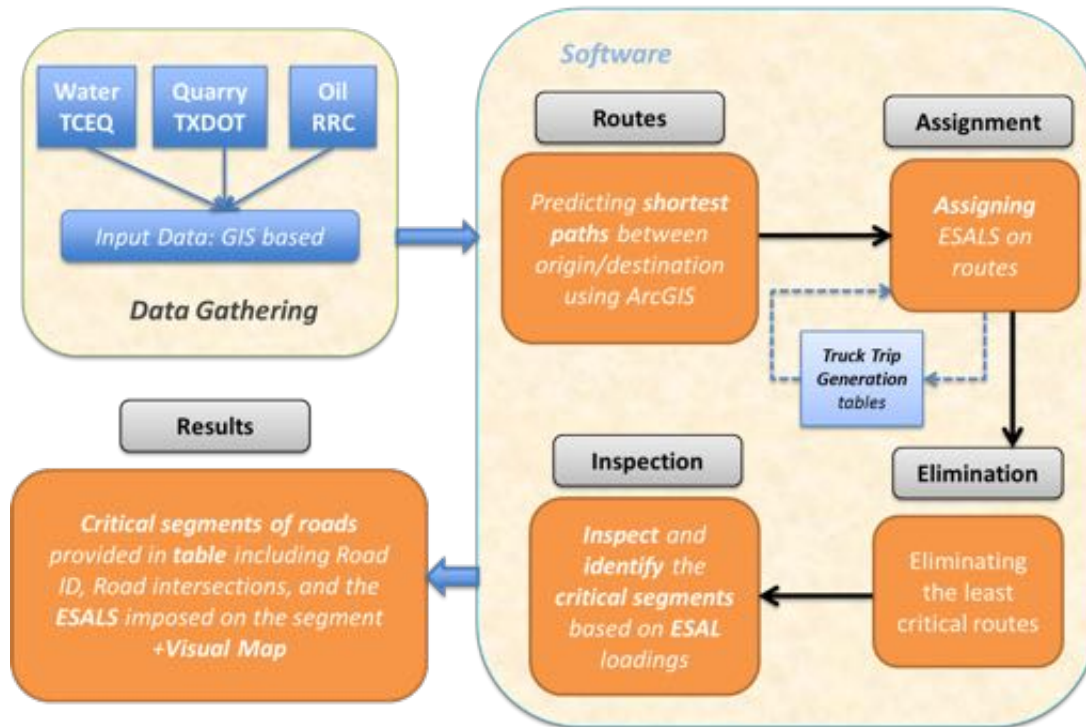


Figure 3.15 EWS Framework

3.6 Truck Trip Generation

For this study, data samples from three Texas counties, Caldwell, Bastrop, and Lee counties were used. Locations and approval dates of oil drilling permits and active saltwater disposal well information was gathered from the Texas Railroad Commission (RRC). The sample data extracted from the RRC included information on 26 oil wells. The start date for drilling was determined from information on FracFocus.org, and the average time from approval to drilling start was calculated to average around 50 days. Well depths ranged from 1,975 feet to 8,700 feet and water requirements ranged from approximately 157,962 gallons to over 9,232,230 gallons. Although both horizontal fracking and vertical drilling permits were issued, most wells in the sample used horizontal fracking methods. More water is required for horizontal fracking, which averages 5,943,105 gallons per well, whereas vertical drilling averaged about 1,389,803 gallons per well. This is because horizontally fracked wells drill to the depth of the formation and then turn 90 degrees to penetrate and break up gas or oil along a shale seam, requiring significantly more fluid than traditional vertical wells. Often, multiple horizontal “arms” will be drilled from one location. This method of drilling can occur either with one permit or by coming back to an old already drilled well and “re-fracturing” it. An important factor to consider is the quantity of sand used in fracking for each well. According to the sample data, 13.2% of the total fracking fluid mass is sand.

3.7 Comparison of Horizontal Fracking and Vertical Drilling Traffic

Water transportation dominates the loading during the well construction process. Generally, flowback water is about 20-50 percent of the actual volume used in the fracking injection process. For the purpose of this study, a conservative assumption of 40 percent was made in

determining the volume of flowback water during the construction phase. After the initial well completion, saltwater produced continues requiring off-site transportation. A dramatic change in truck traffic occurs during the first two weeks of drilling. Typical daily truck traffic after drilling would be 2-3 trips per day. This information indicates water truck traffic is most prominent as the drilling process begins, but then diminishes rapidly over time (See Section 2.7, Figure 2.5). This emphasizes our urgency in delivering prioritized pavement maintenance information, as most of the associated damage occurs in the first few weeks of drilling.

Transporting sand and gravel to the well site is a small, yet significant component. Gravel is mostly used to build access roads in the vicinity of the well site. Large heavy trucks are necessary for transporting the hydraulic fracturing equipment to the site. Although the truck size and weights are often extreme, the number of passes of each truck is small, which contributes a small percentage of ESAL loading compared to the overall traffic. This truck loading information was therefore excluded from this study due to the difficult nature of tracking or obtaining the information for Oversize/Overweight vehicle permits and their pre-determined paths. Table 3.1 shows a summary of truck trip generation and the equivalent single axle load (ESAL) applications expected for the construction of a typical horizontally fracked well in the Eagle Ford Shale area.

Table 3.1: ESALs for Truck Trip Generation

Construction Activity per Well								
Purpose	Full Weight	Single Axle		2 Tandem Axles		ESAL (Value)	# of Trucks	ESALs
Water	80Kips	12Kips	0.229	34Kips	2.22	2.449	1486	3639
Water Disposal	80Kips	12Kips	0.229	34Kips	2.22	2.449	594	1456
Bulk Sand	80Kips	12Kips	0.229	34Kips	2.22	2.449	200	490
Gravel	80Kips	12Kips	0.229	34Kips	2.22	2.449	80	196
Equipment / Other	80Kips	12Kips	0.229	34Kips	2.22	2.449	200	490
Total							2,560	6271

3.8 Predicting Truck Paths

The locations of drilling sites, source water wells, disposal wells, and quarries are origin and destination inputs for the model to determine truck paths. In Texas, the RRC monitors the approval process for oil and gas drilling permits so the RRC online website provided well permit locations, date of request, and date of approval (if already approved). Two time periods from the year 2014 were selected: June 1st to August 31st and September 1st to November 30th. In addition, the RRC provided information on the active saltwater disposal wells in the region.

Microsoft Excel was used as the main method for data input, while Shapefiles were used when available. Excel tables described locations of wells for Lee, Caldwell, and Bastrop counties

and later extended to include the Burleson, Fayette, Gonzales, Guadalupe, Milam and Washington counties. Locations for saltwater disposal were also described in Excel format. In addition, source water locations obtained from the Texas Commission on Environmental Quality (TCEQ) were obtained as Shapefiles downloaded from the website. TCEQ maintains datasets as Shapefiles that include “Public Water System Wells & Surface Water Intakes.” This particular Shapefile gives the locations of water wells that are most useful for the fracking process, and specifically marks the use of and ownership of each well, easily identified by company name. Lastly, TxDOT provided active quarry coordinates supplying sand and gravel for the Austin TxDOT District Area.

3.9 GIS Mapping Tool

The purpose of this project was to develop a graphically based model that forecasts accelerated changes in truck traffic pavement loading. For the Oil and Gas Industry, well activity and its associated locations, along with road network specifications, were combined using ArcGIS software. With periodic updates, the model can better identify road segments likely to experience significantly accelerated loading. All data sources are easy to access and ArcGIS provides an easily visualized answer to accelerated loading questions. With an average time of 50 days from well permit application to well permit approval, and some time required for mobilization to the drilling site and preparation of gravel roads to the well pad, a significant amount of advance warning can be given for pavement maintenance systems. While it is optimistic to think that agencies can design, plan, and mobilize in this timeframe, it will still allow for lead time on projects that would otherwise go unnoticed until failure was observed.

Regarding implementation of the EWS, the responsible pavement maintenance manager for a state DOT District or County can utilize the identification of the heavily impacted roads to prioritize maintenance activity. This will require monthly evaluation of the EWS to determine where the maintenance budget can best be spent. A treatment matrix based on roadway functional classification and soil type for each affected section could be developed to allow for easy and quick treatment choices. In addition, this tool can be used to evaluate the approximate percentage of life cycle loss due to extreme loading from fracking activity, which could form a basis for pro-rata or roughly proportional cost sharing, to be paid by drilling entities.

ArcGIS was used to visualize the information retrieved for use in determining truck travel paths. Locations were exported as Shapefiles into ArcGIS providing an easily interpreted model that can be easily updated. The RRC oil well permit data and the water well locations can be updated using Shapefiles from TCEQ. Disposal well sites can be checked periodically for changes, but these sites are unlikely to change frequently. Likewise, quarry locations have only a small probability for location changes. Thus, only new oil well and water source sites would need to be updated periodically to maintain the model.

The next step after importing well, quarry, and other location data into ArcGIS was adding road network information. The **Dijkstra algorithm** used in the Closest Facility functionality helped to connect trip origins and destinations forming likely truck paths. Running the complete network generates route assignments for trucks associated with all material deliveries to the drilling sites and disposal of water from the sites. Equipment delivery, oil and gas extraction, and delivery to storage and distribution sites were excluded.

3.10 The Model

The ArcGIS model consists of oil wells, water wells, quarry locations, disposal wells and estimated truck traffic associated with the permitted drilling activities. Minimum distance paths were identified to connect origins and destinations. Figure 3.16 shows the graphical route model with all routes identified by activity.

To evaluate pavement loading associated with truck routes, Equivalent Single Axle Loads (ESALs) are used. The model uses different line thicknesses and colors to represent varying magnitudes of ESALs. Figure 3.17 presents the model of routes with 2 or more trips between facilities and incidents. At this stage, the model only includes major road segments with higher truck volumes.

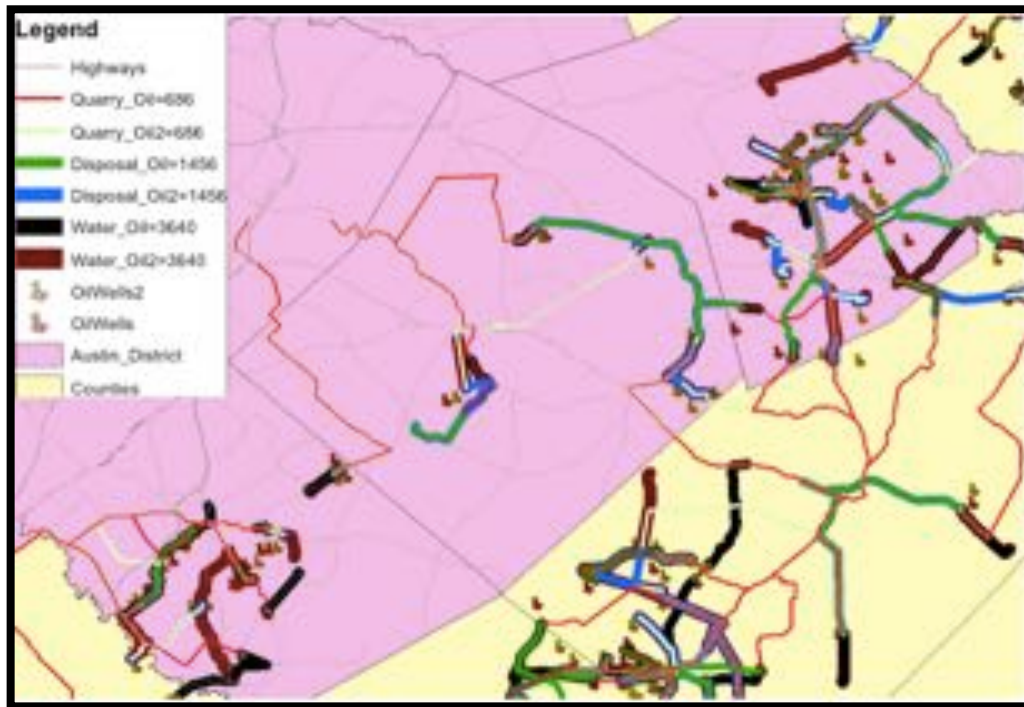


Figure 3.16 Model with All Routes by Activity

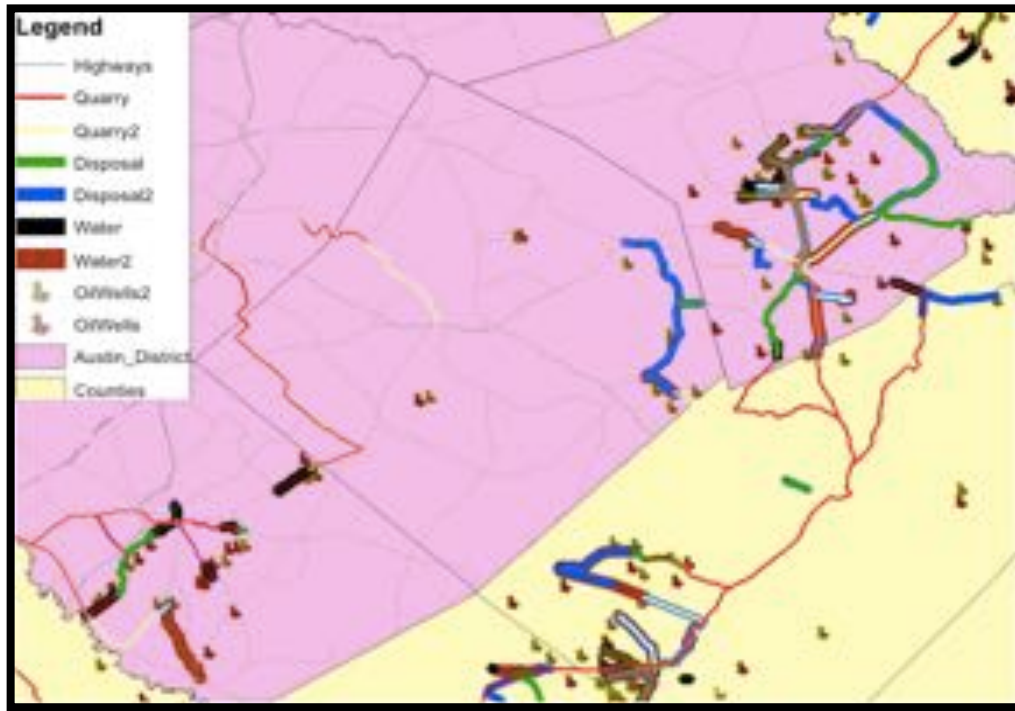


Figure 3.17 Model with Routes Containing 2 or More Trips

To prepare the model, the average amount of water, fluid composition, and flow back rate were all assumed to be the same for each well, with the total number of ESALs associated with each trip type provided in Table 3.1. The routes in this model descend in importance based on ESAL loading. The finished model describes which road segments are experiencing more than expected loading so that officials can develop priorities for pavement strengthening.

Chapter 4. Implementing Network Monitoring in Tamaulipas

4.1 Improving Roads and Road Safety

Because of the anticipated increase in hydraulic fracturing in Tamaulipas, engineers should carefully evaluate roadways to determine if they are sufficient to withstand heavy, frequent truck travel. Given the water, aggregates, and other resources being carried to and from wells, roads can experience an unusual amount of wear in a short amount of time. It is important to consider the potential damages that can result from the usual loadings. As a preventative measure, pavement reinforcement in areas where roads are likely to see more truck traffic can help save money and resources required compared to rebuilding roads from scratch. The reinforcement on pavement in Tamaulipas will allow for safer, smoother travel in areas where great damage would have likely occurred.

Hydraulic fracturing has been a concern within the municipality of Tamaulipas and CNH has historically controlled the permitting of drilling sites. With the likelihood of increased fracking activities, an energy forum has been formed in Tamaulipas to ensure positive economic development. Development of infrastructure in Tamaulipas should allow the state to reach its potential for transporting goods and services.

4.2 Background

So far, only a few unconventional test wells have been drilled in the State of Tamaulipas. These test sites are located in the northern part of the State where conventional drilling has been permitted and where fracking operations are expected to boom. As more lease blocks are awarded, more hydraulic fracturing is likely to occur in the State of Tamaulipas. At this time, Pemex operates several oil and gas sites and may promote installation of various wells within the State (Haahr, 2015). However, as a result of the Energy Reform, the industry has the opportunity to expand internationally for the first time.

While there are many benefits to the hydraulic fracturing in Tamaulipas, Mexico, there are infrastructural concerns that require special attention. Studies carried out for Texas have shown hydraulic fracturing to have a serious impact on roads. When transporting water, the weight or load carried on a roadway can cause dramatic negative effects on the pavement over relatively short periods of time.

Fortunately, inexpensive pavement strengthening can prevent major damage caused by the frequent truck trips. Although trucks carrying large quantities of water to and from the drilling sites have the potential to cause severe damage to roads, measures can be taken to prevent serious pavement damage consequences. In order to most effectively prevent damage, planners need forecasting tools to determine how much damage can potentially occur on any given road used for hydraulic fracturing activities.

Recent studies performed at the University of Texas at Austin have analyzed hydraulic fracturing areas in Texas counties to determine potential road damage locations. Information about the road network, well locations, water source and water disposal sites was used to predict routes that will likely experience unusual heavy truck traffic. Additionally, these studies have estimated the number of heavy truck trips required per well to transport the typical amounts of water, sand, aggregates, and disposal water needed for the hydraulic fracturing process.

The process of hydraulic fracturing requires many trucks to transport all of the necessary materials including water, aggregate and heavy equipment to the well locations. These heavy trucks can cause accelerated wear on pavement surfaces. Typical 18-wheelers weighing up to 100,000 pounds (~43,560 kg) can make thousands of trips to and from well sites throughout the construction period. This magnitude of truck traffic can literally destroy thin light duty pavements that have been designed for light loadings.

The majority of the truck trips for a typical well site carry fluids. The truckloads vary anywhere between 400 to 600 tanker trucks full of fluid. In addition, trucks will carry anywhere from 2.4 to 7.8 million gallons of water per well (Leff, 2009). The volume of chemicals used for preparation of fracking fluids will be in the thousands of gallons.

Furthermore, the flowback water or wastewater that comes out of a well during fracking must be hauled away as industrial waste. The flowback water that comes out of the well is contaminated with various chemicals and radium. This loading for the wastewater will be in the range of 200 to 300 tanker loads (Leff, 2009). The industrial fracked wastewater should be disposed of properly at either a remote disposal area or at a processing facility. It is important to identify the area where wastewater will be sent after the fracking process since this route will experience the second largest pavement loading after the source water to well route.

The maximum legal weight is key to determining the number of truck trips needed per each route type (water to well, aggregate to well, well to disposal site, etc.). The number truck trips in the Texas study were determined by dividing the weight of the total material transported per average well by the maximum legal truck weight. The maximum legal truck weight in the U.S. is 80,000 pounds (~36,287 kg). This weight standard can change depending on road regulations, but it is rarely exceeded in a given trip unless granted a special permit.

Frequent truck travel with heavy loads during hydraulic fracturing activities can cause great damage, reducing the durability of roads and having a negative impact on roadway safety. Trucks carrying heavy resources can cause tremendous damage to pavement. Thousands of trips are made to and from just one well during fracking activities. More damage can occur in a week of carrying loads to hydraulic fracturing sites than seen in over a year's worth of normal everyday truck travel.

4.3 Pavement Standards

Currently, the U.S. federal weight limit on Interstate Highways and bridges suggest no more than a gross vehicle weight limit (GVW) cap of 80,000 pounds of which 20,000 pounds is designated as the maximum for a single-axle and 34,000 pounds as the maximum for tandem-axle loadings. For the purpose of this assessment, the gross vehicle weight limit of 100,000 pounds (43.6 metric tons) will be used to determine the effects of pavement within the area of Tamaulipas.

Maximum truck size and weight limits vary in Mexico with changes in the economy or benefits from using larger and heavier trucks. The current maximums depend primarily on highway classification and vehicle axle configuration. With special permits, trucks can be given access to specific areas and connecting highways.

Understandably, states bordering Mexico are concerned with excessive weight limits. It is important to keep roads safe and infrastructure operating. The federal government in Mexico through the Secretaría de Comunicaciones Y Transportes (SCT), which is the Ministry of Communication and Transportation, helps manage size and weight regulations for trucks on highways (Hodges, 2011). As noted through the National Cooperative Highway Research Program, legal truck loads are based on certain limits.

Four Federal Weight Limits exist for the United States (TRB, 2007):

1. 20,000 lbs for single² axles
2. 34,000 lbs for tandem³ axles
3. A maximum GVW⁴ of 80,000 lbs, and
4. Application of the FBF B for each axle group up to the maximum GVW.

In studying the traffic and behavior along roadways, trucks in the U.S. and in Mexico are of similar size and composition. However, legal limits differ with the gross vehicle weight (GVW). For a common truck configuration (T3-S2), Mexico considers a maximum of approximately 46.5 metric tons (102,515 lb.), when driver, vehicle, and carrier comply with all exception requirements (Hedges et al. 2011). In considering the truck configuration differences between Mexico and the United States, the research proposed justifies using the truck configuration of 100,000 pound loading (See Figure 4.1).

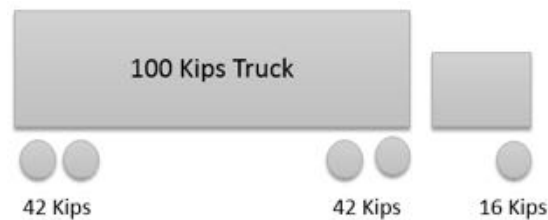


Figure 4.1 3-S2 Truck Configuration and ESAL Loading, Proposed Maximum

Specifically, Mexican truck weight regulations apply to commercial vehicles using federal highways, and these standards must comply with the requirements for maximum weight per axle loading. In obtaining an additional axle, trucks will decrease the impact of the load on pavement.

Furthermore, determining pavement strength for paved roads in Mexico can assist in estimating the anticipated damage. The structural strength of the pavement is based on the type and thickness of each structured pavement layer. The Structural Number (SN), a measure of the overall required structural design in sustaining traffic loading, can express this overall strength. As experimented by the American Association of State Highway and Transportation Officials (AASHTO) in the AASHO Road Test, pavement structure relates serviceability, traffic, and thickness in pavement to pavement performance. In choosing the appropriate structural number (SN) for Mexico, the most cost-effective design was decided through trial and error. The Structural Number is used to indicate layer thickness, and it represents the relative strength in materials being used during the construction of pavement (AASHTO 1993). By assumption,

² *Single Axle Weight* – the total weight on one or more axles whose centers are not more than 40 inches apart. The federal single-axle weight limit on the Interstate System is 20,000 lbs.

³ *Tandem-Axle Weight* – the total weight on two or more consecutive axles more than 40 in., but not more than 96 in. apart. The federal tandem-axle weight limit on the Interstate System is 34,000 lbs.

⁴ *Gross Weight* – the weight of a vehicle or vehicle combination and any load therein. The federal gross weight limit on the Interstate System is 80,000 lbs.

Structural Number = 3 will be used to model the pavement strength. In flexible pavement designs, ESAL values are independent of the structural number. A higher SN means a stronger pavement type, thus the impact of traffic on a pavement surface decreases with stronger pavements. Paved roads are indicated by a high structural number with stronger pavement (6) whereas unpaved roads have a low structural number with destructible pavement (1). The objective in road design accordance with the AASHTO methods is to find a flexible pavement Structural Number (SN) able to support the projected ESAL value for the pavement life. If the ESAL value for roads in Mexico is constant, an estimated thickness can be formulated to make sure pavement will survive.

For flexible pavement design, pavement performance is measured based on its structural and functional ability to be serviced given the Present Serviceability Index (PSI) scaling anywhere from 0 to 5. Traffic is modeled in terms of expected ESALs and the terminal PSI ($p_t = 2.5$) such that the pavement meets design standards. The calculation breakdown for expected ESALs is in Table 4.1.

Table 4.1: ESAL Number Calculation for Different Weights in Tamaulipas

ESAL Number Configuration						
Purpose	Full Weights (lbs.)	Single Axle (Kips)		2 Tandem Axles (Kips)		ESAL (Value)
Proposed, Max. Legal Limit	100,000	16	0.646	42	4.98	5.626

Trip generation tables and GIS data will be used to help characterize damage to pavement based on ESAL loadings along significant routes being traveled. This approach allows time and money to be saved when utilizing traditional techniques used in surveying traffic. Methods for estimating AASHTO Equivalent Single Axle Loads (ESALs) are easily accessible and can be used for the purposes of creating a visual model. Most methods of planning in urban areas and rural areas assume trucks to make up only a small fraction of the traffic, but even a small fraction of total traffic being composed of heavy trucks is significant and cannot be overlooked, especially when anticipating an increase in truck traffic due to hydraulically fractured wells.

Table 4.2: Oil Well Construction Truck Trip Generation for Tamaulipas

Purpose	Construction Activity per Well		
	Number of Trucks	ESAL (Value)	ESALs per well
Water	1486	5.625	8359
Water Disposal	594	5.625	3341
Bulk Sand	200	5.625	1125
Gravel	80	5.625	450
Equipment / Other	200	5.625	1125
Total	2560	5.625	14400

Table 4.3: Oil Well Production Truck Trip Generation for Tamaulipas

Production Activity (Water Disposal)			
Purpose	Number of Trucks	ESAL (Value)	ESALs per well
1st week	476	5.625	2678
2nd week	196	5.625	1103
Up to 60 days	90	5.625	506
After 60 days	30	5.625	169
Haul Product	2	5.625	11
Total	794	5.625	4466

The production phase of a well is much less damaging than the construction phase. The ESAL values generated for the construction and production phases for a single oil well are summarized in Tables 4.2 and 4.3. The production phase results in smaller total ESAL values than the construction phase. The length of the production phase is very variable, lasting anywhere from a few years to some wells that have reached 50 years of production and are still operating. The production life of a well mainly depends on the formation. Moreover, with the construction phase only lasting as little as 13 days, the damage to the roads per well is not only much larger than the production phase, but also occurs in a shorter period of time. For a single 100,000 pound truck with a 16 Kip single axle = 0.646 ESALs and two tandem axles = 42 Kips each, the total ESAL damage per truck is 5.625. Now take the example of water operations during construction. The table states that 1,486 trucks carry water during well construction. Multiplying the number of trucks by the ESAL value of 5.625 for this particular truck configuration will give precisely 8,359 ESALs.

4.3.1 Empty Truck Trips

During the process of hydraulic fracturing, many trips are taken to and from the well. Some of these trips will involve empty trucks having carried their load to the drilling site and then making their way back to retrieve more resources. As the case may be, these trucks also cause damage to the road surface. The roadway will be worn even after a few passes from incoming truck traffic. Through research and analysis, modeling techniques can be carried out to describe with a more accurate view how truck traffic will behave. The response of empty trucks during fracking activities may vary depending on the resource being carried. For example, the loading of a truck may be twice as heavy when carrying water than when empty.

Truckloads for any given hydraulic fracturing process are considered not only a maximum allowed truck weight of 100,000 pounds but also an empty truck weight of approximately 40,000 pounds (Cambridge Systematics, 2007). Thus, the loaded trips along with the empty trucks trips need to be configured into the model for analysis to describe the real story of how roads are being impacted due to loading on the pavement's surface. Accordingly, trucks may leave their destination with a full load and either empty upon return or full but carrying waste products. Therefore, this analysis will account for one full truck and one empty truck. For the movement of resources, it is important to address the estimation of empty truck trips and how these trips will cause damage to a road's surface during loading.

Table 4.4: Truck Trip Generation for 3TS2 Empty Trucks

Purpose	ESAL Number Configuration					ESAL (Value)
	Full Weights (lbs.)	Single Axle (Kips)		2 Tandem Axles (Kips)		
Proposed Limit, Empty Truck	40,000	12	0.229	14	0.042	0.313

Empty truck trips will be taken into consideration when analyzing the amount of loading. Trucks trips can be utilized to represent a “sequential unloading, return empty” truck-trip pattern (Cambridge Systematics, 2007). As such, trucks may leave their origins with a full load of cargo, but after reaching their destination, the trucks will return empty having delivered the material being carried. Table 4.5 describes the ESAL values involved in one pass of a full truck and one pass of an empty truck on a road surface. These ESAL values will be used in the analysis to show all activity occurring during truck trips.

Table 4.5: Revised⁵ Oil Well Construction Truck Trip Generation

Purpose	Construction Activity per Well		
	Number of Trucks	ESAL (Value)	ESALs per well
Water	1486	5.938	8824
Water Disposal	594	5.938	3527
Bulk Sand	200	5.938	1188
Gravel	80	5.938	475
Equipment / Other	200	5.938	1188
Total	2560	5.938	15202

Note: the construction process for a hydraulically fractured well is much more damaging than the production process. As such, this analysis only considers the construction phase.

4.3.2 Available Road Network

Tamaulipas has both roads that belong to the federal highway system and state system. The roads that belong to the federal highway system offer at least one lane in each direction. On the other hand, the types of roads under the state system are very variable, but most closely resemble the FM road system in the United States. Some of the roads under the state system are paved, while other roads are currently dirt roads. While federal funds are available for the maintenance of state roads, in the northern part of Tamaulipas, it is very expensive to build roads. Additionally, freight operations in general in the northern part of the state are also very expensive.

⁵ Notice this generated truck trip analysis is the sum of the truck’s payload and the empty vehicle weight.

Tamaulipas has an extensive highway system involving interstate class facilities that have been modernized. Within the area, four-lane Highway 40 exists in the western part of the panhandle. This small segment of roadway connects Laredo, Monterrey, and Reynosa. This roadway has great significance, providing highway travel between Texas, Nuevo Leon, and Tamaulipas. Logistically, Tamaulipas has worked to upgrade its roadways to modern standards.

In addition, the border area of Mexico shows roads that are a part of trade crossings that are being considered for upgrades. The South Texas-Mexico border region contains an important corridor for trade. The Rio Grande Valley is growing with respect to Mexican cities such as Nuevo Laredo, Reynosa, and Matamoros. Mexico is able to export crude oil products to the United States by use of the border crossings.

The road network description acquired from Tamaulipas contained roads from both the federal and state highway system. A common problem with these road network files was unconnected segments. Unconnected segments may prevent all shortest paths between incidents and facilities from being found using Network Analyst. Unfortunately, an easy fix for this issue was not identified. The alternative was to manually connect hundreds of disconnected segments without information about functional road classification and accurate locations for the manual connectors.

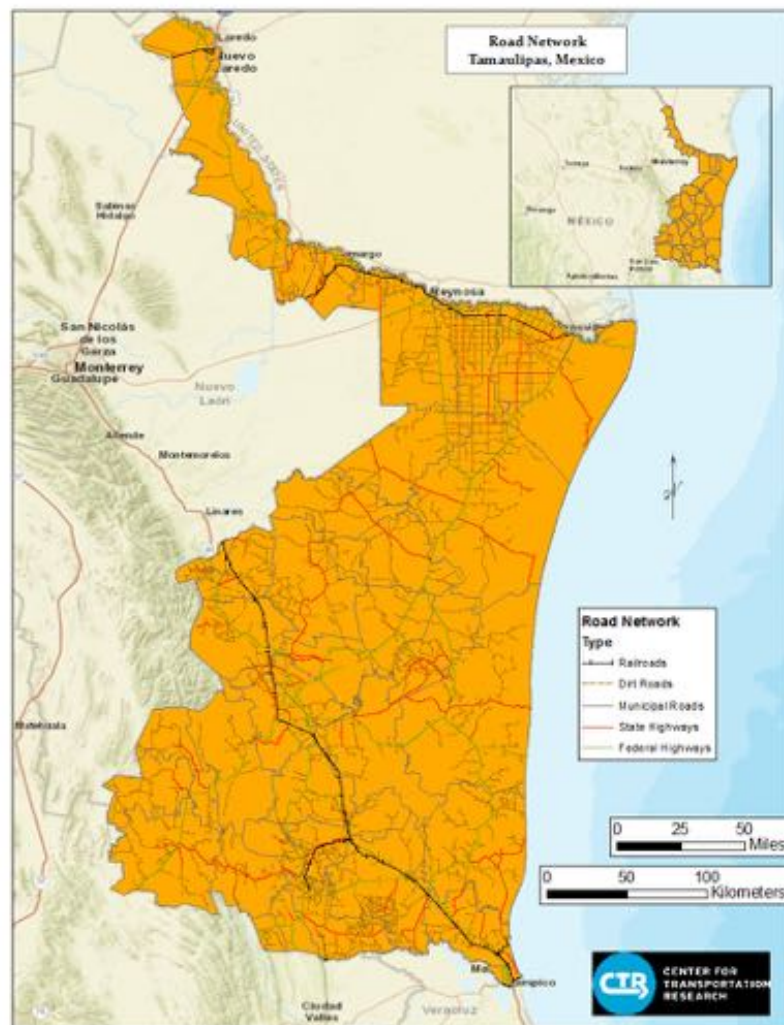


Figure 4.2 Tamaulipas Road Network
(Source: Tamaulipas 2015)

For this study, a Shapefile from a reliable source (DIVA) was used to work around this issue. The main study area is situated along the border, which is an area that UT research has had extensive experience studying. The previous experience working with the road network along the border affirms that this source works well for this analysis. However, a fully connected network from Tamaulipas with functional class descriptions would be the best option. Other pieces of information such as length of segments, names of roads, numbers of lanes, shoulder widths, etc., could also help with providing a more complete picture for the model.

4.3.3 Changing Infrastructure for Tamaulipas

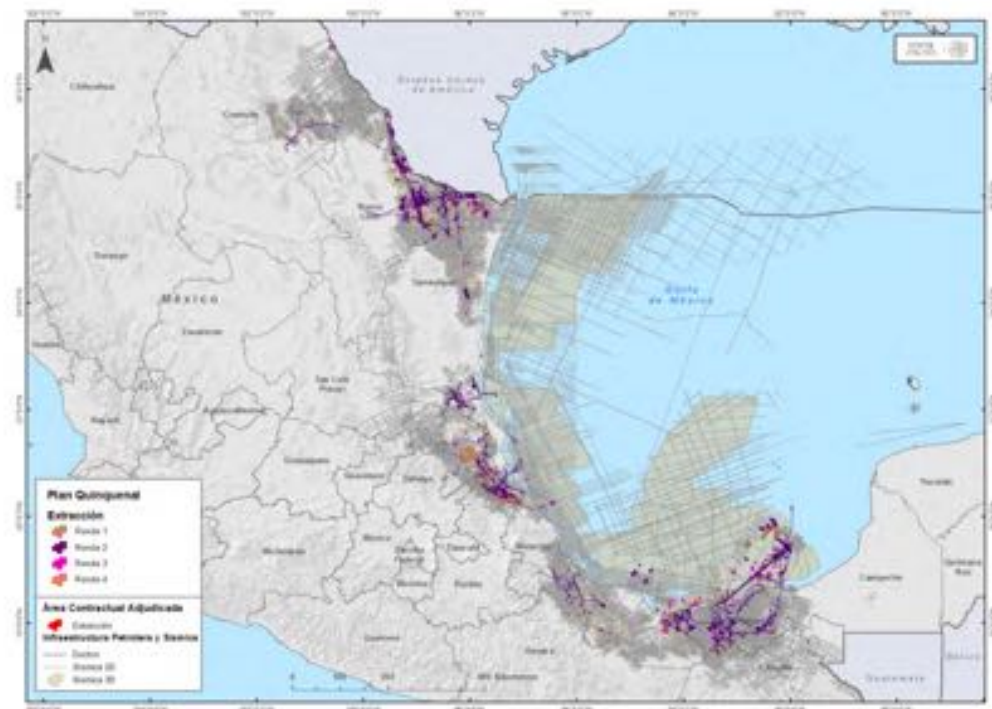
In Tamaulipas, the infrastructure is changing based on oil and gas production. One of the more profitable municipalities to extract oil and gas, Tamaulipas is highly regarded for its technically recoverable shale gas resources within the Eagle Ford Formation of the Burgos Basin. This portion of oil and gas production extends from the State of Texas. But with Mexico's complex shale geology, the potential for development outside of the Burgos Basin is less certain.

In part to prepare for increased development, roads in Tamaulipas are in the process of being modernized. The Tamaulipas government is taking action to improve highways. Pavement designs being developed in Mexico closely resemble global standard engineering compliances. Some current options in road paving involve using either asphalt or hydraulic concrete. Within the main intersections where heavy traffic is found, hydraulic concrete is often used to extend the average pavement life. For secondary roads and intersections with less traffic, asphalt is used more often for road base. Roadways are designed with the intention to have a minimum 2% transverse slope that provides runoff to the shoulders, to alleviate wear caused from settling moisture.

Mexico's pavement has experienced premature failures for asphalt pavement a short period of time after construction, as pavement is damaged by heavy truck traffic. To prevent rutting, protective layers must be applied so that the total pavement thickness is adequate for repeated heavy truck loading.

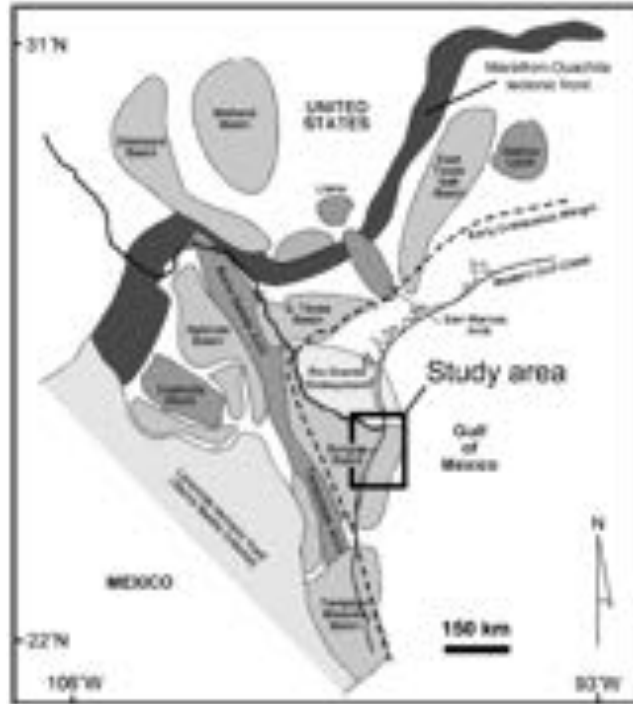
4.4 Shale Oil and Gas Formations

The structural framework of the geological provinces in Tamaulipas has been investigated by studying the regional variations in geologic petro-based well data. The gas production in the Burgos and Tampico Basin of northern Mexico is significantly increasing with the enhancement of operating resources. The area of Tamaulipas is developing an increased understanding of the potential reservoirs. Seismic data acquisition and processing allows geoscientists to characterize and interpret hydrocarbon accumulations. Figure 4.3 shows the extraction lease blocks along with the available 2D and 3D seismic imagery.



*Figure 4.3 Map showing extraction lease blocks and seismic information.
(Source: SENER 2015)*

The light gray lines are 2D seismic lines and the light gray blocks are areas with available 3D seismic data. The nominations for exploration areas offered during the Five Year Tender Plan were selected based on the 1) availability and quality of seismic data and 2) exploration potential (SENER, 2016). Considering the available seismic data, operators have the opportunity to better characterize the potential reservoirs.



*Figure 4.4 Tectonic Elements of the Burgos Basin in the NW Margin of the Gulf of Mexico
(Source: Hernandez-Mendoza, DeAngelo, Wawrzyniec, & Hentz, 2008)*

In general, the geological structure of Tamaulipas is considered to be complex, in part due to the Sierra Madre Occidental mountain range toward the west (Gary, 2010). This region of rock deposits is narrow and ridged compared to the Eagle Ford rock formations found in Texas (Figure 4.4). According to regional geological-geochemical studies assessing World energy, the Burgos Basin in northeastern Mexico contains some of the more recoverable respective resources since the Eagle Ford Shale Formation encompasses much of the area (2015). Thus, the Eagle Ford Formation, which runs through the U.S. and also through Mexico, indicates a region in productive and continuous mode (Figure 4.5).



*Figure 4.5 Oil and Gas Shales in Mexico
(Source Escalera Alcocer, 2013)*

As of late, oil provinces have been identified in this area of the Sabinas, Burro-Picachos, Tampico-Misantla, and Veracruz for their potential in discovering resourceful plays (USGS, 2015). The Upper Jurassic and Upper Cretaceous shale formations appear to be overlapping within parts of the country where exploration is going to occur. These predictions of possible oil and gas fields were estimated due to the organic carbon and stratigraphic levels present in the formations.

In Mexico, the locations of the oil and gas shales are pertinent to where drilling will take place. Overall, Pemex has spent approximately 75 years in exploration, recovering information based on the whereabouts of approximately more than 1,000 wells. This area of prospective drilling covers 120,000 km² of land.

4.5 Texas Study Comparison

Heavy truck loading caused by land development or re-development may enhance pavement deterioration patterns. Unexpected heavy truck loading can accelerate pavement destruction. It costs about ten times more to repair pavement after it is destroyed than it costs to adequately strengthening pavement to carry the prospective heavy loading. Forecasting future at-risk pavement helps to avoid the costs of rebuilding completely destroyed pavements. Pavements experiencing severe traffic loading should be identified and strengthened. Each pavement type depends upon design, environmental influences, and potential traffic loading. Pavement structures can be reinforced before they fail by effectively anticipating increased truck loading.

Pavement deterioration rates are strongly correlated to area weather conditions, the type of pavement experiencing the loading, and the amount of traffic on a given pavement. Land

development activities cause high truck traffic loading, especially on minor roads with weak pavement structures, where pavement destruction can occur at an augmented rate.

The costs in pavement maintenance increase rapidly by several orders of magnitude as the added ESALs per mile increase. These costs are very dependent upon the quality of construction during the road construction process, the design pavement thickness, and seasonal weather/climatic conditions.

4.5.1 Pavement Maintenance

Maintaining pavement durability depends on data provided in annual reports on pavement historical conditions. With information on Tamaulipas road conditions taken into account, measures for improved maintenance and modifying pavement structure can ensure safer travel. Unexpected high traffic flow causes premature pavement damage. The practice of maintaining road durability differs across state and national borders. In Texas, the Texas Department of Transportation (TxDOT) has three categories for classifying possible maintenance: preventative, routine, and major maintenance. Each type of maintenance is described in accordance with their respective measures. Preventative maintenance prevents any major deterioration, routine maintenance delivers repairs, while major maintenance restores pavement that has been destroyed.

Tamaulipas is divided into to municipalities (Figure 4.6), in which each municipality is in charge of its local streets. Municipalities have limited budgets, however, they are responsible for managing all public services. Public services include public lighting, solid waste management, and most importantly to this study, road maintenance. It might not be realistic to think that municipalities in Tamaulipas can design, plan, and mobilize in the timeframe between well permit application and well construction. However, the advanced knowledge will still allow for lead time on projects that would otherwise go unnoticed until failure was observed.

1. Basic⁶ – where counties are dispersed and show no major population centers of 5,000 or more
2. Developed⁷ – where counties are located near major population centers of at least 5,000 or more
3. Urban Boundary Rural⁸ – where counties or regions lie along highly developed metropolitan areas

4.5.3 Characterizing Truck Trips

For planning purposes, small urban and rural areas factor trucks as only a fraction of road traffic. But in fact, trucks are a significant portion of roadway traffic and should not be overlooked. They are much heavier than regular vehicles, therefore the resources transported to and from wells by trucks can cause a significant pavement impact. Trucks also have an effect on congestion in urban and rural areas since one truck can take up the same space on the road as three individual cars.

The road network is comprised of rural roads that are important to agricultural purposes and rural communities like the rural Federal-aid highway system. In a 1994 survey, local rural roads were identified as being of less than adequate condition. It is obvious that county roads will need attention in the future especially in smaller counties. Significant changes in traffic volumes may severely impact an area especially if the pavement is not suited for heavy loading.

4.5.4 Obtaining Water Resources

The main concern, as described in the chapter on the Texas experience, is the water that is transported to and from fracking sites. Water is a critical component for hydraulically fractured wells. The impact on roadways of transported water can be dramatic. Large volumes of water are used in the process of drilling; approximately 1,500 truck loads are needed per drilled well. The weight of 1,500 trucks transporting water traveling on a given roadway can cause years of damage in just a few days.

Tamaulipas has been looking into identifying the best usable water source for hydraulic fracturing activity. The State aims to remain more energy efficient with their work by gaining support from the Border Environment Cooperation Commission (BECC). This agency helps enact plans for protecting the environment. With petroleum systems located around the northern portions of the state, special considerations are given to Nuevo Laredo, Reynosa, Rio Bravo, and Matamoros. Not to mention, Tamaulipas' capital, Ciudad Victoria, is working to manage and reduce operating costs overtime (BECC, 2013).

For now, the State Water Commission has determined that the best sources for water for fracking activities are wastewater treatment plants. Table 4.6 shows the latitude and longitude

⁶ Basic rural areas traditionally are areas that tend to have few or no major population centers and transportation is characterized by “farm-to market” or localized rural roads.

⁷ Developed rural areas are urbanized areas in a county where most of the land is undeveloped. These areas may have an increased need for forecasting since they may be inclined to grow rapidly.

⁸ Urban boundary rural areas are located within the fringe of large urban areas. These urban boundary rural areas are characterized by economic growth and development.

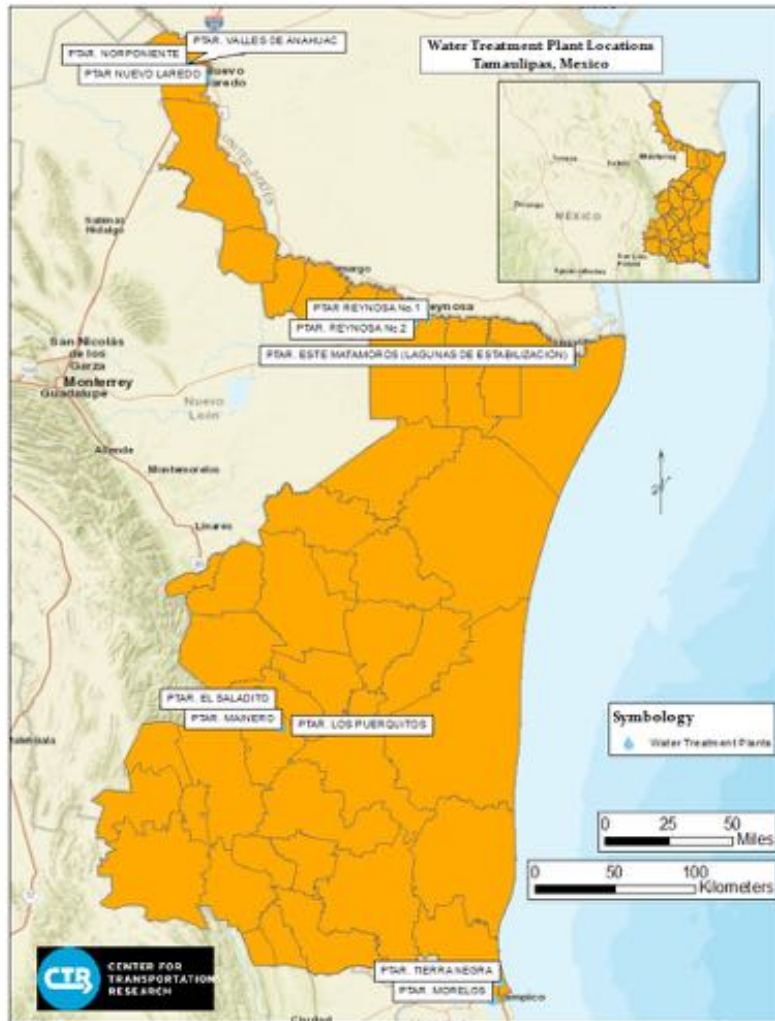
locations of wastewater treatment plants in Tamaulipas. Figure 4.7 shows the locations of the water treatment plants in Tamaulipas on a map.

Table 4.6: Locations of Waste Water Treatment Plants

(Source: Tamaulipas, 2015)

Organismo Operador	Latitud	Longitud	Gasto	DBO5	SST
NUEVO LAREDO			1,110		
PTAR NVO. LAREDO	27°25'7.19"N	99°29'48.20"O	1,032	3.36	5.48
NORPONIENTE	27°30'14.06"N	99°36'34.27"O	55	12.8	4.00
VALLES DE ANÁHUAC	27°29'27.33"N	99°36'16.74"O	19	25	33.00
PARQUE IND. ORADEL	27°28'36.50"N	99°37'31.59"O	4	21	30.00
REYNOSA			1,250		
PTAR No. 1	26° 4'10.55"N	98°15'11.99"O	1,000	54.20	37.67
PTAR No. 2	26° 2'8.04"N	98°19'47.63"O	250	15.77	11.55
MATAMOROS			385		
PTAR ESTE (LAGUNAS DE ESTABILIZACIÓN)	25°48'30.09"N	97°25'38.98"O	385	26.20	58.00
VICTORIA			828		
PTAR MAINERO	23°45'38.14"N	99° 4'41.50"O	114	<2	<5
EL SALADITO	23°49'21.21"N	99° 6'39.72"O	216	44.2	34
LOS PUERQUITOS	23°48'41.38"N	99° 5'56.17"O	498	34	65
ZONA CONURBADA			1,100		
PTAR MORELOS	22°13'32.39"N	97°53'3.60"O	200	29.73	9.00
PTAR TIERRA NEGRA	22°19'24.51"N	97°50'45.94"O	900	<2	4.00

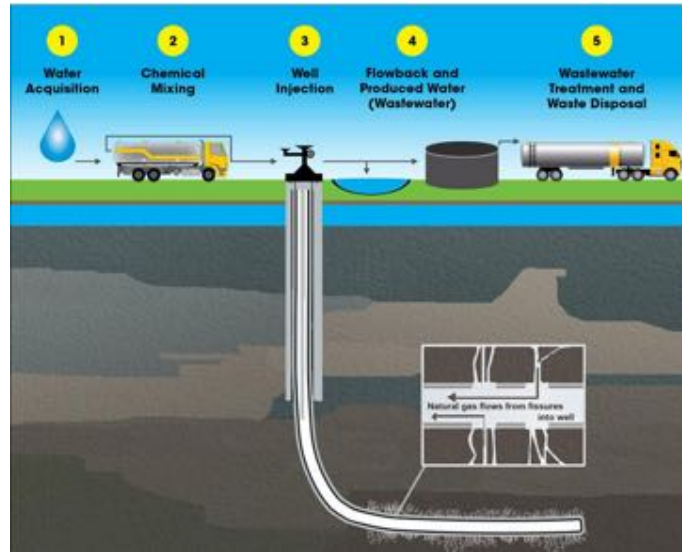
The header “Gasto” indicates the liters per second (lps) that each plant can produce. The treatment facilities at Nuevo Laredo and Reynosa have been identified as the top water source candidates as they can produce at least 1,000 lps, which is enough water for 30 perforations per week. The other reason that wastewater treatment plants are in general the most preferred source is that using treated water, rather than fresh water, does not compete with population and agricultural needs for fresh water.



*Figure 4.7 Locations and Water Production Rates (lps) for Water Treatment Plants
(Source: Tamaulipas, 2015)*

4.5.5 Process of Hydraulic Fracturing

The Environmental Protection Agency issued a study explaining the five stages of the Hydraulic Fracturing Water Cycle (Figure 4.8). These steps show the proper guidelines for acquiring water, the types of chemicals mixed into water as additives, and the pressurized fluid used in fracturing.



*Figure 4.8 Hydraulic Fracturing Water Cycle
(Source: EPA, 2015)*

Stage One: Acquiring Usable Water

- Large volumes of water, whether ground water or surface water, are necessary for the hydraulic fracturing process.
- Drinking water resources can be impacted.
 - Quantity of water available may change.
 - Quality of drinking water may change.

Stage Two: Mixing Chemicals into Water

- Acquired water at well site will be combined with not only chemical additives, but also a type of proppant or sand to make hydraulic fluid.
- Drinking water resources can be impacted.
 - On-site spills and/or leaks have the potential to contaminate surface or ground water.

Stage Three: Injecting Fluid

- Hydraulic fracturing fluid is pressurized and injected, creating cracks in the formation where oil and gas escape to be collected.
- Drinking water resources can be impacted.
 - Hydraulic fracturing fluid may contaminate ground water due to inadequate construction of well.
 - Fracturing fluids may move from target formation through existing faults or depressions to drinking water aquifers.
 - Metals or other active materials underground left behind during fracturing activities may affect drinking water.

Stage Four: Excess Water in Fracturing or Flowback

- With the release in pressure, a combination of chemicals and natural substances including: water from formation, hydraulically fractured fluids, and natural gases, make their way up the well to be stored away.
- Drinking water resources can be impacted.
 - Spills and leakage from storage may affect ground water.

Stage Five: Disposal and Treatment of Wastewater

- The handling of wastewater requires either underground ejection, treatment by disposal, or recycling for future use.
- Drinking water resources can be impacted.
 - Inadequately treating water may lead to contamination.
 - The interaction between contaminants and disinfectants may cause byproducts to be formed in facilities where treating drinking water.

Recycling wastewater has become a method that many companies have implemented, rather than retrieving water from the ground or other surface water resources. Ultimately, groundwater is the supply of fresh water obtained beneath the earth's surface that exists in aquifers. Aquifers supply fresh water to open wells and springs, and they act as a primary source for fresh water to the population and agriculture. On the other hand, surface water includes any water occurring naturally that is exposed to the atmosphere. Surface water quality and quantity varies between rivers, lakes, reservoirs, and other sources. Surface water is another source of potable water.

4.5.6 Solutions for Storage

Determining sufficient sources of large quantities groundwater for use in fracking activities can be a challenge. Recent technology has improved processes known as aquifer storage and recovery (ASR), where water is added to aquifers and retrieved when needed (Wagner, 2015). Using an aquifer for storage can protect water from evaporation.

Furthermore, desalination of brackish groundwater is a subject of interest due to the excessive cost of water treatment. The thick layers within aquifers facilitate water desalination; the separated rock formations of low-permeability have no effect on stored freshwater. Each aquifer's geology is unique in terms of its effect on stored brackish water. For example, the Carrizo-Wilcox Aquifer in Texas has hundreds of feet of siltstone and sand or a type of less permeable rock that can aid in the process of desalination (Wagner, 2015). Caution about the contamination of freshwater sources is advised.

4.6 Tamaulipas ArcGIS Implementation

As hydraulic fracturing activities develop in Tamaulipas, road damage will occur. This study focuses on oil and gas truck traffic patterns and provides an Early Warning System (EWS) tool to help officials better-forecast future damages and assures proper measures are in place. By creating a model of the road network with ArcGIS, the possible routes for travel between locations can be configured where traveled path lengths will be shortened by a significant degree. When describing an infrastructure system, it is useful to have gathered functional classification information about the roads in question.

In studying of road network of Tamaulipas, several items were supplied for the analysis. The research team was able to obtain information about the roads and sourced water, which are only the two primary concerns. The analysis for Tamaulipas is not complete, since it is only factoring in the components that have been agreed on. The biggest missing piece of this puzzle is the water disposal site locations. As we learned in the study, the water routes that trucks take are the most damaging to the road network. Information about the water disposal sites would have addressed the impact this type of heavy pavement loading associated with hydraulically fractured sites. This additional piece of information would have provided a more complete analysis.

4.6.1 Acquiring Editable Data

The objective of this study was to characterize the potential for accelerated pavement wear in Tamaulipas as a result of the unusual heavy truck traffic with the lessons learned from the Texas Study. The research team organized all of the information provided by Mexico and other reliable sources to model roads that would receive the highest impact from fracking. In the model, several datasets were layered considering Federal Roads of Mexico, Hydraulically Fractured sites, and wastewater treatment facilities as Water Sources. These components allow forecasting which pavement locations are most at risk. Determining the locations that require preemptive maintenance can result in proper allocation of funds and a reduction of future roadway costs. These datasets include source locations for the following:

1. **Federal roads of Mexico.** The government of Tamaulipas was able to gather information about federal, state, municipal, and dirt roads. Given its size and connectivity to the road network, it was necessary to use an alternate source layer, especially when locating the wells outside of the state. For the purposes of analysis, the entire network of Mexico's federal roads was utilized.
2. **Hydraulically fractured sites.** Data for wells was obtained from the government of Tamaulipas to ensure that proper locations were being analyzed for the purpose of this assignment. Only exploratory wells for the region have been drilled. These hydraulically fractured sites are merely a sample of the area's true potential. These points for well locations were obtained through Google Earth as coordinates that were imported to ArcMAP.
3. **Wastewater treatment plants as water sources.** Locations for water sources were provided in an Excel format as x- and y- coordinates from the government of Tamaulipas. For now, the State Water Commission has determined the best water source to be used for fracking activities as the water treatment plants. The wastewater treatment plants at Nuevo Laredo and Reynosa have been identified as the top water source candidates because they can produce as least 1,000 lps, which is enough water for 30 perforations per week. Wastewater treatment plants are in general the most preferred source because using treated wastewater, rather than fresh water, does not compete with population and agricultural needs for fresh water. With this particular model, the shortest routes between hydraulically fractured sites and water sources will be generated, showing roads experiencing heavy pavement loading. The Network Analyst tool for a Closest Facility will allow these routes to be identified.

4.7 Setting up the Model

This model generates shortest routes between hydraulic fracturing sites and water sources to show roads experiencing heavy pavement loading. The **Network Analyst** tool for a **Closest Facility** will find the shortest paths. First, the data must be accessible to ArcMAP. Keep all necessary data in a folder named *Model* and have an additional folder set-aside as *Trials*. It is important to have all the critical information together in one folder and all of the experimental work in another. In creating a model, it will be easy to trace back the files added into ArcMAP and those files created while running tools.

Altogether, seven files should be assigned to any given shapefile. Each file represents a different component for ArcMAP that allows for information to be displayed geographically on the page, given the attribute information (.dbf), coordinate system (.prj), spatial index features

(.sbn and .sbx), main geometry (.shp), and index of geometry (.shx). Be certain that the Federal Roads of Mexico have these seven existing files. These existing files will allow for the dataset to be easily added into ArcMAP.

Since the hydraulically fractured wells were obtained via Google Earth as a KMZ file, open Google Earth and save the file as a KML in your designated folder. It is also possible to manually collect the x- and y- coordinates into an Excel sheet for comparison. This manual method of collecting points is done by hovering over each well location. (If manually collecting points, save the Excel file using the Microsoft 97 version.)

Open the Excel file with the water sources. View the column titles and data type. Make sure everything is well organized and save as a Microsoft Excel 97 file type. ArcMAP recognizes only certain file types, so this step is critical to the process of adding data. Throughout this assignment, remember that the ArcMAP Catalog will help in connecting to folders, finding tools in ArcToolbox, and creating new layers in the form of points, lines, or polygons. It can be a useful window when needing the changeover coordinate systems.

In all models, it is required that the datasets be given proper coordinate systems based on their locations. Tamaulipas is located in zone 14 of the Universal Transverse Mercator (UTM) System. Start-up ArcMAP and connect to the *Model* folder to check each dataset and ensure the coordinate system is defined. Notice that the two sets of data must be converted into a shapefile for analysis in ArcMAP: Hydraulically Fractured wells and Water sources.

1. Using the ArcToolbox within the ArcMAP Catalog, click on the **KML to Layer** under **From KML** within the **Conversion** Tools folder. This tool will allow for the KML file to be changed over to a layer in ArcMAP. Input the Hydraulically Fractured wells KML file. In output box, name layer for the file as Hydraulically_Fractured_Wells.shp and make sure it is designated to the *Model* folder. Notice that the coordinate system for this layer will already be defined since it was pulled from Google Earth. The dataset layer will have a WGS84 coordinate system.
2. Change the coordinate system by clicking on **Project** under **Projections and Transformations** within the **Data Management** folder of ArcToolbox. Input the shapefile for Hydraulically Fractured wells. Output the file named Wells within the *Model* folder. Choose the appropriate coordinate system for the State of Tamaulipas as described (Projected Coordinate Systems>UTM>WGS 1984>Northern Hemisphere>WGS 1984 UTM Zone 14N). Click **OK** and a new layer will be created from the Project tool. When finished processing, the layer will be added in the Table of Contents of ArcMAP. The well sites will be given in Data view.
3. Also within the **ArcToolbox** of the ArcMAP Catalog, click on **Make XY Event Layer** under **Layers and Transformations** in the **Data Management** folder. Excel tables with x- and y- coordinates can be turned into layers by using this particular tool. For the input, navigate to the Water sources excel table. The output should be named Water.shp within the *Model* folder. Define the coordinate system and click **OK** to create the new layer.

In adding data into ArcMAP, there are two ways to perform layering—either by using the **Catalog** or clicking on **Add data under File**, add in each of the datasets including the federal roads of Mexico, hydraulically fractured sites, and water sources. Note: The hydraulically fractured sites and water sources were already added given the previous two

steps and their coordinate systems have been changed over. The only dataset that must be added is the Federal Roads of Mexico shapefile (Figure 4.9).

4. Use the **Catalog** of ArcMAP to reach the **ArcToolbox** once again. In this step, the coordinate system of the Federal Roads shapefile will be projected over to a new coordinate system so that each of the layers has the same spatial arrangement in ArcMAP. Click on **Project** under **Projections and Transformations** within the **Data Management** folder of ArcToolbox. Input the shapefile for the Federal Roads of Mexico. Output the file named Roads within the *Model* folder. Choose the appropriate coordinate system for the State of Tamaulipas as described. Click **OK** and a new layer will be created from the **Project** tool.

Each of the layers should now be viewable in ArcMAP. Check to see if the files were stored in the folder labeled *Model* by using the ArcMAP **Catalog** and connecting to the folder. Three additional shapefile type files should be listed: Wells, Water, and Roads. Use symbols to customize each dataset as needed. The symbology tab can be found by double clicking on one of the layers to be customized.



Figure 4.9 Road Network of Mexico
(Source: Hijmans, 2011; Tamaulipas, 2015)

ArcGIS is software used to layer information geographically. It allows the user to display maps of needed area. This model will identify the best routes to and from a given location.

4.7.2 Closest Facility, Shortest Paths

The next step is to design a road network in ArcMAP with the **Network Analyst** tool. This tool involves the **Closest Facility** concept of locating the shortest paths between known points.

1. Activate the **Network Analyst** Extension using the main panel within ArcMAP. Click on **Customize** and click **Extensions**. Check the box next to **Network Analyst** to activate. Add in the Network Analyst Toolbar by clicking on **Toolbars** within **Customize** and click **Network Analyst**.
2. On the right side of the ArcMAP main bar, click on the **Window** tab and select **Catalog** from the dropdown menu. Locate the folder containing the roads that will be used in the model. Right click on the road file:

> Select “**New Network Dataset...**” This will open a window to help build a network dataset.

Window will read: *Enter a name for your network dataset.* Type in the name of the file containing the roads, i.e. “Roads”

Window will read: *Do you want to model turns in this network?* Select **YES**.

> Select **Connectivity policy is end points**

Window will read: *How would you like to model the elevation of your network features?*

> Select **NONE**.


> Select proper units, i.e. “**Miles**”.


> Click **Next**.

Window will read: *Do you want to establish driving direction settings for this network dataset?* This depends on the user output needs, however, for this model we chose **NO**.

> Select **Finish**.

Three layers will be made in the Network Analyst: edges to the network, street lines, and junctions. For the purpose of this assignment, only street lines are necessary for analysis. To find the shortest paths, street lines are used to connect the origins and destinations. *Incidents* are serviced by the closest *Facilities*. In this case, hydraulically fractured wells are the “incidents” getting served by the closest “facility” or water. (When determining the paths from incidents to facilities, always assume that the incidents will be locations where wells are being drilled. The facilities should change depending on the resources being transported, whether it is sourced water, disposed water, sand, or gravel.) With the **Closest Facility** Network Analyst Tool, route assignments will be generated, after which ESAL values will be added to identify the locations of heavy loadings.

1. On the **Network Analyst Toolbar**,  (See section 3.2.7 where the toolbar was activated.) click on the black arrow near **Network Analyst** and choose **New Closest Facility**. In the **Network Analyst Window**,

2. Right click on **Facilities** > **Load locations...** > **Load from:** *Select the file containing facilities of interest, i.e., Water Sources).*
3. Make sure **Location Position** is set to “**Use Geometry**”. Define the radius tolerance for the facility search. In this case, the radius was set to 10 miles, to connect the facility to the nearest network lines within a 10 mile radius.
4. Click **OK** to load facilities.
The same method can be followed to load the other information as incidents. Now the facilities and incidents locations are loaded.
5. Click on the **Solve** button  in the **Network Analyst Toolbar** to find the shortest paths. Figure 4.10 displays the output of the **Network Analyst Tool** with the shortest routes between quarries and oil wells.

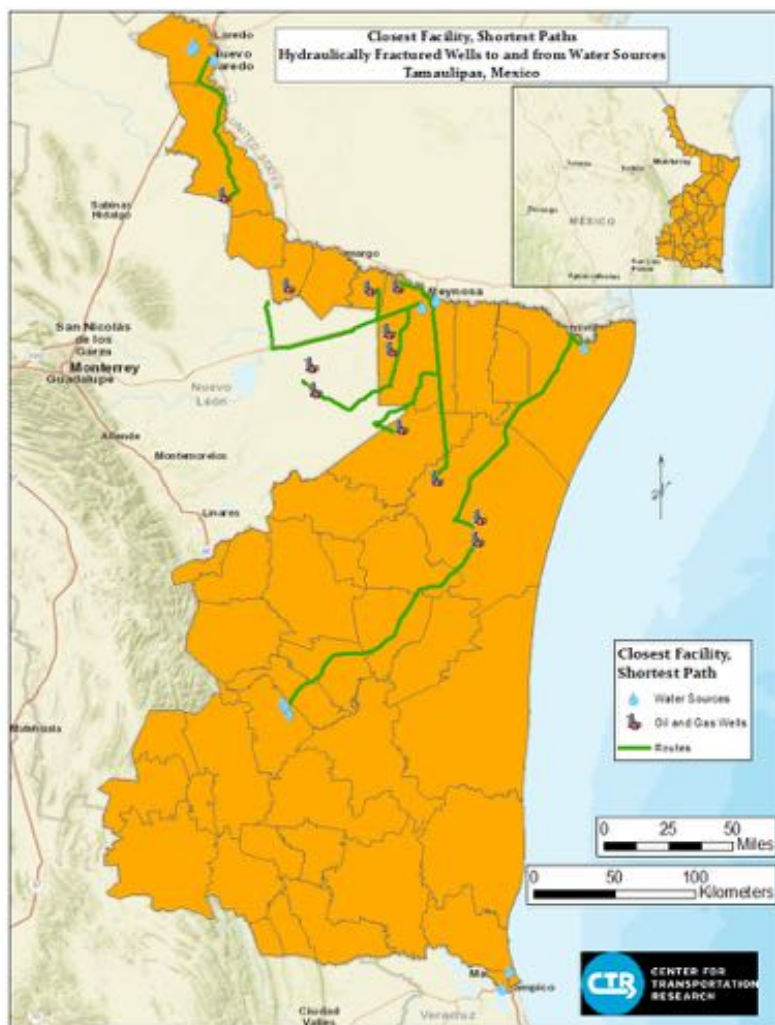



Figure 4.10 Closest Facility, Shortest Paths

The ESAL values are a critical measure to associate with each route. A field will be added in the attribute table explaining how the ESAL values determine whether a route is experiencing pavement damage.

1. Export the routes out of the Closest Facility output by right clicking on the **Routes** tab. Click on **Data** and then click **Export Data**. Connect to the *Model* folder and name the shapefile *Routes*. The data will be added to the map in the form of a layer.
2. On the new Routes layer, **Open Attribute Table** and navigate to **Table Options**  to **Add Field**. Name the field *ESAL*. (The type will be Double which refers to numerical values needing a fifteen decimal precision.) Click **OK**.
3. Right click on the ESAL field in the Attribute Table and click **Field Calculator**. When the **Field Calculator** dialog box opens showing “ESAL=”, type 8824 to show the ESAL value being applied by the average number of 100 Kip trucks carrying water to one hydraulically fractured well. This calculation will help in determining the critical areas of impact along the routes.

4.7.3 Critical Areas of Impact

In ArcMAP, symbols can be changed to help represent the impact taking place on a given route. With the use of various tools, the routes can be classified based on their importance. Here, only water sources are represented. Routes produced from hydraulically fractured wells to water sources reflect consistency, but noting the direction of the most traffic can reflect pavements being damaged. In other words, the multiple trips occurring on a path from a facility indicates that the roads closer to the water sources are experiencing a higher amount of truck traffic compared to roads closer to the well locations themselves.

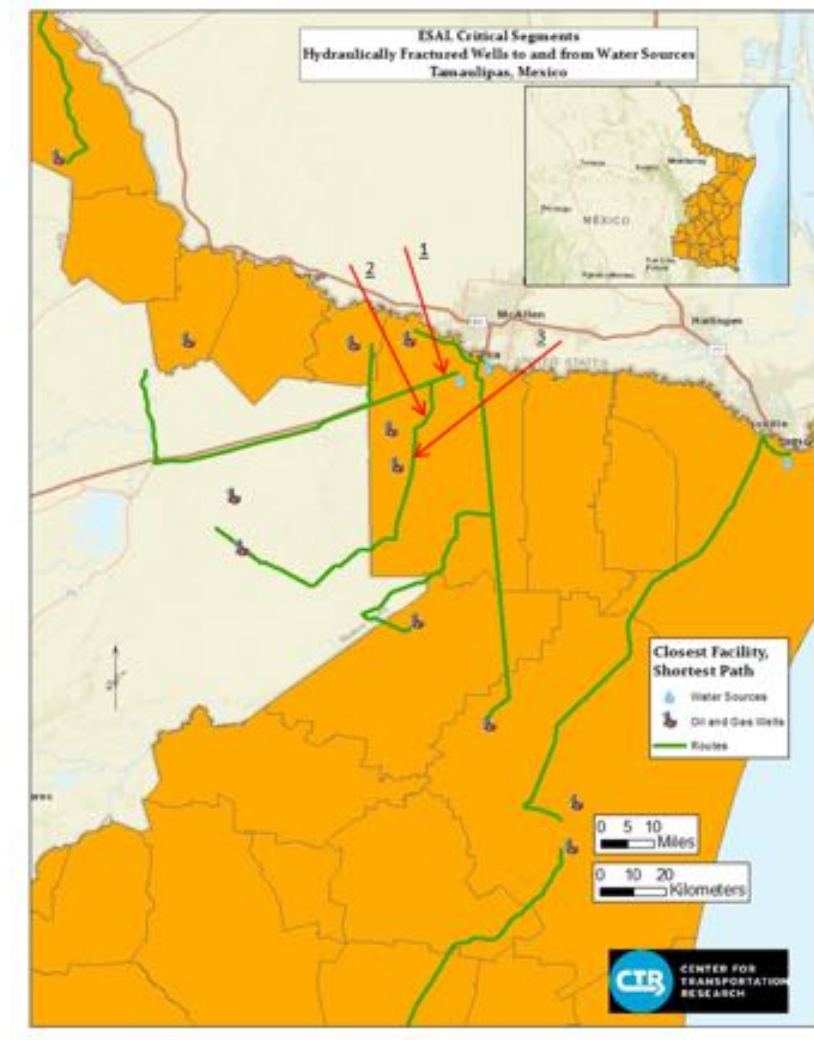


Figure 4.11 ESAL Critical Segments

Figure 4.11 points out the critical segments showing the impacts of trucks traveling from the water sources. Specifically, critical segment 1 notes that there are exactly 6 hydraulically fractured wells being supplied water. The impact on the pavement from traveling on this particular segment, Mexican Federal Highway 40, is greater than the impact in other areas. About 52944 ESALs are being loaded to this surface (6 wells x 8824 ESALs for water = 52944 ESALs). There are a large number of trucks coming to this particular source for water. In fact, the rural roadways in the proximity of residual wastewater treatment plant for Reynosa No.2 will experience high volumes of traffic given that 6 wells will need to retrieve water from this location. Further South, Critical segment 2 explains that there are 4 hydraulically fractured wells receiving water by use of the Interstate Highway El Becerro, and the pavement surface is loaded with 23296 ESALs (4 wells x 8824 ESALs for water = 23296 ESALs). On the same roadway Interstate Highway El Becerro, critical segment 3 services three different hydraulically fractured wells. The loading applied to the surface on Interstate Highway El Becerro equals approximately 26472 ESALs total with 8824 ESALs per round trip for the transporting of water per well.

4.7.4 ESAL Loading on Routes

Using the **Spatial Analyst** is another way in classifying areas of importance. Activate the Extension under the **Customize** tab on the toolbar. The **Line Density** tool must be used to calculate polyline feature density. ArcGIS Resource Center defines the line density tool as a calculation involving the length of the line, population field, and the area of the grid cells. Default lines generated through the output of the network analyst set the grid cells. Only the length of the line and population field will be required for analysis.

1. From the ArcToolbox under the **Spatial Analyst Tools** within the **Density** folder, choose **Line Density** (This tool can also be found by using the Search window). For the **Input Polyline features**, connect to the *Model* folder and input the *Routes* shapefile. In the **Population field**, select **ESAL**. Output the file *ESAL_Density.shp*. Run the tool. A Line Density layer will be created in ArcMAP.
2. Change the symbology of the layer to resemble high to low ESAL values. The Color Ramp can be adjusted to show the density of ESAL values for hydraulically fractured sites to water sources. These changes will allow for a better explanation of which roads are experiencing the most pavement impact.

With the line density tool, routes were identified by finding their magnitude per unit area. The polyline features (routes) would fall within a 10 mile radius around each cell. In Figure 4.12, the ESAL Density Routes are geographically displayed showing high values in black and low values in white. This tool helps in seeing which segments experience heavy truck traffic due to water being transported in the area.

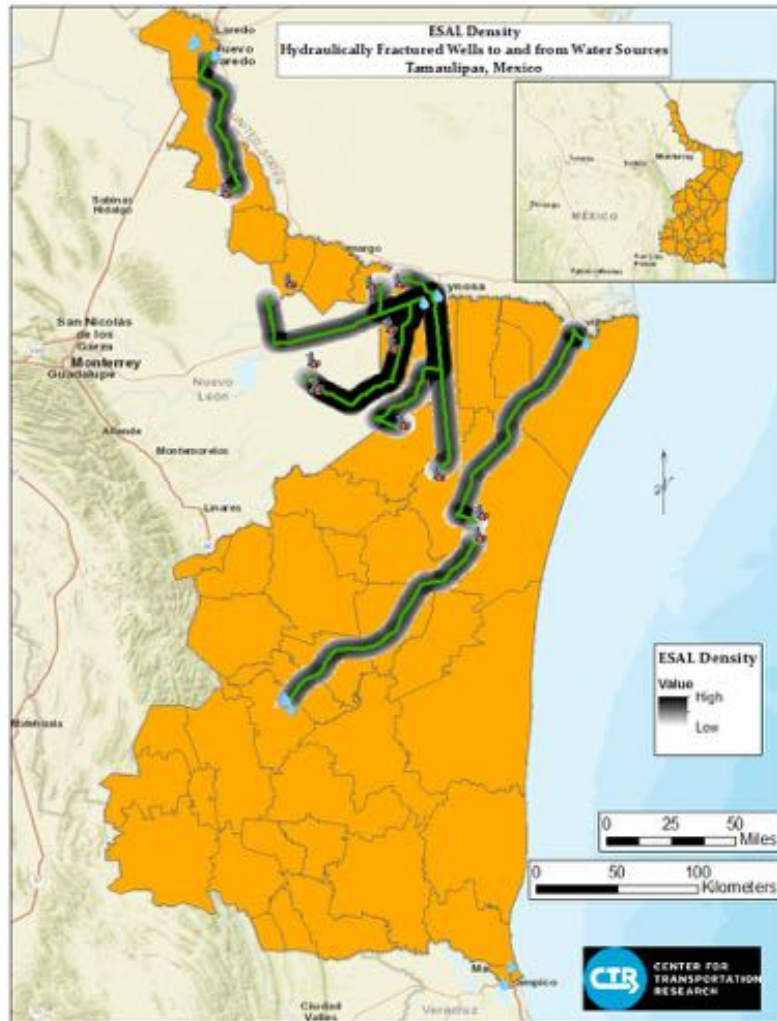


Figure 4.12 ESAL Density of Routes

4.7.5 Pavement Impact in Tamaulipas

One final application to apply in ArcMAP will allow for the assessment of damage on each route. This analysis will provide a hierarchy of importance for segments based solely on the ESAL values. Notice that in this model that water sources are the main concern. Routes have been drawn to and from hydraulically fractured wells knowing only these locations.

1. Use the **Search** window to find the **Merge** tool (Otherwise, the tool can be found under the *Geoprocessing* tab of the main panel). This tool will combine all the routes within one shapefile. When the Merge dialog box opens, input the layer *Routes* for **Input Datasets** (Only water sources are being routed). For the **Output Dataset**, save as *All_Routes.shp*. A new layer will be created and added to the **Table of Contents**. Check the **Attribute Table** to see that the fields were carried over after merging the routes. (Using this tool, basically lead to the re-naming of the shapefile.)
2. **Search** for the **Dissolve** tool (Check in **ArcToolbox** under **Data Management** within the **Generalization** folder). Input the file *All_Routes*. Output the file name

All_Routes_Diss.shp. All routes will be dissolved into one, and there will be no breaks in the segments.

3. In **ArcToolbox** of **Data Management** under the **Features** folder, click on **Feature Vertices to Points**. **Input Features** would be *All_Routes*. **Output Feature Class** is *All_Routes_Endpoints.shp*. **Point type** resembles *ALL* (Point type is an important distinctive measure used in this analysis. The types vary among *ALL*, *MID*, *START*, *END*, *BOTH_ENDS*, or *DANGLE*. Deciding on the point type is the user's call.). The endpoints represent in the model where the routes start and end when looking at the merged routes.
4. In the same **Features** folder, choose **Split Line at Point**. **Input Features** will include *All_Routes_Diss*. **Point Features** are to represent *All_Routes_Endpoints*. **Output Feature Class** will be called *All_Routes_Split*. Click **Ok**. A new layer and shapefile will be added. Each time a given route experiences a different ESAL loading, a change in total ESAL should be seen.
5. Open the **Attribute Table** for *All_Routes_Split*. Check to see that the calculations for ESAL values were made.
6. Right-click on the layer in the **Table of Contents**. Navigate to **Join and Relates** and click **Join**. The **Join Data** Dialog box is opened. For the dropdown menu of *What do you want to join to this layer*, choose *Join data from another layer based on spatial location*. On the first prompt, input *All_Routes*. The second prompt checked should read *'Each line will be given a summary of the numeric attributes of the lines in the layer being joined that intersect it, and a count field showing how many lines intersect it.'*
7. *How do you want the attributes to be summarized?* Check **SUM**. Output shapefile named *Total_ESAL.shp* and click **OK**. Check the **Attribute Table** and the ESAL calculation under the field *SUM_ESAL*.
8. For this particular model, the *SUM_ESAL* values had to be adjusted while in **Edit**. Under **Customize**, click **Toolbars** and add-in the **Edit** toolbar to **Start Editing**. Manually calculate each value and add them in accordingly. There is a flaw in the system from having only one dataset to represent facilities. When analyzing various routes for different resources being carried, the model will adjust itself having more variables to apply. Remember: When working with ArcMAP, it is crucial to run through each model and understand the outputs from the tools being used. Miscalculated fields can be re-calculated and justified by the user.

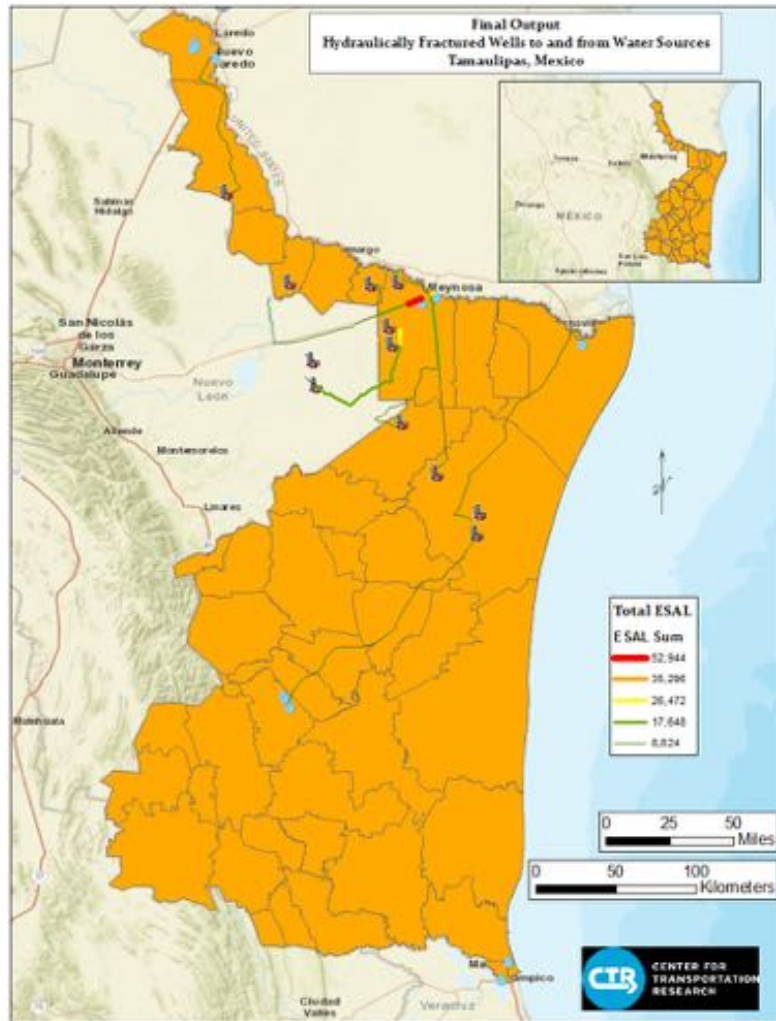


Figure 4.13 Total ESAL, Pavement Impact

This model visually explains the effects from increased truck traffic due to hydraulic fracturing in Tamaulipas, Mexico (Figure 4.13). It reflects on the damage done to pavement when carrying water to and from hydraulically fractured wells. The main routes of concern are closer to the water sources. Major effects on pavement will be seen on routes experiencing large amounts of truck traffic. To ensure that the state reaches its true potential in infrastructure, these analyses were created to improve highways and roads. Whether thick overlays or surface treatments are applied to strengthen a roadway, these models help make traveling easier during petroleum prospecting activities.

4.8 Automated Approach

An alternative method is to use ModelBuilder within ArcMAP when processing the data. In ModelBuilder, the user can input a dataset for editing purposes and apply tools to receive a desired output when solving. This alternative method means quicker processing of results for analysis. The ModelBuilder can be used multiple times to output routes experiencing loading. To

build a workflow diagram in ArcMAP, processing can be delivered in a flash by using a visual programming language. With ease, the model can be created and modified to represent chains of information. Subsequently, the tools within ArcMAP are used to set-up the functionality of ModelBuilder.

4.9 Conclusion

Using GIS based approaches for predicting potential truck traffic will enable identification of road segments likely to experience accelerated deterioration. Each model predicts truck trips and related ESAL loading for specific areas adjacent to hydraulically fractured well sites. The routes are crucial in visualizing how truck traffic will behave during construction. This modeled network created for Tamaulipas identifies road segments that need to be improved with regard to the amount of loading each segment is experiencing.

Chapter 5. Moving Forward on Fracking Initiatives in Mexico: Summary and Recommendations

5.1 Texas Study and Experience

Information has been provided about the Texas experience and how to predict or prevent serious damage to road pavements. Transportation of equipment, water, and other resources is required when constructing and operating wells drilled using hydraulic fracturing techniques. Activity in oil and gas unconventional shale plays suggests that these resources will be transported through the use of a large number of heavy trucks. Unforeseen truck travel in large amounts can affect pavement that has not been designed to withstand significant truck loading.

In Texas, large numbers of hydraulically fractured wells were identified along with water sources, water disposal sites, aggregate sources, and equipment concentration sites. Construction-related truck traffic on highway paths were mapped based upon truck sizes and weights. With calculated AASHTO equivalent axels (ESALs), predictions can be made about the amount of damage to a surface or pavement life consumed by heavy truck traffic. Commonly used for design and maintenance of Texas highway pavements, the equivalent axle concept was developed from the AASHTO Road Test. Calculations for equivalent axle loading depend on vehicle and axle weights and their frequency of occurrence.

5.2 GIS Implementation for Tamaulipas

Thus far, in Mexico only a few hydraulically fractured wells have been drilled. Within the state, there are expectations for significant drilling activity as lease blocks get awarded at the end of each tender round. These GIS applications introduced can be used to geographically pinpoint routes where future pavement damage will occur. The extensive instructions within this report give a complete description of the utility of the GIS system. The system output capabilities are noted for Texas, where more information is readily available. It shows the segments undergoing frequent truck travel and how the pavements have been loaded, given the ESAL values. Equivalent axle loading varies slightly from the Texas example. The maximum allowable vehicle weight in Texas is 80,000 pounds. A tank truck used to transport fresh and waste water might exceed the maximum 80,000 pounds if filled to capacity. As a worst-case scenario, a maximum vehicle weight of 100,000 pounds was used for calculations in Tamaulipas.

5.2.1 Analysis in ArcMAP

The GIS framework is based on geographically representing paths and total equivalent axle pavement loadings to identify road segments that need strengthening. In withstanding heavy truck traffic associated with hydraulic fracturing, segments of roadways should be located and recognized in order to prevent damage. The analysis uses routing by closest facility paths or shortest paths to and from the hydraulically fractured wells. This modeling helps explain how truck travel will take place during the construction and production phases as resources are transported to and from the well sites.

5.2.2 Existing Road Network

For purposes of the GIS system, the roads in Tamaulipas are classified into four distinguishable parts: the federal network, state highways, rural roads, and improved connectors. Particular paths are identified including the four road types. The model will signify changes in truck traffic due to

hydraulic fracturing providing a better view of pavements experiencing heavy truck traffic and therein unusual significant wear.

Implementing appropriate measures for the existing road network is managed by the Secretaria de Comunicaciones y Transportes (SCT), which seeks to sustain the economic growth in Tamaulipas. They have the responsibility to regulate the country's transportation (SENECA 2014). Investments in transportation can represent a shift in planning and spending in areas where it is most needed.

5.3 Ensuring Pavement Life, Quick Fixes

The road network in Tamaulipas is critical to the expected truck traffic for the area. Hydraulic fracturing taking place has prompted preemptive measures be put in place so that increases in truck travel can be located before damage of a roadway causes it to fail. Depending on the traffic volume, different methods can be utilized to prepare roads for increased traffic. Quick fixes may be possible in some instances, whereas other roads experiencing a high volume of traffic may have much more accelerated pavement damage.

In Michigan, studies have been performed on flexible pavement to determine the best mixes to repair damaged roadways. Depending on the impact the pavement is experiencing, materials may be altered to minimize the effects of heavy truck traffic. Adverse weather or high temperature conditions can cause cracks in pavement initiating accelerated damage to road quality. These transverse cracks can be treated by sealing or by use of a thin overlay if traffic loading is minimal, however, such repairs are insufficient to repair the damage that would occur with heavy truck traffic associated with hydraulic fracturing. Roads can be quickly damaged beyond repair by thousands of passes of heavily loaded trucks traveling to and from the wells.

This GIS system has been created to enable users to add location data for future water sources, future water disposal sites, future aggregate sources, and equipment concentration sites. The system uses ESRI's software system ArcGIS. It is one of the most widely and readily available GIS software applications in the world.

The report provides instructions for updating the GIS system as more well locations are identified, as more water sources are identified, and as water disposal sites are identified over time.

APPENDIX A

AASHTO Pavement Design Factors for Single Axle Loading

Table D.4.	Axle load equivalency factors for flexible pavements, single axles and P _t 2.5					
Axle Load (kips)	Pavement Structural Number (SN)					
	1	2	3	4	5	6
2	0.0004	0.0004	0.0003	0.0002	0.0002	0.0002
4	0.003	0.004	0.004	0.003	0.002	0.002
6	0.011	0.017	0.17	0.013	0.01	0.009
8	0.032	0.047	0.51	0.041	0.034	0.031
10	0.078	0.102	0.118	0.102	0.88	0.08
12	0.168	0.198	0.229	0.213	0.189	0.176
14	0.328	0.358	0.399	0.388	0.36	0.342
16	0.591	0.613	0.646	0.645	0.623	0.606
18	1	1	1	1	1	1
20	1.61	1.57	1.49	1.47	1.51	1.56
22	2.48	2.38	2.17	2.09	2.18	2.3
24	3.69	3.49	3.09	2.89	3.03	3.27
26	5.33	4.99	4.31	3.91	4.09	4.48
28	7.49	5.98	5.9	6.21	5.39	5.98
30	10.3	9.5	7.9	6.8	7	7.8
32	13.9	12.8	10.5	8.8	8.9	10
34	18.4	16.9	13.7	11.3	11.2	12.5
36	24	22	17.7	14.4	13.9	15.5
38	30.9	28.3	22.6	18.1	17.2	19
40	39.3	35.9	28.5	22.6	21.1	23
42	49.3	45	25.6	27.8	25.6	27.7
44	61.3	55.9	44	34	31	33.1
46	75.5	68.8	54	41.4	37.2	39.3
48	92.2	83.9	65.7	50.1	44.5	46.6
50	112	102	79	60	53	55

AASHTO Pavement Design Factors for Tandem Axle Loading

Table D.5.	Axle load equivalency factors for flexible pavements, tandem axles and P _t 2.5					
Axle Load (kips)	Pavement Structural Number (SN)					
	1	2	3	4	5	6
2	0.0001	0.0001	0.0001	0	0	0
4	0.0005	0.0005	0.0004	0.0003	0.0003	0.0002
6	0.002	0.002	0.002	0.001	0.001	0.001
8	0.004	0.006	0.005	0.004	0.003	0.003
10	0.008	0.013	0.011	0.009	0.007	0.006
12	0.015	0.024	0.023	0.018	0.014	0.013
14	0.026	0.041	0.042	0.033	0.027	0.024
16	0.044	0.065	0.07	0.057	0.047	0.043
18	0.07	0.097	0.109	0.092	0.077	0.07
20	0.107	0.141	0.162	0.141	0.121	0.11
22	0.16	0.198	0.229	0.207	0.18	0.166
24	0.231	0.273	0.315	0.292	0.26	0.242
26	0.327	0.37	0.42	0.401	0.364	0.342
28	0.451	0.493	0.548	0.534	0.495	0.47
30	0.611	0.648	0.703	0.695	0.658	0.633
32	0.813	0.843	0.889	0.887	0.857	0.834
34	1.06	1.08	1.11	1.11	1.09	1.08
36	1.38	1.38	1.38	1.38	1.38	1.38
38	1.75	1.73	1.69	1.68	1.7	1.73
40	2.21	2.16	2.06	2.03	2.08	2.14
42	2.76	2.67	2.49	2.43	2.51	2.61
44	3.41	3.27	2.99	2.88	3	3.16
46	4.18	3.98	3.58	3.4	3.65	3.79
48	5.08	4.8	4.25	3.98	4.17	4.49
50	6.12	5.76	5.03	4.64	4.86	5.28
52	7.33	6.87	5.93	5.38	5.63	6.17
54	8.72	8.14	6.95	6.22	6.47	7.15
56	10.3	9.6	8.1	7.2	7.4	8.2
58	12.1	11.3	9.4	8.2	8.4	9.4
60	14.2	13.1	10.9	9.4	9.6	10.7
62	16.5	15.3	12.6	10.7	10.8	12.1
64	19.1	17.6	14.5	12.2	12.2	13.7
66	22.1	20.3	16.6	13.8	13.7	16.4
68	25.3	23.3	18.9	15.6	15.4	17.2
70	29	26.6	21.5	17.6	17.2	19.2

Table D.5.	Axle load equivalency factors for flexible pavements, tandem					
72	33	30.3	24.4	19.8	19.2	21.3
74	37.5	34.4	27.6	22.2	21.3	23.6
76	42.5	38.9	31.1	24.8	23.7	26.1
78	48	43.9	35	27.8	26.2	28.8
80	54	49.4	39.2	30.9	29	31.7
82	60.6	55.4	43.9	34.4	32	34.8
84	67.8	61.9	49	38.2	35.3	38.1
86	75.7	69.1	54.5	42.3	38.8	41.7
88	84.3	76.9	60.6	46.8	42.6	45.6
90	93.7	85.4	67.1	51.7	46.8	49.7

ESAL Values

To quantify current pavement condition, the AASHTO serviceability concept was developed. The indices for serviceability used in design procedures include: initial serviceability (p_i) and the terminal serviceability (p_t). Major highways may have a terminal serviceability index of 2.5 or 3.0; whereas roads with a lower highway classification may allow lower terminal serviceability values such as 2.0. The AASHTO pavement design method provides equivalent single axle load values that indicate the number of passes of an 18,000 pound single axle that would cause the same damage to the pavement as one pass of any given axle weight. The numbers for equivalence values are reliant on two items: the terminal serviceability used in design and the structural number (SN) which describes the strength of the pavement (AASHTO, 1993).

APPENDIX B

ArcGIS Tools for Processing

Tool	Toolbox	Tool Reference	Function
Define Projection	Data Management	Projections and Transformations	Overwrites the coordinate system of the dataset
Project	Data Management	Projections and Transformations	Projects spatial data from one coordinate system to another
Make XY Event	Data Management	Layers and Table Views	Creates new point feature based on x- and y- coordinates in source table
KML to Layer	Conversion	To KML toolset	Converts KML or KMZ into feature class

ArcGIS Tools for Selecting Features

Tool	Toolbox	Tool Reference	Function
Select Feature	Analysis Tools	Extract	Allows for identifying features within the map using Structures Query Language (SQL)
Select Layer by Attribute	Data Management	Layers and Table Views	Adds, updates, removes, a selection in attribute table
Select Layer by Location	Data Management	Layers and Table Views	Selects features in layer based on spatial relationships

ArcGIS Toolbars for Analysis

Toolbar	1st Window	2nd Window	Function
Standard	Customize	Toolbars	Acts as the main functions within ArcMap
Network Analyst	Customize	Toolbars	Allows for a system to be built interconnecting elements that represent possible routes
Spatial Analyst	Customize	Toolbars	Breaks down categories of related functionality
Edit	Customize	Toolbars	Compiles and updates geographic data
Draw	Customize	Toolbars	Optimizes performance

Snapping	Customize	Toolbars	in design Establishes accuracy when drawing segments between features
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ArcGIS Tools for Creating the Model

Tool	Toolbox	Tool Reference	Function
Create Feature Class	Data Management Tools	Feature Class	Creates an empty, editable feature class
Create Fishnet	Data Management Tools	Feature Class	Builds a rectangular fishnet from polygon features
Integrate	Data Management Tools	Feature Class	Processes feature boundaries that are within a given tolerance
Clip	Analysis Tools	Extract	Removes input features from clip features creating a new study area
Make Closest Facility Layer	Network Analyst Tools	Analysis	Determines the closest facility or facilities to an incident based on travel time, distance, etc.
Add Field	Data Management Tools	Fields	Adds a new field to a table for the purposes of labeling and identifying a feature class
Intersect	Analysis Tools	Overlay	Geometrically calculates an intersection between input features
Line Density	Spatial Analyst Tools	Density	Computes the magnitude per unit area defined around the radius of each cell's features
Weighted Sum	Spatial Analyst Tools	Overlay	Multiplies and sums the weight of several overlaying rasters

ArcGIS Interpreting Total Loading Ranges on Segments Step-by-step Tool Guide

Step	Tool	Toolbox	Tool Reference	Input	Output	Function
1	Merge	Data Management	General	water sources, water disposal sites,	All_Routes	Combines input

	Tools			aggregate sources, etc.		datasets of the same type into a new dataset
2	Feature Vertices to Points	Data Management Tools	Features	All_Routes	All_Route EndPoints	Generates points from vertices or locations on features
3	Dissolve	Data Management Tools	Generalization	All_Routes	All_Routes_Diss	Features are summarized and scaled based on their attributes
4	Split Line at Point	Data Management Tools	Features	Input Features: All_Routes_Diss Point Features: All_Routes_Endpoints	All_Routes_Split	Creates splits at intersections on point features
5	Spatially Join	Join and Relates	Join... (check SUM)	Water sources + water disposal sites + aggregate sources + etc.	Join_Output, ... Total_ESAL	Establishes a spatial relationship between features

Measurement Scales for Types of Data

Attribute Type	Data Type / Numeric Storage	ArcGIS	Operations
Nominal	String, Byte, Integer	Text, Short or Long Integer	Query, Sort, No Math
Ordinal	Byte, Integer	Short or Long Integer	Quartile Analysis
Cardinal / Interval	Integer, Float, Double	Short or Long Integer, Float, Double	Subtraction, Addition
Date	Date	Date	Subtraction, Addition
Ratio	Float, Double	Float, Double	All math operations, except complex

*Byte: signed or unsigned

Short Integer: Roughly +/- 32 thousand

Long Integer: +/- 2 billion (32-bit machine)

Float: 7 decimal precision

Double: 15 decimal precision

Note: as you descend the scale, operations are cumulative

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